

1 **The stocks and flows of nitrogen, phosphorus and potassium across a 30-year**
2 **time series for agriculture in Huantai county, China**

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11 **Abstract**

12 In order to improve the efficiency of nutrient use whilst also meeting projected changes in the
13 demand for food within China, new nutrient management frameworks comprised of policy, practice
14 and the means of delivering change are required. These frameworks should be underpinned by
15 systemic analyses of the stocks and flows of nutrients within agricultural production. In this paper, a
16 30-year time series of the stocks and flows of nitrogen (N), phosphorus (P) and potassium (K) are
17 reported for Huantai county, an exemplar area of intensive agricultural production in the North
18 China Plain. Substance flow analyses were constructed for the major crop systems in the county
19 across the period 1983-2014. On average across all production systems between 2010 and 2014,
20 total annual nutrient inputs to agricultural land in Huantai county remained high at 18.1 kt N, 2.7 kt
21 P and 7.8 kt K (696 kg N/ha; 104 kg P/ha; 300 kg K/ha). Whilst the application of inorganic fertiliser
22 dominated these inputs, crop residues, atmospheric deposition and livestock manure represented
23 significant, yet largely unrecognised, sources of nutrients, depending on the individual production
24 system and the period of time. Whilst nutrient use efficiency (NUE) increased for N and P between
25 1983 and 2014, future improvements in NUE will require better alignment of nutrient inputs and
26 crop demand. This is particularly true for high-value fruit and vegetable production, in which
27 appropriate recognition of nutrient supply from sources such as manure and from soil reserves will
28 be required to enhance NUE. Aligned with the structural organisation of the public agricultural
29 extension service at county-scale in China, our analyses highlight key areas for the development of
30 future agricultural policy and farm advice in order to rebalance the management of natural
31 resources from a focus on production and growth towards the aims of efficiency and sustainability.

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44 **1 Introduction**

45 China is the largest single consumer of inorganic fertilisers in the world, responsible for
46 approximately 30% of annual global fertiliser use for each of the macronutrients: nitrogen (N),
47 phosphate (P_2O_5 total nutrients) and potash (K_2O total nutrients) (FAOSTAT, 2014). The majority of
48 China's demand for inorganic fertilisers is met by internal reserves or by synthesis, with the
49 exception of potassium (K) for which China is heavily reliant on imports, to the extent that >15% of
50 global imports of K entered China in 2014 (FAOSTAT, 2014). However, China is also recognised as a
51 global hotspot of relatively low nutrient use efficiency within agricultural production (Foley et al.,
52 2011; Vitousek et al., 2009). The high demand for inorganic fertilisers within China, coupled with
53 inefficient nutrient use, exerts significant pressure on finite rock reserves (for K and phosphorus, P)
54 and the global inorganic fertiliser markets that depend on these reserves. As high-quality rock
55 reserves may diminish within the near future (Cordell and White, 2014; Wang et al., 2011), the
56 pressure on fertiliser markets due to the demand exerted by China is likely to increase substantially.
57 Further, the environmental costs associated with the production of inorganic fertilisers and with
58 inefficient nutrient use within agriculture, including greenhouse gas emissions, degradation of soil,
59 freshwater and marine ecosystems and declining air quality, are likely to grow and to be particularly
60 pronounced within China (e.g. Chen *et al.*, 2014).

61 Responding to these challenges requires new frameworks comprised of policy, practice and the
62 means of delivering change, in order to improve the efficiency of inorganic fertiliser use (Bellarby et
63 al., 2015), whilst also meeting projected increases in the demand for food within China (Zhang et al.,
64 2011). These frameworks should emerge from systemic understanding of the stocks and flows of
65 nutrients within agriculture. In this context, substance flow analyses (SFAs) can be used to quantify
66 the stocks and flows of a substance (in this case, individual nutrient elements) within a defined
67 spatial unit and across different sectors within that spatial unit (Cooper and Carliell-Marquet, 2013;
68 Senthilkumar et al., 2012). Previous SFAs within China have examined nutrient stocks and flows at
69 country-level (Hou et al., 2013; Ma et al., 2010), at province-level (Ma et al., 2012; Sheldrick et al.,
70 2003) and at the level of individual farm systems (Gao et al., 2012; Hartmann et al., 2014). These
71 analyses reveal substantial regional differences in nutrient management within agriculture, largely
72 reflecting differences between climatic regions and the resulting dominant production systems. In
73 general terms, nutrient use efficiency (NUE) is greater in arable crop production systems than in
74 vegetable and fruit production in China, whilst vegetable and fruit production demonstrate higher
75 NUE than animal production systems (Ma et al., 2012). Enhancing NUE within animal husbandry in
76 China is recognised as a particular challenge, due to increasing disconnection between concentrated
77 animal production facilities and land to which animal manure can be returned (Bai et al., 2013;
78 Chadwick et al., 2015).

79 However, the majority of SFAs to date have either examined only one nutrient element (usually N or
80 P), or N and P in combination (Cooper and Carliell-Marquet, 2013; Ma et al., 2012; Senthilkumar et
81 al., 2012). Little research has examined the third macronutrient, K, in combination with N and P
82 (Sheldrick et al., 2003, Zhen et al., 2006), despite the fact that an imbalanced supply of the
83 macronutrients N, P and K can adversely impact crop yield and decrease NUE (Dai et al., 2013).
84 Further, the majority of SFAs have only focused on data from a single year, providing a snapshot of
85 nutrient stocks and flows for a given spatial unit (Chowdhury et al., 2014). However, such snapshots
86 do not capture longer-term trajectories of change in nutrient stocks and flows within a system, as

87 driven by natural processes, such as variation in rainfall or temperature regimes, by management
88 practices, such as crop rotations, by policies, such as variation in trade tariffs, farm input and
89 fertiliser industry subsidies (Li et al., 2013; Sun et al., 2012), or by regulation, such as the ban on the
90 burning of crop straw in China from 2008 (Miao et al., 2011). The use of longer time series of data to
91 construct SFAs would help to avoid the risks associated with basing policy and practice on short-term
92 analyses that may not accurately account for longer-term changes in nutrient management within a
93 system (Sheldrick et al., 2003).

94 Our previous research suggests that the county-scale is a key spatial unit at which to consider the
95 potential for change in nutrient management practices within China, particularly for largely rural
96 counties in which the management of nutrients in agriculture is clearly important (Smith and
97 Siciliano, 2015). The county-scale is especially relevant in China because of the corresponding
98 structural organisation of the public agricultural extension service. Key decisions regarding
99 agricultural policies and farm advice provision are made for county-wide execution by the County
100 Agricultural Bureau, which has considerable autonomy with regard to such policies and advice
101 (Bellarby et al., 2017; Smith and Siciliano, 2015). For example, the bureau is responsible for
102 undertaking soil nutrient surveys and for the provision of fertiliser recommendations based on the
103 resulting information. These recommendations are often applied county-wide, and form the basis
104 for compound fertiliser formulations sourced from manufacturers for county-wide distribution. In
105 the current paper, we report a county-level analysis of nutrient use within agricultural production
106 systems in China, based on SFAs for the macronutrients N, P and K using a time series of data that
107 spans 32 years from 1983 to 2014. The objectives of these analyses were: i) to quantify changes in N,
108 P and K stocks and flows within individual production systems at county-scale in China over a 30-
109 year timescale; ii) to interpret drivers of the observed changes in nutrient management over this
110 timescale; and iii) to consider the ways in which analysis of historical patterns of nutrient use in
111 agriculture can inform future policy and practice seeking more sustainable stewardship of N, P and K
112 resources.

113 **2 Materials and methods**

114 **2.1 System boundary and design of the substance flow analyses**

115 Substance flow analyses were constructed for Huantai county in Shandong Province, China (Figure
116 1). Huantai county covers approximately 520 km² with a total farmed area of 354 km² (68%) in 1980.
117 Agricultural production in the county is primarily an intensive rotational double cropping area of
118 summer maize and winter wheat, typical of agriculture within the North China Plain (Ha et al., 2015).
119 Crop production relies heavily on irrigation with groundwater (Chen et al., 2010; Liu et al., 2005).
120 Other arable crops (cotton, peanut, potato, soybean and sweet potato), vegetable, fruit (apple,
121 apricot, Chinese date, grape, hawthorn, peach and pear), as well as livestock, are produced in the
122 county. In this paper, other arable crops, vegetable, fruit, and livestock are each considered as
123 individual production systems (Figure 2). Approximately 250,000 of the county's 493,000 population
124 are engaged in farming (Huantai Agricultural Bureau, 2014).

125 The SFA approach uses mass balance principles to systemically identify and quantify an element
126 from source (here, input into one of the production systems within Huantai county), through
127 internal stocks and flows within the defined system boundary, to the final managed or unmanaged

128 outflow of an element across the system boundary (Cooper and Carliell-Marquet, 2013;
129 Senthilkumar et al., 2012). Stocks and flows for the nutrients N, P and K were quantified for Huantai
130 county on an annual basis from 1983 to 2014, incorporating multiple cropping cycles within a single
131 year where relevant. The corresponding conceptual design for the SFA is reported in Figure 3,
132 alongside data describing the average mass of nutrient elements over the most recent five years of
133 our analyses (2010 – 2014). The SFAs were differentiated between four individual crop systems
134 (cereal (incorporating wheat and maize), other arable crops, vegetable and fruit). Additionally,
135 livestock production was included in order to estimate nutrient flows from the crop systems to the
136 livestock system as feed, alongside flows from the livestock system to the crop systems as manure.
137 Although livestock production occurs within Huantai county, the focus of the current paper is the
138 major crop production systems. Detailed consideration of the individual stocks and flows of nutrient
139 elements within livestock production in the county was beyond the scope of the research reported
140 here.

141 **2.2 Data sources and equations**

142 **2.2.1 Inputs, outputs and recycling of nutrients in agricultural production systems**

143 Agricultural statistics collated and reported at county-level in China provide a consistent database
144 and the foundation for constructing SFAs. Annual statistics for Huantai county have been published
145 since 1980 by the Huantai Agricultural Bureau. Data from these yearbooks were used in the research
146 reported here from 1983 onwards, because earlier data were not complete for all components of
147 the SFAs. These yearbook data were supplemented by data and functions derived from the literature
148 and by expert knowledge where necessary. We acknowledge the uncertainties which are
149 unavoidable when constructing SFAs at this spatial and temporal scale, meaning that these
150 uncertainties may introduce apparent fluctuations in nutrient stocks and flows that cannot clearly be
151 attributed to changes in nutrient management practices. For example, these uncertainties include
152 the application rates of fertiliser and manure as well as residue management practices, which were
153 not available within statistical yearbooks (Table S1), but would have been valuable additions to the
154 research reported here. However, data that was not available in the yearbooks have been carefully
155 estimated based on interviews with local experts and farmers, and constitute the best information
156 currently available with which to undertake the type of analyses reported here. An initial
157 representation of the uncertainty associated with the individual components of the SFAs, alongside
158 full details regarding the sources and the derivation of the data used in the SFAs, are given in Table
159 S1.

160 The amount of crop residue returned to soil was derived from the straw to grain ratio (Peng et al.,
161 2014, Table S3) which is then applied as crop input in the subsequent year. The exception was the
162 first year, which used the crop production of the same year instead. The nutrient flows of all the
163 arable systems were quantified using nutrient contents and straw/grain ratios (Tables S2 – S3). In
164 the fruit system it is assumed that the “residue” incorporates the biomass increase though it should
165 be noted that is likely an underestimate. In the livestock system, the nutrient flows were determined
166 via the lifespan of livestock and the nutrient content of livestock outputs (Tables S4 and S5). The
167 amount of livestock manure produced was calculated via livestock numbers and manure production
168 rates per head (MOA, 2009, Table S5). The nutrient input via feed into the livestock system was
169 calculated to balance all livestock outputs. All manure was assumed to be completely distributed
170 between land under different forms of crop production, according to expert knowledge and local

171 farming practices (e.g Huantai Agricultural Bureau, 2014). The actual mass of nutrient elements
172 initially present in manure was reduced by a total of 50.8% N, 48.1% P and 43.3% K on the
173 assumption that a given mass was lost during housing (Webb and Misselbrook, 2004) and during the
174 storage of excreta, for example by ammonia volatilisation, based on Jia et al. (2014). Further, it was
175 assumed that the amount of manure that was returned to land under fruit and vegetable did not
176 exceed average nutrient application rates according to Chadwick et al. (2015). Given a surplus supply
177 of manure in excess of these threshold values, the surplus manure was assumed to be exported out
178 of Huantai county in order to avoid unrealistic manure application rates. It is recognised that surplus
179 manure may be exported directly into water courses in other parts of China (Stokal et al., 2016).
180 However, the SFAs reported here assumed that this was not the case in Huantai county, which is at
181 least partly supported by strict environmental laws and low precipitation levels in the county
182 resulting in low river flows that would render this option impossible.

183 **2.2.2 Losses of nutrients to the environment across production system boundaries**

184 **2.2.2.1 Atmospheric losses**

185 For P and K, it was assumed that no gaseous losses occurred. Empirical models were used to
186 estimate losses of ammonia (NH₃) (Bouwman et al., 2002) and the nitrogenous greenhouse gases,
187 nitrous oxide (N₂O) and nitric oxide (NO) (Stehfest and Bouwman, 2006). Di-nitrogen (N₂) emissions
188 were estimated via the ratio of N₂ to N₂O produced during denitrification, using the spreadsheet
189 model SimDen (Vinther, 2005). Table S9 provides an overview of the factor class used in the
190 published functions. A slightly different approach was used for the calculation of N₂O and NO losses
191 from the high nutrient-input systems (vegetable and fruit production), which were beyond the range
192 of N application rates for the empirical functions developed by Stehfest and Bouwman (2006). In
193 these cases, an emission factor (EF) of 0.96% has been specifically developed for lowland
194 horticulture in China (Shepherd et al., 2015) and this EF was multiplied by the N application rate to
195 estimate gaseous N losses as N₂O and NO for the relevant systems within Huantai county.

196 **2.2.2.2 Aqueous losses – erosion, runoff and leaching**

197 Nutrient export via soil erosion was not estimated because existing approaches rely on estimates of
198 the total nutrient content within soil, which were not available for Huantai county. However,
199 Huantai county is located in the extremely flat North China plain (land gradient ratios ranging
200 between 1/800 and 1/3500, Liu et al., 2005), meaning that nutrient export via erosion is expected to
201 be low or negligible, especially as open fields are also generally bunded (Wang et al., 2013b). Export
202 of nutrients via runoff and leaching were determined using the empirical model developed for N
203 (Velthof et al., 2009). This model requires widely available information regarding slope, land use, soil
204 type, soil and rooting depth, soil clay content and precipitation surplus, in order to select a series of
205 factor classes that ultimately determine a loss factor (Table S10). With respect to the precipitation
206 surplus, this was assumed to be within the lowest factor class for Huantai county, because crops are
207 irrigated, and in order to generate a conservative estimate of aqueous losses which have been
208 suggested to be overestimated when applying this kind of empirical function to China (Ongley et al.,
209 2010). The algorithms for the calculation of nutrient export via runoff were considered to be the
210 same for N, P and K, which are related to fertiliser application rates. The leaching factor was
211 multiplied by the nutrient surplus at the soil surface (nutrient surplus = total nutrient input – crop
212 uptake), after NH₃ emissions were accounted for. However, the mobility of P and K in soil (and thus

213 leaching) is lower compared to N (Lehmann and Schroth, 2003). Therefore, a leaching rate of 0.1 kg
214 nutrient ha⁻¹ year⁻¹, reported by Némery et al. (2005) for P, was assumed throughout for P and K.

215 **2.2.3 Nutrient use efficiency**

216 The concept of nutrient use efficiency (NUE) has been applied for many years to crop uptake in
217 agricultural systems (e.g. Moll et al., 1982). However, there are multiple definitions of NUE,
218 especially in regard to which nutrient inputs are considered. In the research reported here, NUE was
219 calculated as described by Ma et al., (2012) for N, P and K for each individual production system in
220 Huantai County based on the SFAs and using Equation 1:

$$221 \left(\frac{N, P \text{ or } K_{\text{product output}}}{N, P \text{ or } K_{\text{external inputs}}} \right) * 100 \quad [1];$$

222 Here, N, P or K_{product output} relates to marketable output, such as grain, and N, P or K_{external input} includes
223 all human and natural inputs, i.e. inorganic fertiliser, manure, atmospheric deposition, biological N
224 fixation and nutrients introduced via crop seeds or seedlings and irrigation. Additionally, Ma et al.,
225 (2012) included human wastes and by-products from the food processing industry as well, which are
226 not considered in this study.

227 **2.2.4 Historical fertiliser recommendations**

228 Fertiliser recommendations relating to wheat production in Huantai county for exemplar years were
229 sourced from the Huantai Agricultural Bureau (2014). The county fertiliser recommendations were
230 based on annual soil nutrient analysis, available fertiliser types and their nutrient contents, as well as
231 the predicted crop yield and weather conditions for the forthcoming year (Huantai Agricultural
232 Bureau, 1990). In the research reported here, these recommendations were compared to estimates
233 of the mass of nutrients taken up by a crop and to farmer fertiliser application practice, based on the
234 SFA results for the corresponding year.

235

236 3 Results

237 3.1 Summary of nutrient flows for all production systems during the period 2010-2014

238 Figure 3 reports annual nutrient flows for agricultural production in Huantai county, averaged for the
239 period 2010 to 2014, providing a summary of total nutrient flows to, from and between individual
240 production systems. Analysis of the 30-year time series for N, P and K is reported in subsequent
241 sections.

242 The total average annual input of nutrients to agricultural soils within Huantai county between 2010
243 and 2014 was 18.1 kt N, 2.7 kt P and 7.8 kt K (696 kg N/ha; 104 kg P/ha; 300 kg K/ha). The majority
244 of the overall input of nutrients was associated with inorganic fertilisers for N (67%) and P (81%). In
245 contrast, fertiliser and returned crop residue contributed relatively similar proportions of the total K
246 input (46% and 52%, respectively). Considering all production systems together, manure only
247 contributed between 1.6% and 3.4% of the total input across N, P and K, because the input of
248 manure is concentrated on a relatively small area of fruit and vegetable production within the
249 county (Figure 2). The recycling of crop residue represented a larger input of nutrients to soil at
250 county level (16% N, 15% P, 52% K) compared to the input via manure. For N, atmospheric
251 deposition was also a more significant nutrient input (c.11% N) compared to manure (Figure 3).
252 However, manure contributed more than 20% of the total P and K input, alongside around 19% of
253 the total N input, to soil under fruit and vegetable production.

254 In absolute terms, the largest nutrient flows were observed in the wheat/maize and vegetable
255 production systems in the county, driven by the large area of land under this form of production (for
256 wheat/maize) or by the intensive use of nutrients to support production (vegetable). The proportion
257 of nutrient input to a system that was subsequently taken up and incorporated into crop products
258 varied between individual production systems, as reflected in the NUE data reported in Table 1 and
259 discussed further in section 3.3. The balance term in the soil compartment of the SFA represents the
260 proportion of the total nutrient input to soil, which is not taken up by crops. This mass of nutrients
261 can either accumulate in the soil or be lost to the atmosphere or to receiving waters. Substantial
262 accumulation of N, P and K in soil was observed under every form of production within Huantai
263 county, although the absolute mass of nutrients that accumulated was particularly high under
264 wheat/maize, vegetable and other arable crop production. The mass of nutrients accumulating
265 within soil exceeded that in agricultural products for N, P and K under other arable crop and fruit
266 production systems and, for P alone, under vegetable production. The losses of nutrients to the
267 atmosphere or to receiving waters were at least 40% of the mass taken up by the different crops. In
268 the extreme cases, losses of nutrients exceeded the mass taken up by crops by a factor of two for
269 other arable crops and three for fruit (Figure 3).

270 The nutrients taken up by a crop are subsequently divided into fractions that are classed as product
271 (e.g. the nutrient content of grain for wheat/maize), residue (nutrient content returned to the soil
272 with crop residue) and waste (nutrient content within crop residue that is not returned to the soil).
273 For "other arable crop", the waste nutrient content was approximately double the nutrient content
274 within agricultural products themselves. However, the amount of waste nutrients in this production
275 system was surpassed by the losses to the environment for N. The mass of nutrients returned to soil
276 within residue was greatest in the wheat/maize production system, where 90% of residues are
277 returned to soil. This is particularly apparent for K, where the return of residue was responsible for

278 more than half of the total K input to soil. The wheat/maize and other arable crop systems also
279 supply an input of nutrient elements to the livestock production system via feed. The livestock
280 system was only differentiated between nutrients that are contained in livestock products (dairy,
281 eggs and the whole animal) and nutrients that are contained in the excreta produced during the
282 lifetime of the livestock. In the livestock sector, the amount of nutrients lost to the environment
283 during housing and storage was greater than the sum of the total mass of nutrients (N, P and K) in
284 livestock products and in manure returned to agricultural soils (Figure 3).

285 **3.2 Long term trends in nutrient inflows and outflows at county-level**

286 Total nutrient flows into and out of the soil surface across all production systems within Huantai
287 county generally remained relatively stable or increased only gradually between 1983 and 1989
288 (Figure 4). However, inputs increased dramatically for N and P between 1989 and 1993 to reach
289 maximum levels across the 30-year time series. The increase in K inputs was more prolonged,
290 beginning in 1983 but not peaking until 1998, followed by a secondary increase in K inputs between
291 2009 and 2012. Outflows tended to mirror the increased inputs of nutrients between 1989 and
292 1993, although at a lower rate especially for P and K (Figure 4) in this period. After 1993, both
293 inflows and outflows of N and P to the soil surface generally exhibited small decreases in absolute
294 terms, with inflow and outflow of K remaining more constant. The outflow of each nutrient includes
295 losses to the atmosphere and to receiving waters, which are particularly high for N, alongside the
296 outflow of nutrient elements in agricultural products. Therefore, a positive net balance between
297 inflows and outflows in Figure 4 indicates nutrient accumulation within the soils of the county, which
298 is the case for N and, particularly, for P. The time series for K differs markedly compared to either N
299 or P. A net deficit for K at the soil surface was observed between 1983 and 1993. Across all
300 production systems, this deficit translated to approximately 8 kg ha⁻¹ year⁻¹ until 1989, after which
301 the K deficit gradually decreased until inputs and outputs achieved an approximate balance from
302 1994 until around 2011, when a further increase in K inputs resulted in a net positive balance at the
303 soil surface (Figure 4). Despite the positive soil K balance from around 2011 onwards, the overall soil
304 K balance for the entire time series remains in deficit by 11.6 kg ha⁻¹ when averaged across all
305 production systems.

306 **3.3 Time series for individual crop production systems in Huantai county**

307 Due to substantial differences in the total area under production for individual crops in Huantai
308 county, inputs and outputs of nutrients for each production system were normalised by area and are
309 reported as kg nutrient ha⁻¹ in Figures 5-8, allowing direct comparison between individual systems.
310 Total nutrient inputs and NUE for each production system are reported as 5-year averages across the
311 period 1983-2014 in Tables 1 and 2.

312 **3.3.1 Wheat-maize production**

313 In the wheat and maize production system, N and P application rates via inorganic fertiliser have
314 fluctuated over the 30-year period, but have always remained by far the most significant source of
315 both nutrient elements, with application rates consistently exceeding 400 kg N/ha and 50 kg P/ha. In
316 comparison to inorganic fertiliser, other sources of N and P have remained relatively insignificant,
317 although inputs of N and P via the recycling of crop residue, alongside N input via atmospheric
318 deposition, have increased steadily between 1983 and 2014. For K, the input associated with
319 recycling of crop residues grew in parallel with increasing inorganic fertiliser input, to the extent that
320 each source contributed relatively equal masses of K to the total input to soil under wheat and maize

321 production. The increase of residue returned to the soil occurred in two stages with wheat initially
322 reaching a proportion of 90% being returned to the soil in 1995 followed by maize in 2008 (data not
323 shown). Indeed, during the period 2007 to 2011 the input of K via inorganic fertiliser decreased in
324 response to the increase of maize residue returned during that time, so that the return of crop
325 residues to soil represented the most significant source of K to land under wheat and maize
326 production. Manure application to wheat and maize always occurred at extremely low rates and
327 finally decreased to zero after 1999, with vegetable production becoming the main recipient for
328 manure generated in the county. The mass of nutrients that was estimated to be lost did not exhibit
329 the same increase as observed for the input of nutrients during the period 1983-1993, particularly
330 for P and K. Nutrient use efficiency for N and P increased substantially between the beginning and
331 the end of the 30-year period, primarily as a result of increased output of nutrients within crop
332 products rather than any substantial decrease in nutrient input. For K, NUE >100% was observed at
333 the beginning of the 30-year period, reflecting greater offtake of K in agricultural products compared
334 to the mass of K input to land under wheat and maize production. With increased K inputs in both
335 inorganic fertiliser and crop residue from 1990 onwards, NUE decreased to below 100% and has
336 remained relatively constant across the period 1990-2014. However, cropland is the only production
337 system that still exhibits a negative soil accumulation for K (-995 kg ha^{-1}) across the whole time
338 period.

339 Generally, the mass of inorganic fertilisers recommended by the Huantai Agricultural Bureau to be
340 applied for wheat production has decreased since 1997, although there was a substantial increase in
341 the recommended rate of K application comparing 1997 to 2004-2014 (Table 3). In 1997 and 2004, N
342 input via inorganic fertiliser, as determined in the SFAs reported above, was within or above the
343 recommended range. In contrast, in 2006 and 2014, fertiliser N input for Huantai county was below
344 the recommended levels and was well matched to the combination of grain and straw uptake.
345 Fertiliser P input was also within or below the recommended range across 1997-2014, being only
346 slightly above combined grain and straw uptake in 2014 and 2006, but in excess of these outputs in
347 2004 and 1997. Other than for 1997, fertiliser inputs of K remained below recommended rates for
348 wheat in Huantai county. For all years, fertiliser K inputs were below the combined uptake in wheat
349 straw and grain, which was also the case for fertiliser recommendations although these
350 recommendations were higher than recorded inputs in the SFAs (Table 3). In all years reported in
351 Table 3, the application of N as inorganic fertiliser exceeded wheat grain output by factors between
352 1.3 and 1.6. The application of P as inorganic fertiliser was also at least 1.3 times the wheat grain
353 output and reached a maximum of 1.9 times grain output. For K, recommended fertiliser application
354 rates were at least twice the crop grain output.

355 **3.3.2 Other arable crops (soybean, peanut, cotton, potato and sweet potato)**

356 On other arable crops, inorganic fertiliser was also the main source of nutrients, with application
357 rates that approach those for land under wheat/maize production, despite much lower output of
358 nutrients in crop products for these other arable crops (Figure 6). The application rates for inorganic
359 fertiliser fluctuated dramatically over the 30-year time series, ranging from $<50 \text{ kg ha}^{-1}$ to $>400 \text{ kg ha}^{-1}$
360 $^{-1}$ for N between 2003 and 2008, and from $<40 \text{ kg ha}^{-1}$ to approaching 100 kg ha^{-1} for P between 2006
361 and 2009. These variations in the input of inorganic fertiliser for N and P show no consistent trend
362 over the 30-year period. The input of inorganic K fertiliser remained below 50 kg ha^{-1} until 2000,
363 after which it increased rapidly to reach approximately 150 kg ha^{-1} in 2014. The mass of both N and P
364 output from Huantai county in crop products has been approximately equal to the mass of each

365 element lost to the environment between 1983-2014, with some periods in which the losses
366 exceeded the output in crop products, including between 2006 and 2013 for N where losses
367 exceeded crop output by a factor of up to 4.3. For K, the output in crop products has remained
368 substantially above the mass lost to the environment throughout the 30-year period. Nutrient use
369 efficiency for these other arable crops in Huantai county was extremely low (around 10%) for all
370 nutrients in the period 2010 - 2014 (Table 2).

371 **3.3.3 Vegetables**

372 Vegetable production was associated with the highest nutrient input rates across all three elements
373 throughout the 30-year time series (Table 2), which is at least partly justified by the relatively high
374 nutrient output associated with vegetable products compared to other production systems in
375 Huantai county (Figure 7). This is consistent with a relatively high NUE for vegetable production,
376 certainly with respect to N and K, compared to other production systems (Table 2). The inorganic
377 fertiliser application rates for N and P decreased gradually between 1983 and 2000 (Figure 7), before
378 increasing dramatically between 2005 and 2010, reaching (for N) or even exceeding (for P) fertiliser
379 application rates in 1983. The greatest proportion of the manure produced by livestock in the county
380 has always been applied to land under vegetable production, which could reach levels of over 90% of
381 the total of the total manure produced in the county, with the rest distributed between the other
382 production systems (data not shown). It is reflected in the large proportion of the total nutrient
383 input to land under vegetable production that is associated with manure, especially for P and K. The
384 amount of manure applied has fluctuated with the livestock numbers within the county. However, a
385 maximum threshold for N input via manure has been set beyond which excess manure is assumed to
386 be exported from the county. This threshold has been met for most years for land under vegetable
387 production (data not shown). The output of nutrients within vegetable products remained fairly
388 constant between 1983 and approximately 2000, after which it almost doubled for N, P and K.
389 Estimated losses of N to the environment from land under vegetable production exceeded losses
390 from land under all other forms of production in the county, approaching 400 kg ha⁻¹ both in the
391 early and later stages of the 30-year time series. Substantial losses of P and K were also estimated
392 from vegetable production, with only fruit production being associated with similar losses for these
393 elements.

394 **3.3.4 Fruit**

395 Nutrient inputs to land under fruit production have followed similar patterns to vegetable
396 production in Huantai county (Figure 8), reaching total input rates that are second only to land under
397 vegetable production (Table 1). Because the mass of nutrients output in fruit products has remained
398 relatively low, NUE for fruit production in Huantai county is also low, reaching a maximum of only
399 10% for N, 9% for P and 25% for K (Table 2). Manure has been a particularly important source of
400 both P and K, and second only to inorganic fertiliser as a source of N, for fruit production. The
401 estimated losses of N and P to the environment from land under fruit production have exceeded the
402 mass of N and P output in fruit products for much of the period 1983-2014. However, between 1997
403 and 2000 there was a substantial increase (a factor of 13 across all elements) in the output within
404 fruit production. At least for K, this resulted in the nutrient output in products exceeding the
405 estimated losses to the environment from 1999 onwards.

406

407 **4 Discussion**

408 **4.1 Nutrient use efficiency in Huantai county**

409 When averaged across all production systems, NUE for both N and P increased by approximately
410 20% within Huantai county between 1983 and 2014 (Table 2), although the most pronounced
411 increases in NUE occurred before the late 1990s, particularly for N. Because the definition of NUE in
412 the research described above deliberately includes all nutrient inputs other than crop residue,
413 absolute NUE is lower than has been reported for similar production systems when only the input of
414 fertiliser is considered. For example, in a recent analysis of wheat-maize production in Huantai
415 county, Zhang et al. (2017) reported a NUE for wheat that approached 83% in 2012. However, our
416 underlying analyses are consistent with those of Zhang et al., with NUE for wheat in the period 2010-
417 2014 exceeding 76% when only fertiliser input is considered (Table 3). The substantial reduction in
418 NUE that is observed when additional sources of nutrients beyond inorganic fertiliser are considered
419 highlights the importance of properly accounting for all inputs in nutrient management plans, if
420 more sustainable agricultural production is to be realised.

421 Variation in NUE over time has also been examined at larger spatial scales across the whole of China.
422 For example, Ma et al. (2012) examined NUE for N and P across 31 provinces in China for 1980 and
423 2005, highlighting a declining trend in N-NUE (40 to 33%) and P-NUE (65 to 37%) for Shandong
424 province, within which Huantai county is located, consistent with the overall development across all
425 of China (N-NUE: 32 to 26%; P-PUE: 59 – 36%). The contrasting trajectories for NUE at province level
426 and at the level of Huantai county indicates that other counties in Shandong province are likely to
427 have seen substantial reductions in NUE over the past 30 years, in contrast to the increase we report
428 for Huantai county. This can simply be due to a different crop mix in different areas as was pointed
429 out by Zhang et al., (2015). This highlights the likely heterogeneity of nutrient stocks and flows when
430 considered at different spatial scales. In turn, this emphasises the importance of undertaking
431 analyses of nutrient stocks and flows at spatial scales that are aligned with the structural
432 organisation of bodies able to deliver change in agricultural policy and practice, specifically the
433 county agricultural bureau in the research reported here.

434 Increasing NUE in Huantai country between 1983 and 2014 was primarily due to increases in
435 nutrient output associated with higher crop yields, rather than decreases in N or P inputs.
436 Substantial yield increases (69% for cereals, 43% for vegetables and 23% for fruit) have been
437 observed globally during this time period, with China being no exception (FAOSTAT, 2014). The
438 drivers of increased yields around the world are associated with the introduction of improved crop
439 varieties, but also with changes in management practices such as fertiliser input and mechanisation
440 (Hazell, 2009). Huantai is considered one of the most advanced counties in China with respect to the
441 introduction of agricultural technology, including compound fertiliser formulations and
442 mechanisation (Zhang et al., 2017; Huantai Agricultural Bureau, 1993). The introduction of higher-
443 yielding varieties of wheat and maize in the 1990s and 2000s has been responsible for increases in
444 NUE for land under this form of production within Huantai county, whilst local government support
445 for the purchase of agricultural machinery has enhanced crop residue incorporation within soil and
446 reduced excessive fertiliser application (Zhang et al., 2017). However, production systems in Huantai
447 county other than wheat-maize have also seen distinct increases in yield due to changes in
448 agricultural practices over the past 30 years that have enhanced NUE. For example, a substantial
449 increase in yield was also associated with the switch from open-field to greenhouse vegetable

450 production around 2000, resulting in higher nutrient masses associated with crop outputs that has
451 persisted until the end of the time series in 2014.

452 Despite the increase in NUE for N and P between 1983 and 2014 when averaged across all crop
453 production in Huantai county, considerable differences in NUE were observed between individual
454 production systems, consistent with previous research (Miao et al., 2011). Particularly low NUE was
455 observed for the production of other arable crops and fruit, where NUE remained $\leq 25\%$ across all
456 the nutrients in the period 2010-2014. To our knowledge, no previous research has assessed the
457 NUE associated with the production of other arable crops in China, such as cotton, peanut, soybean,
458 potato and sweet potato. This group of crops as well as fruit was associated within the lowest NUE
459 values for N, P and K in our analyses, indicating that further research would be helpful in order to
460 better understand how NUE associated with these crops can be enhanced. Particularly, in regard to
461 fruit a better estimation of the nutrient uptake associated with biomass increase would be desirable.
462 Still, our data are consistent with other research in China that has shown low NUE in fruit and
463 vegetable production, due to excessive inputs of inorganic fertiliser and manure (Gao et al., 2012; Lu
464 et al., 2016). This partly reflects risk-aversion among farmers who are concerned not to reduce the
465 yield of high-value fruit and vegetable crops, which is also reflected in fertiliser recommendations
466 that often advise nutrient applications in excess of crop demand (Bellarby et al., 2017; Lu et al.,
467 2016, 2014; Smith and Siciliano, 2015). Furthermore, manure is not widely recognised as a nutrient
468 source in fruit and vegetable production, but rather as a soil improver (Bellarby et al., 2017).
469 However, our analyses suggest that manure, in combination with crop residue, could account for a
470 significant proportion of the demand for P and K exerted by vegetable production, as well as the
471 entire N, P and K demand associated with fruit production, as has also been highlighted in some
472 previous research (Gao et al., 2012; Lu et al., 2016). However, the actual contribution of manure to
473 the crop nutrition will depend on the availability of these nutrients. The lack of accurate
474 characterisation of the available nutrient content of manures is one of the significant barriers to the
475 improved use of manure within agricultural production within China (Chadwick et al., 2015). This is
476 aggravated by the widespread absence of the machinery required to apply manure to land (Ma et
477 al., 2012).

478 The patterns of K use in crop production in Huantai county contrast strongly with those for N and P.
479 Nutrient use efficiencies $>100\%$ for K in the period to approximately 1995 indicate that soil reserves
480 of K were effectively mined during this time in order to support production. Prior to the wider
481 introduction of chemical fertilisers in the mid 1960s (Miao et al., 2011), farmers in the county did not
482 experience K limitation of crop production, because yields and therefore crop demands were lower
483 and because manure was more heavily recycled to soils thereby supplying sufficient inputs of K
484 (Meng et al., 2000). However, the increase in inorganic fertiliser use in China has generally reduced
485 the input of organic materials to agricultural soils (Chadwick et al., 2015). Early use of inorganic
486 fertilisers mainly involved the supply of N and P, meaning that crop demand exceeded K input and
487 that net removal of K from soil reserves began. The depletion of soil K reserves in China was
488 identified as a serious problem by the World Bank in the late 1990s, and one that could even lead to
489 an irreversible soil degradation (Sheldrick et al., 2003). Compound fertilisers were gradually
490 introduced for different crops to address this problem (Huantai Agricultural Bureau, 2014). After
491 1995 the increases in the inputs of K to soil by farmers in Huantai county have reversed the mining
492 of soil K, resulting in NUE $<100\%$ and a net surplus of K at the soil surface (Figure 4). Important
493 sources of K within the county extend beyond only inorganic fertiliser to include crop residues for

494 the wheat-maize production system (Figure 5) and manure for the vegetable (Figure 7) and fruit
495 production systems (Figure 8). These additional K inputs have been recognised by farmers in the
496 county who have decreased fertiliser K input in wheat-maize systems in parallel with increasing
497 incorporation of maize residue within soils (Figure 5). However, the input of K to the wheat/maize
498 system has proven insufficient after 2005, leading to increased fertiliser K inputs in recent years
499 (Figure 5). K input levels now exceed the immediate crop demand, which for the wheat maize
500 system has still not been sufficient to replenish the K mined in early years.

501 **4.2 Nutrient balance at the soil surface in Huantai county**

502 Despite the increases in NUE reported over the past 30 years for Huantai county, considerable
503 surpluses of N, P and K have been observed across all production systems (with the exception of K in
504 the wheat maize system) during this period. The N estimated to be accumulated in soil under the
505 wheat maize system in this study (1.3 t ha^{-1} , derived from data in supplementary material) is
506 consistent with a reported soil carbon stock increase of 12 t ha^{-1} in the same time period (Liao et al.,
507 2014) assuming an approximate C:N ratio in soil of 1:10. A net surplus of nutrients at the soil surface
508 may previously have been justified on the basis of needing to enhance the fertility of much
509 agricultural land in China (Ju et al., 2004). However, this is not currently a requirement for large
510 areas of land under agricultural production in the North China Plain. A continued surplus of nutrients
511 at the soil surface has two potentially significant implications. Firstly, the surplus increases the risk of
512 immediate export of nutrients to the environment and the adverse impacts associated with nutrient
513 export from agricultural land. For example, the average export of N between 2010 and 2014 to the
514 atmosphere or to receiving waters was at least 40% of the mass taken up by the different crops in
515 Huantai county. In extreme cases, losses of N exceeded the mass taken up by crops by a factor of 1.8
516 for other arable crops and three for fruit. The environmental impact of excess nutrient leaching
517 through the soil profile has already been documented locally for the concentration of nitrate in
518 groundwater within Huantai county (Liu et al., 2005; Xue et al., 2015). More broadly, elevated
519 nitrate levels in groundwater are a widespread problem in intensively farmed areas in China (OECD,
520 2007; World Bank, 2006), as in many other countries. Such pollution can impose significant
521 economic costs in terms of water treatment requirements or, ultimately, the loss of available
522 resources. Our estimates of losses are very conservative compared to other studies and our total N
523 losses amount to only 77 kg ha^{-1} in 2005 in comparison to a total of 237 kg ha^{-1} losses of N reported
524 by Ma et al., (2012). However, the total N losses were still at least 40% of applied fertiliser, which is
525 consistent with other Chinese studies mentioned in Ma et al., (2012).

526 Secondly, even after accounting for losses of nutrient elements to the atmosphere or to water, a
527 positive nutrient balance was observed at the soil surface across all nutrients and production
528 systems (with K in wheat/maize being in exception to this), indicating that net accumulation of
529 nutrients occurred within the agricultural soils of Huantai county (mean soil accumulation of N: 1743
530 kg ha^{-1} , P: 863 kg ha^{-1} and K: -872 kg ha^{-1}), which was confirmed indirectly via increased soil organic
531 carbon levels (Liao et al., 2014) and elevated nitrate groundwater levels (Liu et al., 2005) in the same
532 area but also directly by elevated soil nutrient levels across China (e.g. Chen et al., 2017; Yan et al.,
533 2013). Increases in soil nutrient concentrations above optimum levels for crop production are known
534 to increase the risk of diffuse water pollution from agriculture, a risk that may persist as a legacy of
535 previous agricultural practices many decades after adjustments are made to the rate at which new
536 nutrient inputs are applied to agricultural soils (Haygarth et al., 2014; Sharpley et al., 2013; Wang et
537 al., 2013a).

539 **4.3 Opportunities for future improvements in nutrient use efficiency in agriculture** 540 **within Huantai county**

541 Generally, the output of each nutrient element in crop products has stabilised since the mid-1990s in
542 Huantai county (Figure 4). Therefore, further increases in NUE, leading to reductions in the adverse
543 impacts associated with nutrient export from agricultural land, should focus on closer alignment
544 between nutrient inputs and crop demand. The SFAs reported above highlight a number of key areas
545 in which future agricultural policy and practice could try to deliver beneficial change in nutrient
546 management. Firstly, there remain opportunities to optimise inorganic fertiliser applications within
547 the county. Generally, research and advice is often more advanced for cereal crops in China, due to
548 their major role in ensuring national food security (Dai et al., 2013) and the large areas of land under
549 this form of cultivation. For example, a relatively large number of studies have examined nutrient
550 management within wheat and maize production (e.g. Cai et al., 2002; Chen et al., 2014; Dai et al.,
551 2013; Hartmann et al., 2014; Ju et al., 2009). This is reflected in fertiliser recommendations, which
552 are generally well-matched to total crop output (Table 3). Relatively widespread mechanisation of
553 fertiliser application to cereal crops in Huantai county has also helped to reduce the excessive input
554 of inorganic fertiliser (Zhang et al., 2017). However, it is clear from our SFAs that parallel work still
555 needs to be done in other production systems (fruit, vegetable and other arable crops) to reduce
556 excessive applications of inorganic fertilisers. Whilst the area of land under these forms of
557 production in Huantai county is much lower than for the wheat-maize rotation, the very high rates of
558 inorganic fertiliser application to these areas of land, coupled with possible increases in the area of
559 land under these forms of production as diets change within China (Huang et al., 2014; Yan et al.,
560 2013), indicate that further attempts to limit excessive inorganic fertiliser applications to non-cereal
561 crops is necessary. The priority to make these systems more sustainable is to drastically reduce the
562 current chemical fertiliser input and take the contribution of nutrients from manure into account
563 (Chen et al., 2017; Yan et al., 2013).

564 Secondly, there is clear need to appropriately account for additional sources of nutrients that are
565 input to agricultural soils as part of future nutrient management strategies, across all nutrient
566 elements and crop systems. This is illustrated through our observations of similar NUEs for both
567 wheat-maize and vegetable production within Huantai county, despite the fact that inorganic
568 fertiliser management is often deemed to be well-matched to wheat-maize demand within the
569 county. Our observations regarding NUE partly reflect the substantial input of nutrients from sources
570 other than inorganic fertiliser, in particular crop residues to wheat-maize systems and manure to
571 vegetable systems. More generally, improvements in NUE could be generated by adjusting inorganic
572 fertiliser applications to account for crop nutrient supply from: atmospheric N deposition; crop
573 residue; manure and soil reserves. Clearly, there are significant challenges in the use and accurate
574 accounting for nutrients within these sources. For example, the mineralisation of nutrients input to
575 soil within crop residues or manure is critical for subsequent supply to crops, but the extent of
576 mineralisation varies significantly depending on factors such as soil temperature, microbial
577 community composition and soil nutrient status (Hartmann et al., 2014). Further, following the initial
578 return of crop residue to soils, soil organic carbon levels will increase and may lead to the
579 immobilisation of nutrients with the increase in organic matter (Liao et al., 2014), which in turn will
580 decrease N₂O and NO emissions (Yao et al., 2017). Enhanced use of livestock manure will require
581 further mechanisation of agriculture in China in order to support the distribution and application of

582 manure to a more diverse range of production systems in ways that overcome current barriers,
583 including labour and time costs associated with manure application (Hou et al., 2013) and the lack of
584 characterisation of the nutrient content of manures (Chadwick et al., 2015). Currently, such barriers
585 result in no manure application to cropland in Huantai county. More effective use of the substantial
586 nutrient stocks within agricultural soils of Huantai county will require an effective soil sampling and
587 analysis programme, coupled with an ability to modify inorganic fertiliser recommendations and the
588 composition of compound fertilisers in ways that response to the spatial heterogeneity of soil
589 nutrient supply (Sharpley et al., 2013). Despite these challenges, it is clear that a more integrated
590 framework for nutrient management in Huantai county, accounting for all forms of nutrient supply,
591 would help to deliver future increases in NUE. Examples of such integrated nutrient management
592 frameworks exist in countries beyond China (e.g Defra, 2010) and could provide the basis for the
593 development of a parallel framework that reflects the specific conditions within China. However,
594 more importantly there is existing work in China on, for example, integrated soil-crop system
595 management (Chen et al., 2014) or the use of a nutrient decision support system (Chuan et al., 2013)
596 as well as more generic recommendations on nutrient and manure management (Bai et al., 2016;
597 Chen et al., 2017; Yan et al., 2013).

598

599 **4.4 Policy implications and conclusions**

600 The results reported above demonstrate the value of analysing nutrient management practices over
601 time, for different agricultural production systems and with varying spatial resolution. For intensive
602 production systems and large areas representative of the North China Plain, use of inorganic
603 fertilisers, manures and crop residues have been shown to remain inefficient in many cases and to
604 present risks to the environment. National-scale SFAs are useful to identify aggregate trends and any
605 need for policy reform at the highest level. However, the research reported here also demonstrates
606 that drawing from data readily available for most counties in China, an SFA can provide important
607 insights into nutrient management practices at more local scales to inform county-level
608 administrators and technicians. More detail than was presented here can be drawn from the SFA
609 depending on the requirements of county officials. Making use of this kind of data will also give
610 individuals and organisations a means to monitor the impact of any policy and practice changes that
611 may be implemented.

612 For policy in China, the headline message is the need to complete a shift from mobilisation of
613 resources for production and growth, to management of resources for efficiency and sustainability.
614 Improved approaches are needed in China to rebalance the importance of productivity with
615 sustainable stewardship of farm inputs and natural resources (Smith et al., 2015). Evidence-based
616 nutrient management strategies, farm advice and compound fertiliser formulations, all well-tailored
617 to farming systems, farm characteristics and locations, can be seen as public goods (Bellarby et al.,
618 2017), that will require coordinated development and delivery by the public extension system,
619 research institutes, local government and input suppliers.

620 Use of multi-year monitoring and analyses will inform such strategies by reducing uncertainty and
621 identifying the impacts of policies, trends or shocks. As agrarian structures and farming systems
622 become more diversified and market-oriented in China, through further commercialisation and
623 processes of land transfer (consolidation of land holdings through rental and transfer arrangements;

624 Smith and Siciliano, 2015), there is an increasing need for local solutions that reflect the
625 heterogeneity of nutrient management requirements at sub-province, and even sub-county, scales.
626 This need is illustrated, for example, by the contrasts in NUE between the wheat/maize and
627 vegetable and fruit farming systems revealed for Huantai county in the SFAs reported above. As an
628 increasingly commercialised farming sector responds to the changing patterns of food demand from
629 a rapidly urbanising society, nutrient intensive crops and production systems will expand,
630 particularly in peri-urban areas, and nutrient management plans and farm advice provision must
631 become similarly dynamic and responsive. Integral to this, should be that localised and farming
632 system-focused nutrient management plans better recognise and account for the crop nutrients
633 exploitable in soil stocks, manures and crop residues. Soil nutrient stocks provide a key example of a
634 localised resource that requires conservation and management for optimisation of productivity,
635 sustainability and environmental protection. Achievement of the targeting and responsiveness
636 suggested here will require further investment in capacities for monitoring, analyses and
637 coordinated farmer advice provision. The latter will only be achieved through a variety of means,
638 including print and digital media and on-farm trials and demonstrations (Smith et al., 2015).

639 Ideally there would be capacity to recognise and provide for soil nutrient status by farm or plot and
640 how this varies depending on the historic input of nutrients. However, the number and
641 fragmentation of farm holdings will remain at least a partial barrier to this for some time, although
642 new remote sensing and other information technologies may increasingly find application. A
643 successful knowledge transfer strategy is always associated with challenges even in less difficult
644 areas (Rahn, 2013). Defining farmer types according to their farm system characteristics (e.g. farm
645 size, land management practices, outputs) and social characteristics (e.g. age, income, education),
646 and developing a tailored approach for each category may address the need for individual advice
647 within current practical limitations. This is a task for county Agricultural Bureaus in China, and it is
648 appropriate to continue to concentrate public resources for research and planning at county and
649 sub-county levels, whilst evaluating alternative approaches, the most successful of which can then
650 be adapted for wider implementation in China.

651 **5 Acknowledgements**

652 The analysis for this paper was concluded under the National Key R&D Project (2016YFD0800100).
653 The research commenced under the 'PPM-Nutrients: Knowledge, policy and practice for sustainable
654 nutrient management and water resources protection in UK and Chinese agro-ecosystems' Project,
655 funded by Defra (SCF0302) and the Ministry of Agriculture China, under the Sustainable Agriculture
656 Innovation Network (SAIN). The assistance of farmers, officials and other informants in our case
657 study research is also gratefully acknowledged. We thank three anonymous reviewers for their
658 comments that helped to significantly improve the manuscript.

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866 **Table 1: Nutrient use efficiencies (%) for different time periods across 1983-2014.**

Crop	N			P			K		
	1983	1996	2010	1983	1996	2010	1983	1996	2010
	-	-	-	-	-	-	-	-	-
	1987	2000	2014	1987	2000	2014	1987	2000	2014
Wheat/maize	34	52	52	50	56	72	178	52	60
Other arable	18	14	5	23	6	6	110	25	12
Vegetable	38	45	57	31	63	47	200	62	87
Fruit	6	10	10	5	9	7	25	19	23
Overall crops	32	47	51	44	53	66	166	52	61
Livestock	22	42	22	9	15	9	7	11	7

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874 **Table 2: Total nutrient input in kg ha⁻¹ for different time periods across 1983-2014.**

Crop	N			P			K		
	1983	1996	2010	1983	1996	2010	1983	1996	2010
	-	-	-	-	-	-	-	-	-
	1987	2000	2014	1987	2000	2014	1987	2000	2014
Wheat/maize	494	630	684	63	108	94	41	250	296
Other arable	250	220	379	28	66	70	30	67	159
Vegetable	1316	1057	1676	414	198	514	190	420	643
Fruit	639	745	1115	99	142	216	116	335	375
Overall crops	460	654	702	63	118	105	43	259	301

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878 **Table 3: Fertiliser recommendations (FR), estimated^a fertiliser**
879 **input (FI), actual grain output (GO) and straw output (SO) for**
880 **wheat production in Huantai county for the respective year (kg**
881 **ha⁻¹).**

Year	Item	N	P	K
2014	FR	232 - 246	39 - 45	100
	FI	212	41	87
	GO	166	28	33
	SO	50	6	82
2006	FR	222 - 273	52	100
	FI	217	38	71
	GO	171	29	34
	SO	48	5	79
2004	FR	207	56 – 67	116
	FI	252	52	78
	GO	159	27	32
	SO	46	5	76
1997	FR	214.5 – 288	59	75
	FI	257	54	78
	GO	172	30	34
	SO	52	6	85

882 ^a wheat fertiliser input has been estimated by assuming that half
883 of the fertiliser applied on the wheat/maize system is applied on
884 wheat.

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888 **Figure 1: Location of Huantai County in Shandong province within east China.**

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890 **Figure 2: Area of land under four major agricultural production systems and livestock units (LU) for**
891 **Huantai county from 1983 - 2014. Livestock units were calculated according to information given**
892 **on the Eurostat website**
893 **(http://epp.eurostat.ec.europa.eu/statistics_explained/index.php/Glossary:LSU) using the**
894 **following conversion factors to get LUs: cattle = 1, sheep = 0.1, pigs = 0.3, broiler = 0.007, layers =**
895 **0.014, other poultry = 0.03, rabbit = 0.02. Data is available for each year for all date series but only**
896 **marked by symbols for the wheat/maize and other arable crop system.**

897

898 **Figure 3: Conceptual design and nutrient flows for Huantai county detailing 5 agricultural systems**
899 **wheat/maize, other arable crops, vegetable, fruit and livestock. All values are 5 year averages in**
900 **t/year for the years 2010 – 2014, N (bold), P (normal) and K (cursive). The contribution to the input**
901 **from the different sources is provided as a bar chart representing their % contribution. The output**
902 **is termed as product for crops and livestock.**

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905 **Figure 4: Total inflow (fertiliser, seed, N fixation, air deposition, irrigation, straw) and outflow**
906 **(straw, grain and losses) of the soil in Huantai county. Outflow is the sum of products and losses to**
907 **the environment. NB different y-axes scales for individual elements.**

908

909 **Figure 5: Nutrient flows of wheat/maize. All flows are presented separately here. NB different y-**
910 **axes scales for individual elements.**

911 **Figure 6: Nutrient flows of other arable crop. All flows are presented separately here. NB different**
912 **y-axes scales for individual elements.**

913 **Figure 7: Nutrient flows of vegetable. All flows are presented separately here. NB different y-axes**
914 **scales for individual elements.**

915 **Figure 8: Nutrient flows of fruit. All flows are presented separately here. NB different y-axes scales**
916 **for individual elements.**

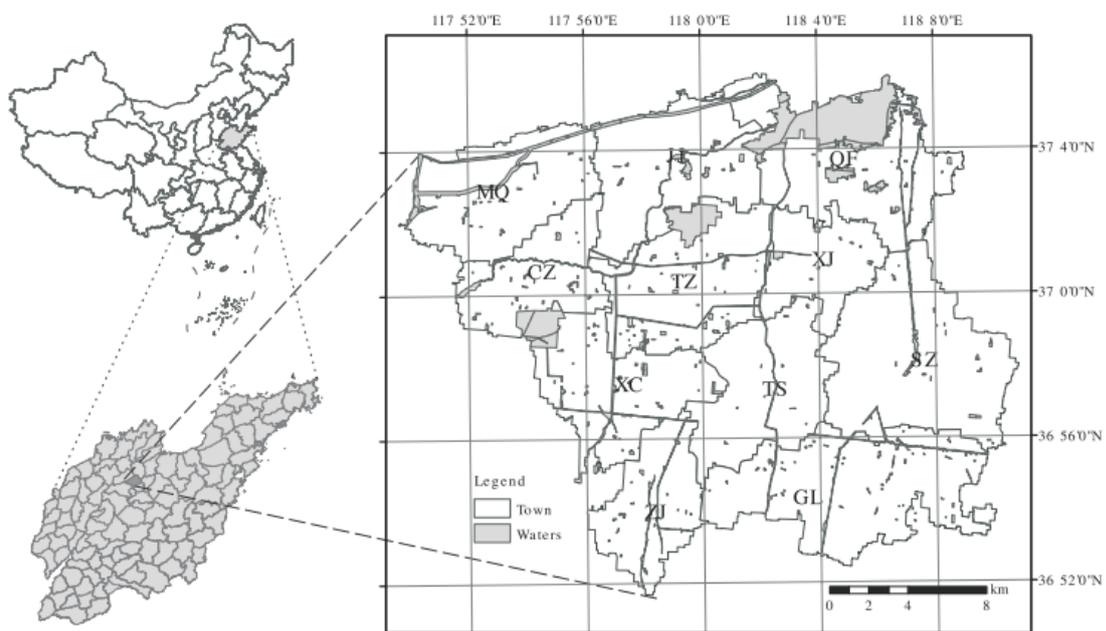
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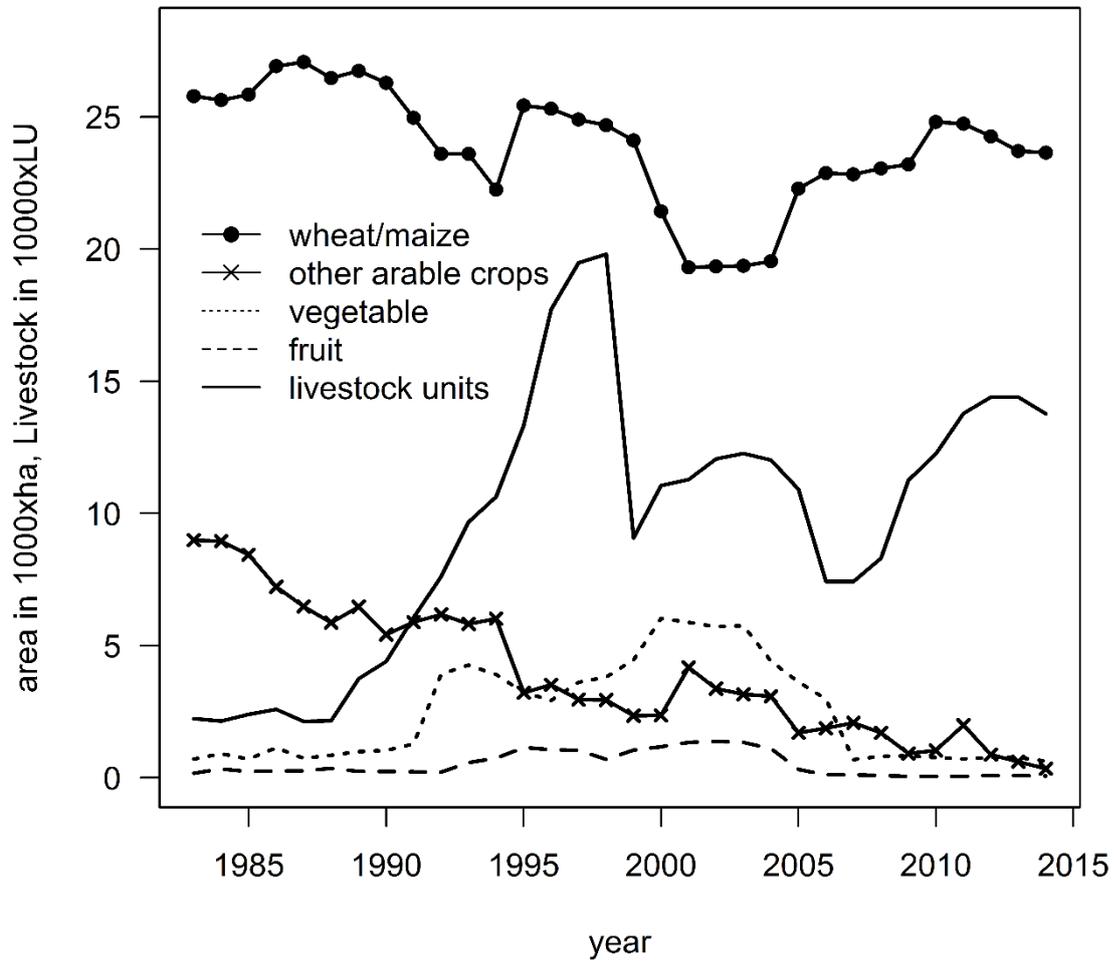
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921 **Figure 1.**



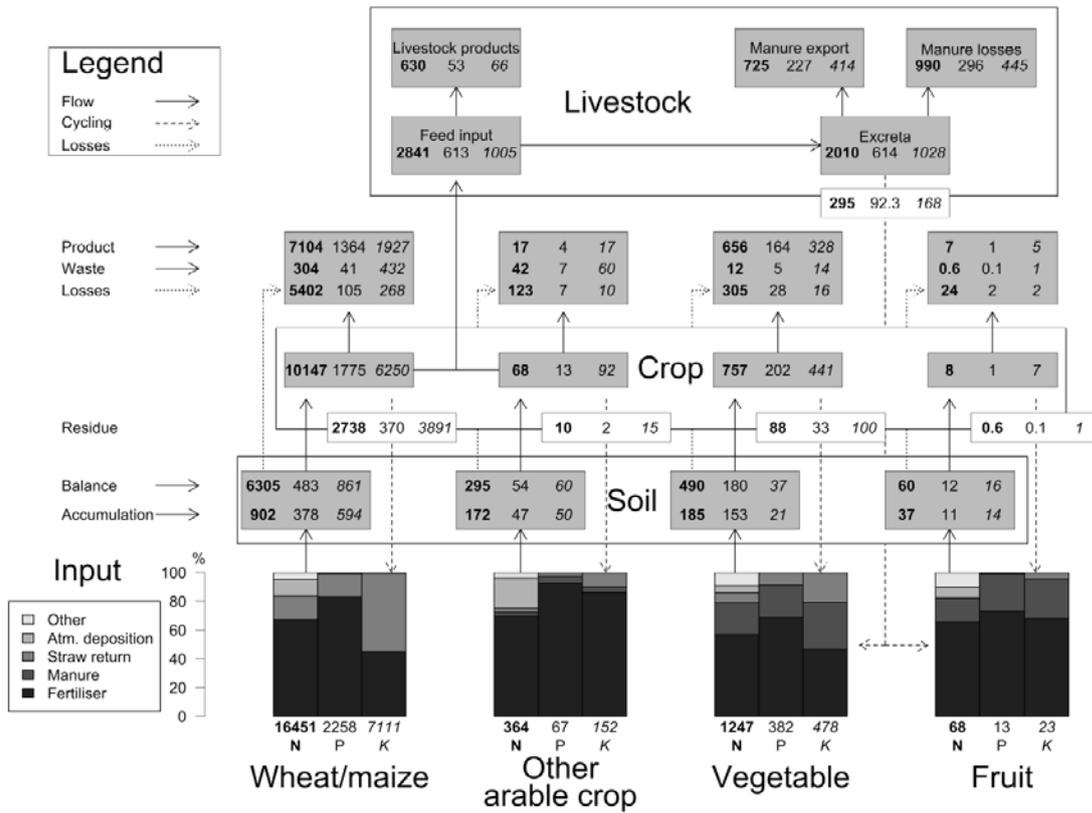
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938 Figure 2.



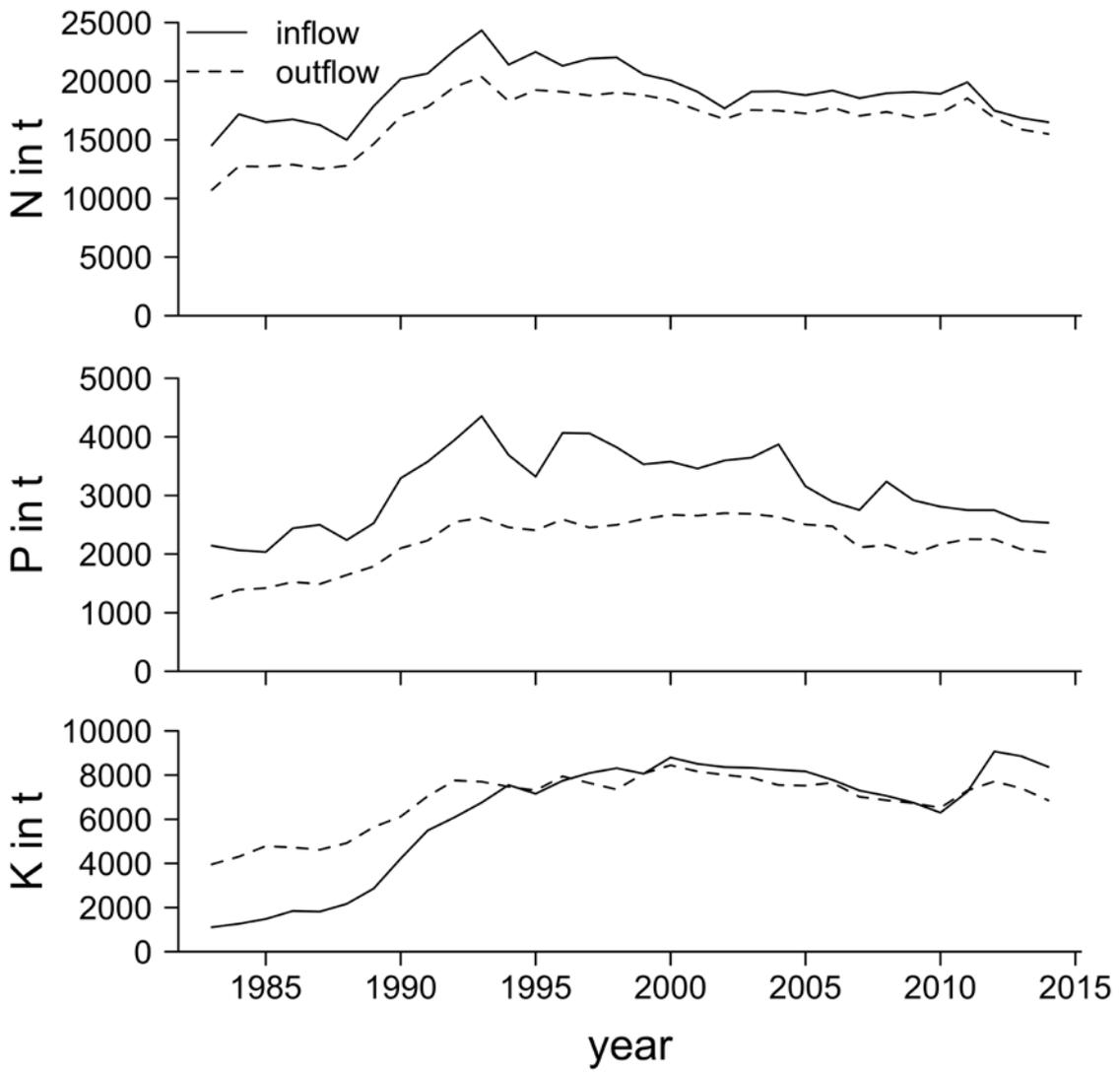
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949 **Figure 3.**



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965 **Figure 4.**



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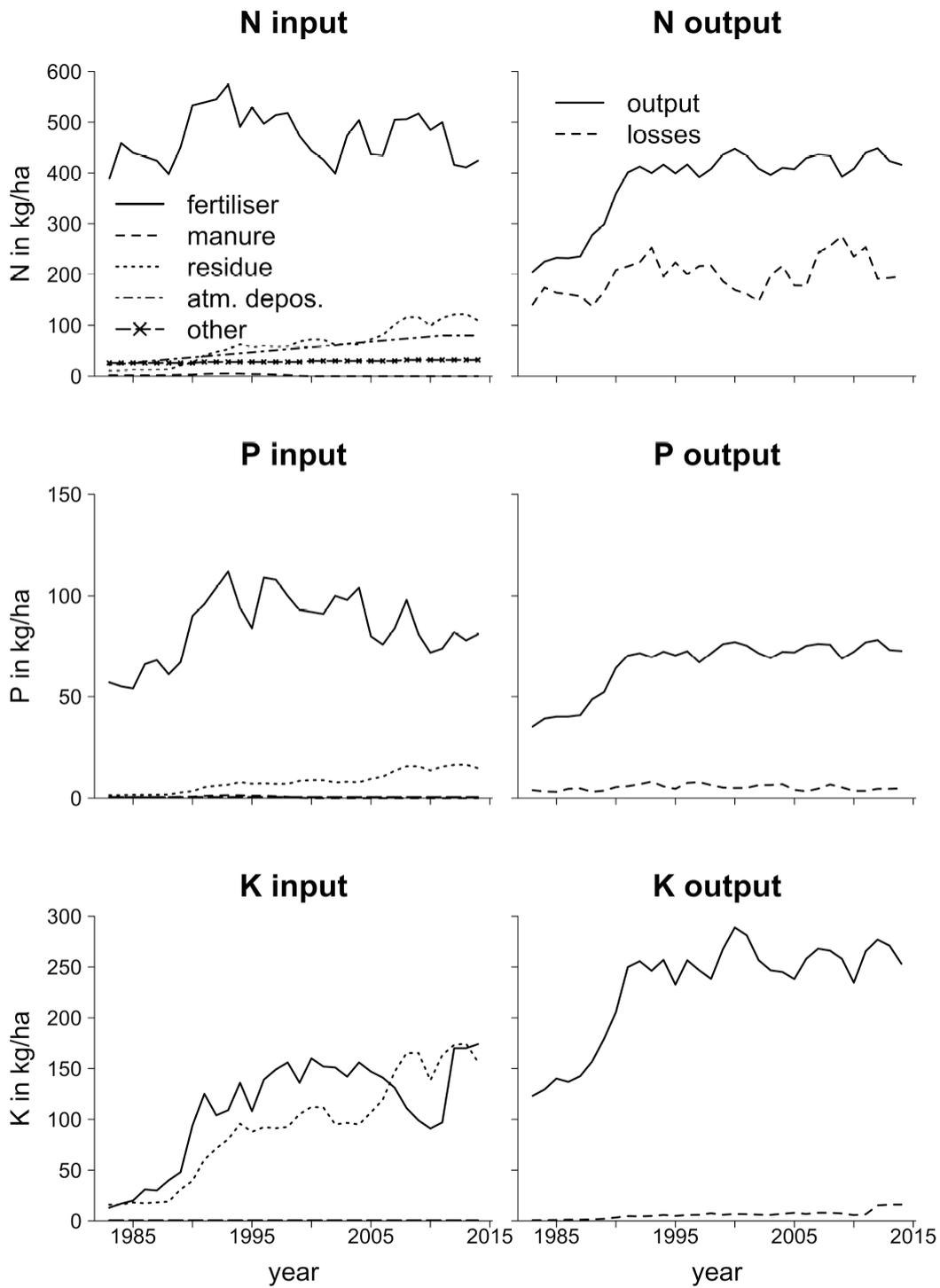
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975 **Figure 5.**



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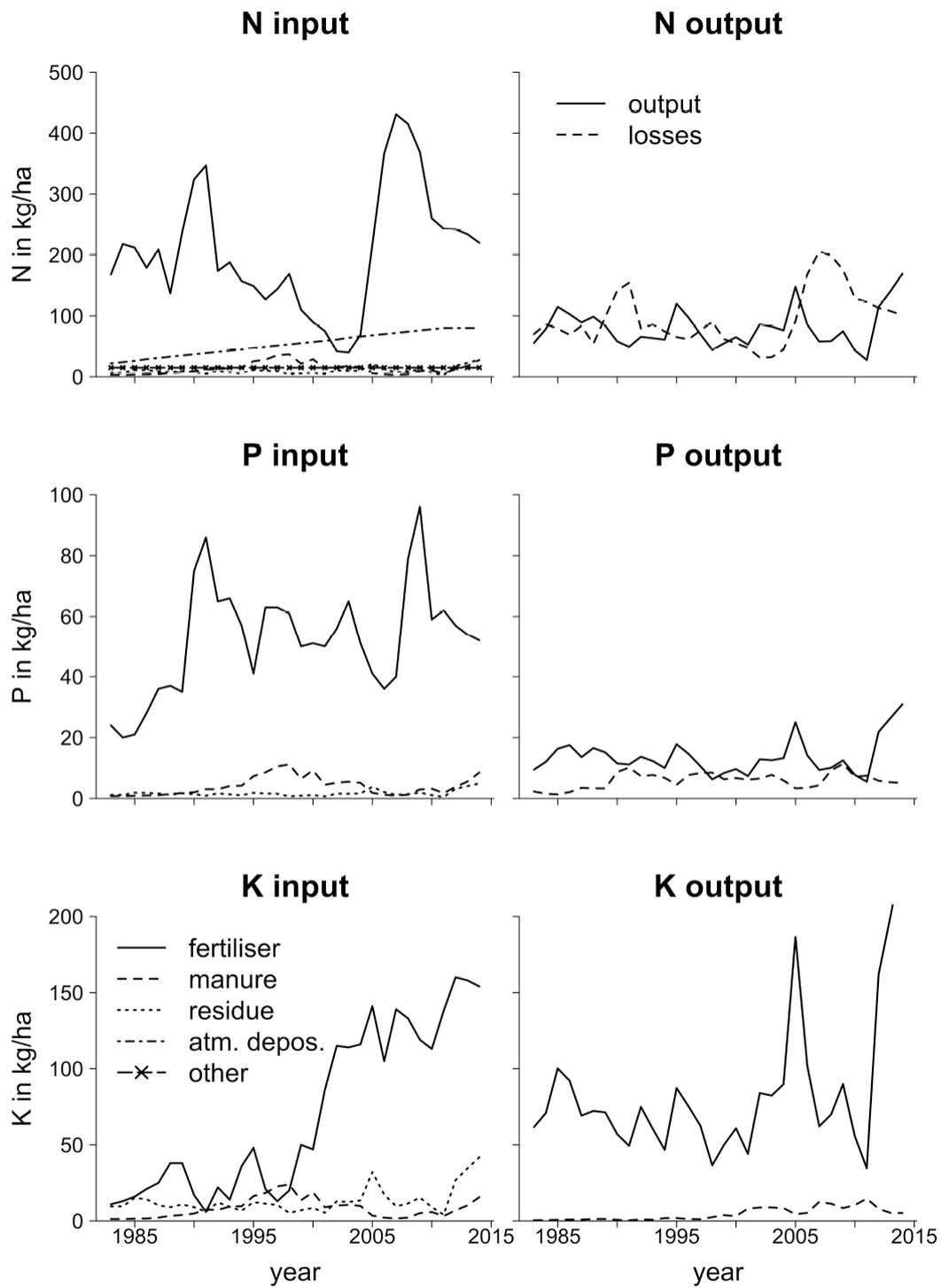
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981 **Figure 6.**



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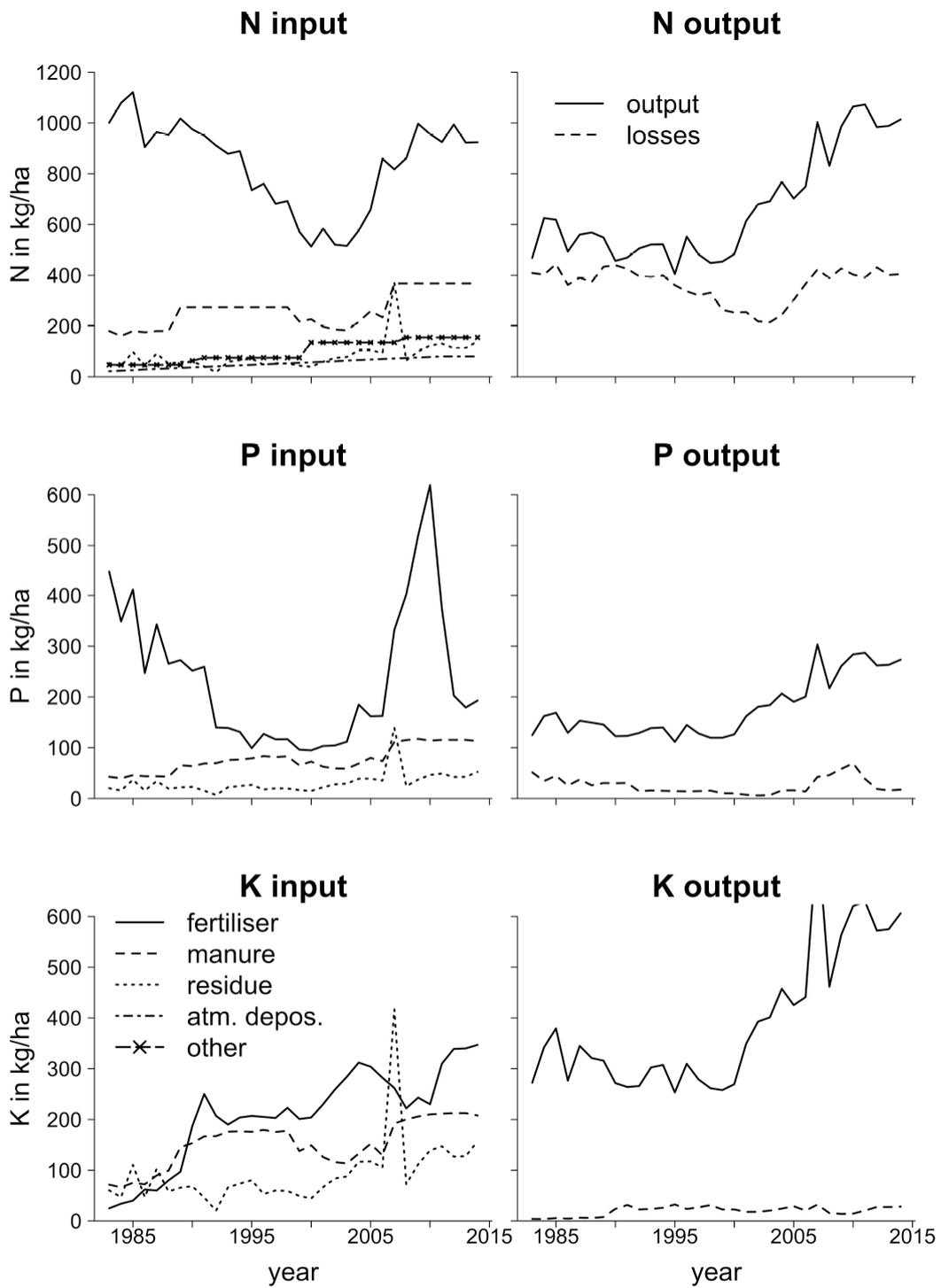
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987 **Figure 7.**



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993 **Figure 8.**

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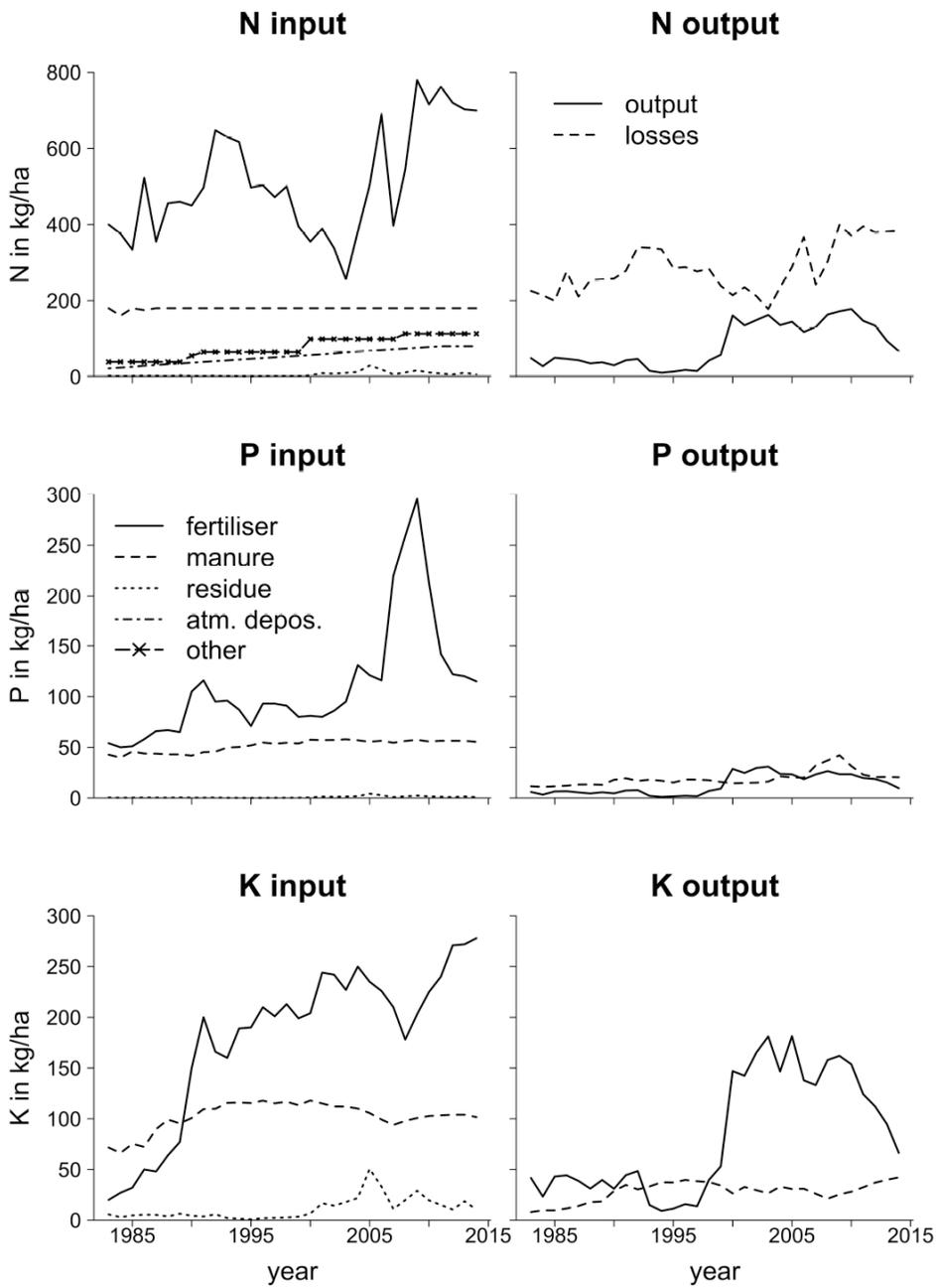
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The stocks and flows of nitrogen, phosphorus and potassium across a 30-year time series for agriculture in Huantai county, China – Supplementary Information

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Data and their sources for input and outputs

Table S1: Equations and data sources used to calculate nutrient flows in the analysis. “Other arable crops” are just referred to as “other” in this table for brevity.

Item No	Flow type	Item	Nutrient	Units, (calculations)	Data Source	Level of data certainty [†]
1	Land area	Wheat/maize, vegetable, fruits, other	NA	ha	a	***
Input						
2	Fertiliser	Total county	N, P, K	T	a	***
3	Fertiliser	Wheat/maize	N, P, K	Kg/ha	a, b	**
4	Fertiliser	Fruit, vegetable, other	N, P, K	T (Item 2 – Item 3)	a	**
5	Fertiliser	Fruit and other	N, P	Kg/ha	a, b, c	*
6	Fertiliser	Fruit and vegetable	K	Kg/ha	a, b, c	*
7	Fertiliser	Vegetable	N, P	((Item 4 – (total input to other and fruit))/area of vegetable	a, b, c	*
8	Atmospheric deposition	Wheat/maize, other, vegetable, fruit	N	kg/ha (ranges from 16 – 80)	d, e	**
9	Irrigation water	Wheat/maize	N	In kg/ha (ranges from 8 – 14)	f, g	**
10	Irrigation water	Vegetable	N	between 1980 and 1990 4x, between 1990 and 2000 6x and between 2000 and 2014 10x the amount of irrigation for wheat/maize	f, g	**
11	Irrigation water	Fruit	N	between 1980 and 1990 3x, between 1990 and 2000 5x and between 2000 and 2014 7x the amount of irrigation for wheat/maize	f, g	**
12	Irrigation water	Other	N	No irrigation	f, g	**
13	Biological fixation	Wheat/maize, other, vegetable, fruit	N	15 kg/ha throughout	h	*
14	Seeds	Wheat/maize	N, P, K	Quantity of wheat and maize seed are 112.5 and 37.5 kg/ha, respectively.	b	***
Output						
15	Crop uptake	Wheat, maize, vegetable, fruits, other		T of marketable product	a	***
16	Livestock uptake	Meat, milk, eggs		T of marketable product	a	***
17	Livestock uptake	Livestock head		Head of stock and head of livestock sold and slaughtered available	a	***

Level of data certainty[†]: * = low certainty, ** = medium certainty, *** = high certainty

^a Huantai Agricultural Bureau, (2014), ^b Interview with local farmers and technicians, ^c Expert knowledge, ^d Zhang et al., (2006), ^e Liu *et al.*, (2013), ^f Liu and Wu, (2003), ^g Liu, (2016), ^h Zhu and Wen, (1992)

Table S2: Nutrient contents of all crops as percent air dried weight for wheat and maize and percent fresh weight for all other crops derived from NATESC, (1999).

System	Item	%N	%P	%K
Cereal	Maize grain	1.47	0.32	0.53
Cereal	Maize residue	0.87	0.13	1.11
Cereal	Wheat grain	2.16	0.37	0.43
Cereal	Wheat residue	0.62	0.07	1.02
Other	Cotton	1.67	0.42	1.92
Other	Cotton residue	1.1	0.2	1.7
Other	Peanut	3.7	0.215	0.65
Other	Peanut residue	1.3	0.13	0.8
Other	Potato	0.32	0.06	0.5
Other	Potato residue	0.99	0.09	0.67
Other	Soybean	5.696	0.465	1.503
Other	Soybean residue	1.2	0.17	1.2
Other	Sweet Potato	1.2	0.5	0.8
Other	Sweet Potato residue	1.2	0.3	1.33
Veg	Vegetable cop	0.6	0.15	0.3
Veg	Vegetable residue	0.4	0.15	0.45
Fruit	Apple	0.4	0.03	0.27
Fruit	Apricot	0.5	0.09	0.42
Fruit	Chinese date	0.6	0.09	0.58
Fruit	Fruit residue	0.7	0.10	1.20
Fruit	Grape	0.4	0.13	0.60
Fruit	Hawthorn	0.5	0.09	0.33
Fruit	Peach	0.58	0.09	0.45
Fruit	Pear	0.5	0.09	0.33

Table S3: Straw grain ratios of different crops to be applied on air dried weight for wheat and maize and fresh weight for all other crops as derived from Peng et al., (2014).

Crop	Residue to crop ratio
Maize	0.98
Wheat	1.06
Cotton	5
Peanut	1.2
Potato	1.2
Soybean	1.6
Sweet potato	1.2
Vegetables	0.225

Table S4: Nutrient contents of fresh weight of livestock items.

Item	%N	%P	%K	Reference
Beef meat	3.15	0.17	0.22	1
Cow manure	0.39	0.08	0.23	2
Cattle manure	0.39	0.08	0.23	2
Dairy milk	0.48	0.07	0.11	1
Pig solid manure	0.55	0.24	0.29	2
Pig liquid manure	0.59	0.05	0.02	2
Pig meat	2.11	0.16	0.2	1
Duck egg	1.75	0.23	0.14	1
Duck manure	0.71	0.36	0.55	2
Duck meat	1.69	0.12	0.19	1
Broiler manure	0.9	0.41	0.73	2
Layer manure	1.03	0.41	0.72	2
Chicken egg	1.87	0.13	0.15	1,3
Chicken meat	2.04	0.156	0.251	1,3
Rabbit manure	0.87	0.3	0.65	2
Rabbit meat	3.15	0.29	0.37	1
Sheep manure	0.5	0.11	0.26	2
Sheep meat	2.74	0.15	0.23	1
Sheep milk	0.24	0.1	0.14	1

¹Zhang, (2010); ²NATESC, (1999); ³Bai et al., (2013)

Table S5: Manure produced per animal and lifespan of livestock from MOA, (2009).

	kg manure per year	kg manure per day	Lifespan in days
Cow and cattle manure	8703		
Pig solid manure		2.44	180
Pig liquid manure		3.22	180
Duck manure		0.132	45
Broiler manure		0.1	42
Layer manure	42	0.12	500
Rabbit manure		0.159	90
Sheep manure	600		360

Recycling of nutrients

The amount of nutrients recycled back into the systems was partly based on the county yearbooks for crops (Table S7) with figures for wheat/maize different each year (Table S8). The amount of manure returned to soil is based on interviews with local farmers (Table S9).

Table S6: Percentage of residue returned to the field derived from Huantai Agricultural Bureau, (2014).

	% of residue returned
Cotton	20
Peanut	20
Potato	20
Soybean	20
Vegetable	88
Fruit	50

Table S7: Percentage of residue returned to the field, which were derived from Huantai Agricultural Bureau, (2014).

Year	% wheat straw returned to soil	% maize straw returned to soil
1983	20	17
1984	20	17
1985	20	17
1986	20	17
1987	20	17
1988	20	17
1989	30	21
1990	40	21
1991	50	24
1992	60	24
1993	70	25
1994	80	29
1995	90	27
1996	90	20
1997	90	16
1998	90	20
1999	90	27
2000	90	22
2001	90	23
2002	90	24
2003	90	30
2004	90	30
2005	90	50
2006	90	50
2007	90	70
2008	90	90
2009	90	90
2010	90	90
2011	90	90
2012	90	90
2013	90	90
2014	90	90

Table S8: Percentage of manure returned to the field, which were estimated based on interviews with local farmers.

Year	Vegetable	Fruit	Cropland	Other
1980	59.0	11.0	20.0	10.0
1981	61.3	9.7	19.0	10.0
1982	60.6	11.4	18.0	10.0
1983	58.8	14.2	17.0	10.0
1984	54.1	19.9	16.0	10.0
1985	56.2	18.8	15.0	10.0
1986	62.8	14.2	14.0	9.0
1987	58.0	21.0	13.0	8.0
1988	56.4	23.6	12.0	8.0
1989	65.9	16.1	11.0	7.0
1990	68.5	15.5	10.0	6.0
1991	72.3	12.7	9.0	6.0
1992	82.5	4.5	8.0	5.0
1993	77.6	10.4	7.0	5.0
1994	74.9	14.1	6.0	5.0
1995	67.2	23.8	5.0	4.0
1996	67.5	24.5	4.0	4.0
1997	72.3	20.7	3.0	4.0
1998	79.5	14.5	2.0	4.0
1999	76.9	18.1	1.0	4.0
2000	80.4	15.6	0.0	4.0
2001	78.2	17.8	0.0	4.0
2002	77.3	18.7	0.0	4.0
2003	77.9	18.1	0.0	4.0
2004	76.7	19.3	0.0	4.0
2005	91.2	7.8	0.0	1.0
2006	95.4	3.6	0.0	1.0
2007	85.2	13.0	0.0	1.0
2008	89.7	9.3	0.0	1.0
2009	93.4	5.6	0.0	1.0
2010	93.8	5.2	0.0	1.0
2011	92.1	6.9	0.0	1.0

Losses of nutrients

Table S9: Factor classes for the calculation of atmospheric N losses according to Bouwman et al., (2002); Stehfest and Bouwman, (2006) and Vinther (2005).

	Factor	Huantai	Factor used in equation	Equation
NH ₄	Fertiliser type	Urea, compound fertiliser		Fertiliser application in t x 0.14
	Croptype	Other crop		
	pH	7.3 - 8.5	0.14	
	CEC	16 - 24		
	Climate ^a	Temperate		
	Application method	Broadcast		
	N ₂ O	SOC	1 - 3	
pH		7.3 - 8.5		
Texture		Medium	0.0038 ^b	10 ^{^(total input in kg/ha * 0.0038 – 0.1088)}
Climate ^a		Temperate continental		
crop type		Cereals		
N ₂ O background factor			- 0.1088	
NO	Soil N content	0.05-0.2		
	Climate ^a	Temperate continental	0.0061 ^b	
	NO background factor			- 1.6942
N ₂	Soil type	Clay loam		N ₂ O loss in t x 6.96
	SOM/precipitation	High	6.96	

^aClassification according to Fischer et al., (1998); ^bonly for cropland and other arable crops.

Table S10: Factor classes used for the calculation of aqueous losses based on Velthof et al., (2009) and Ma et al., (2010).

	Factor	Huantai	Factor used in equation
Leaching	Soil type	Loamy	
	Land use	Other	0.1875
	Minimum of other factors	Precipitation < 50mm	
Runoff	Slope in %	0-8	
	Land use	Other	0.025
	Minimum of other factors	Precipitation < 50mm	

Additional files

Table S12 lists all the files used in the calculation and production of figures. The R scripts have to be used in the order supplied as they build up on each other. Some explanations are provided within them.

Table S11: List of separately supplied files.

File name	Description
Originaldata19832014.csv	Collated original data
Parameters.csv	Parameters used in the calculation of derived figures
Loss_manure_parameters.csv	
Huantaibudget.txt	R script for N budget calculation
HuantaibudgetP.txt	R script for P budget calculation
HuantaibudgetK.txt	R script for K budget calculation
Huantai_budget_paper_figures_highres.txt	R script for plotting figures
Huantai_budget_average_for_SFA.txt	R script for making SFA figure in main manuscript
Huantai_budget_average_for_SFAmiddle.txt	R script for making SFA figure in supplemental material
Huantai_budget_average_for_SFAend.txt	R script for making SFA figure in supplemental material

Additional result figures

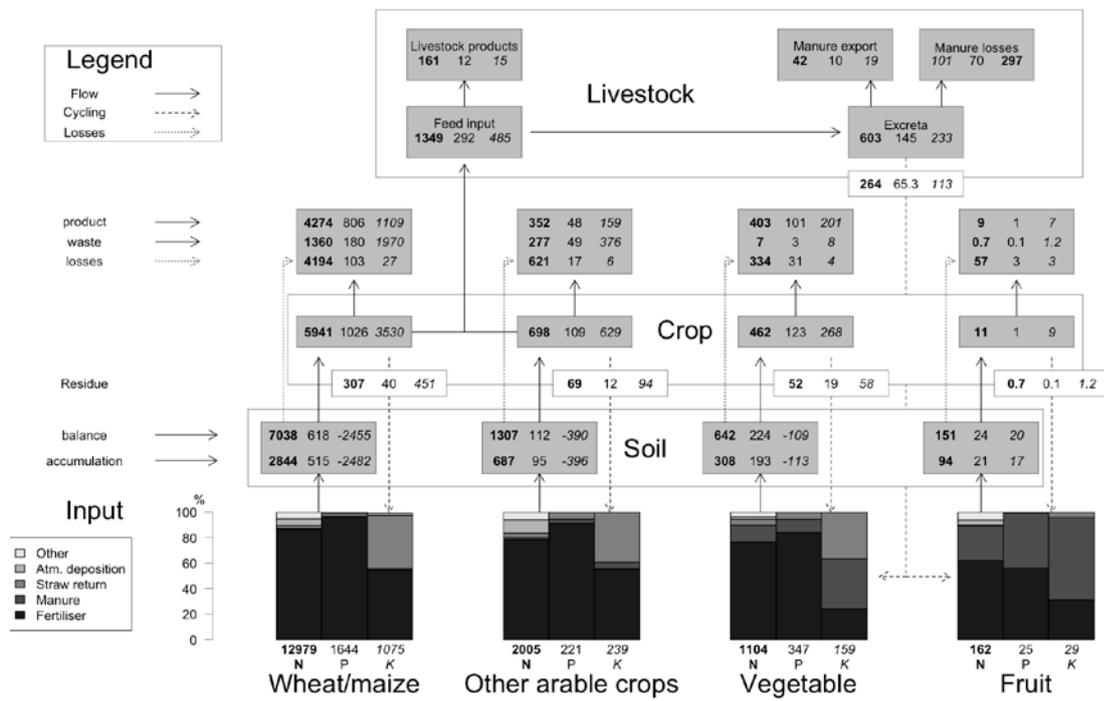


Figure 1: SFA of first 5 years

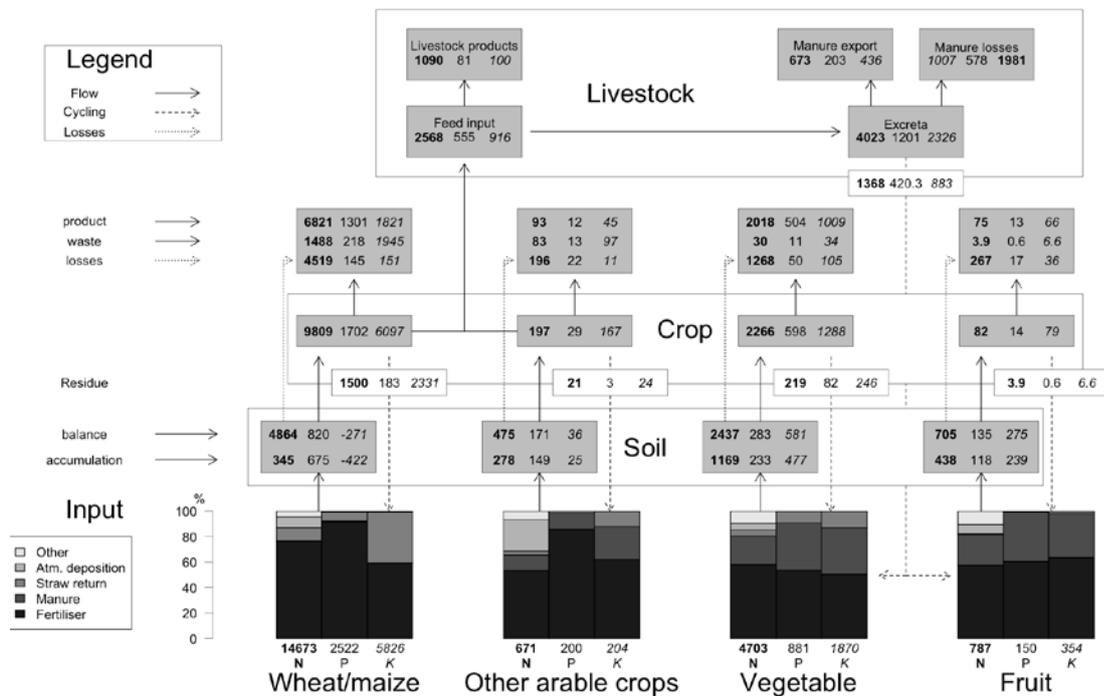


Figure 2: SFA between 1996 - 2001

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