

1 **Simulation of soil water flow and heat transport in drip irrigated potato field with raised**  
2 **beds and full plastic-film mulch in a semiarid area**

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11 **Abstract**

12 Surface drip irrigation with full plastic-film mulch can increase crop yield and save water by  
13 regulating soil water and heat conditions for potato (*Solanum tuberosum* L.) production with  
14 raised beds in semiarid area where the rainfall is scarce and evaporation is high. For efficient use  
15 of plastic film mulch an understanding of the soil water flow and heat transport is needed. Here we  
16 use a model (HYRUS-2D) which is calibrated with field experiments to simulate soil water  
17 movement and heat transport. The field experiments were conducted with three treatments,  
18 characterized as wetted soil percentages: 35% (P1), 55% (P2), and 75% (P3). Furthermore, the  
19 effects of the uncertainty of key soil hydraulic parameters on soil water contents were evaluated  
20 using three approaches: (1) soil hydraulic parameters estimated from measured soil textural  
21 information (S1); (2) from experimentally measured soil water retention curve (S2); and (3) from  
22 inverse modeling (S3). The performance of S2 was the worst in all treatments; the root mean

23 square error (RMSE) was  $> 0.05 \text{ cm}^3 \text{ cm}^{-3}$ . The performance of S3 was the best with RMSE  
24 ranged from 0.015 to  $0.038 \text{ cm}^3 \text{ cm}^{-3}$  at 10-50 cm soil depth. The simulated soil water in the raised  
25 bed decreased quickly after irrigation, maintaining adequate aeration for potato growth,  
26 irrespective of the wetted soil percentage. The downward transport of soil water still existed  
27 during the second and third days after irrigation in the simulations of the P2 and P3 treatments.  
28 The soil temperatures between the P1 and P3 treatments were similar. In conclusion, the  
29 HYDRUS-2D simulations could be used to estimate the soil hydraulic and thermal parameters  
30 with inverse modeling. The calibrated model can be used in the design and management of surface  
31 drip irrigation with raised beds and full plastic-film mulch to provide favorable soil water and heat  
32 conditions for potato growth.

33 **Keywords:** Soil water and heat; Full plastic-film mulch; Surface drip irrigation; Potato; Soil  
34 hydraulic parameters; HYDRUS-2D.

## 35 **1. Introduction**

36 Surface drip irrigation with plastic-film mulching is widely used in agriculture and horticulture.  
37 The combination of surface drip irrigation and plastic-film mulching increases water and fertilizer  
38 use efficiency and crop yield (Assouline, 2002; Darwish et al., 2003; Tiwari et al., 2003; Phogat et  
39 al., 2014). Moreover, plastic-film mulch can modify the radiative and thermal conditions in the  
40 fields, which improves plant growth (Liakatas et al., 1986; Wang et al., 2011; Yaghi et al., 2013) .

41 The advantages of this technology depend upon design and management which based on  
42 thorough understanding of spatiotemporal distribution of soil water and heat. The main goal is to  
43 match the soil wetted volume with root pattern and match soil water storage with crop  
44 evapotranspiration (Patel and Rajput, 2008). Many factors can affect the soil wetted volume, such

45 as the soil hydraulic properties, emitter discharge, emitter spacing, wetted soil percentage, etc. The  
46 wetted soil percentage is an important parameter used in the design and management of drip  
47 irrigation system (Keller and Karmeli, 1974; Zur, 1996). Both soil water and heat stress can affect  
48 potato tuber growth, yield, and potato quality (Van Dam et al., 1996; Shock et al., 2007). It is,  
49 therefore, important to obtain soil water and heat dynamics in drip irrigated potato field under  
50 different wetted soil percentages with raised beds and plastic-film mulch.

51 Field experiments are costly, time-consuming, and site specific (Subbaiah, 2013). Therefore,  
52 analytical and numerical modeling methods are widely used to predict the soil water flow and heat  
53 transport and spatial-temporal distribution under various conditions (Coelho and Or, 1997; Cook  
54 et al., 2003; Šimůnek et al., 2008). Among these models, the HYDRUS model is popular and  
55 useful in simulation of soil water flow, solute, and heat transport (Šimůnek et al., 2008). This  
56 model has been used to simulate effects of different soil types and fertigation strategies (Gärdenäs  
57 et al., 2005; Hanson et al., 2006), emitter discharges (Ajdary et al., 2007), pulsed and continuous  
58 irrigation (Phogat et al., 2012; Phogat et al., 2014), bed geometries (Holt et al., 2017), and partial  
59 plastic-film mulch (Liu et al., 2013; Chen et al., 2014; Wang et al., 2014; Li et al., 2015a; Li et al.,  
60 2015b; Holt et al., 2017; Qi et al., 2018) on soil water and solute transport under surface drip  
61 irrigation. The process of soil water and heat transport has also been simulated in winter wheat  
62 field with plastic-film mulch under no irrigation (Zhao et al., 2018). However, the effects of  
63 different wetted soil percentages on soil water flow and heat transport have not been evaluated  
64 with HYDRUS under surface drip irrigation with raised beds and full plastic-film mulch for potato  
65 crops. For potatoes in semiarid area, the raised beds and full plastic-film mulching can retain more  
66 soil water in plant root zone (Qi et al., 2018) and produce higher yield and water use efficiency in

67 comparison to partial plastic-film mulch (Zhao et al., 2014).

68 Soil hydraulic parameters greatly affect the simulation results of soil water transport. Inverse  
69 models can be used to estimate soil hydraulic and thermal parameters (Šimůnek and Genuchten,  
70 1996; Hopmans et al., 2002; Mortensen et al., 2006; Nakhaei and Šimůnek, 2014). In this study  
71 we validate the applicability of the inverse model with data from potato field. The objectives of  
72 this study are to: (1) evaluate the applicability of HDRUS-2D for soil water and heat simulation  
73 under drip irrigation with raised beds and full plastic-film mulch; (2) compare simulations of  
74 HYDRUS-2D results with soil hydraulic parameters derived from three different approaches  
75 (estimated from soil textural information, from experimentally soil water retention curve, and  
76 from inverse modeling); and (3) analyze the effects of different wetted soil percentages on soil  
77 water and heat transport and spatial-temporal distributions under surface drip irrigation with raised  
78 beds and full plastic-film mulch.

## 79 **2. Materials and methods**

### 80 2.1. Field experimental site and design

81 Field experiments were carried out at the Shiyanghe Experimental Station of China  
82 Agricultural University, located in Wuwei, Gansu Province (N 37°52', E 102°50', altitude 1581 m)  
83 from April to August in 2015. This region was characterized by a typical continental temperate  
84 climate with mean annual sunshine duration of 3000 hours, mean annual temperature 8 °C, and  
85 mean annual accumulated temperature ( $>0$  °C) 3550 °C which was suitable for potato growth.  
86 However, agricultural in this region was influenced by scarce water resources with mean annual  
87 precipitation of 164 mm, mean annual pan evaporation 2000 mm, and mean groundwater table  
88 25-30 m below land surface.

89 Potato plants were drip irrigated in raised beds mulched by transparent plastic film and three  
90 wetted soil percentages were designed: 35% (P1), 55% (P2), and 75% (P3). Each treatment was  
91 replicated three times.

## 92 2.2. Agronomic and irrigation practices

93 The specific descriptions of agronomic and irrigation practices have been presented previously  
94 (Zhang et al., 2017a; Zhang et al., 2017b). In this manuscript, only main information was included  
95 to avoid overlapping. Seed potatoes (30 g, cv. Kexin No.1, Inner Mongolia Minfeng Potato  
96 Industry Co., Ltd., Ulanqab, China) were planted every 30 cm in the center of the raised beds at a  
97 depth of 15 cm on 15 April 2015. Each plot (6 m × 5.6 m) had 7 north-south raised beds (0.8 m  
98 wide and 0.2 m high) which were covered entirely using plastic film mulch (0.008 mm thick, 1.2  
99 m wide). In 2015, 231 kg•ha<sup>-1</sup> P<sub>2</sub>O<sub>5</sub> and 90 kg•ha<sup>-1</sup> N were spread before planting and 95 kg•ha<sup>-1</sup>  
100 N and 117 kg•ha<sup>-1</sup> K<sub>2</sub>O were applied through irrigation after planting.

101 A drip tape (wall thickness 0.4 mm, inner diameter 16 mm) was placed on the soil surface in  
102 the center of each bed. The emitter discharge was 1.38 L h<sup>-1</sup> at an operating pressure of 0.1 MPa.  
103 The drip irrigation system at each plot was managed by a sluice valve, a pressure gauge, a water  
104 meter, and a tensiometer. The irrigation application was started when the soil matric potential  
105 reached -25 kPa (Wang et al., 2007). The irrigation amount (in mm) was determined using the  
106 equation:

$$107 \quad m = h(\theta_a - \theta_b)P / \eta \quad (1)$$

108 where  $h$  is the planned wetted depth (cm) (equal to 50 cm for potato plants),  $\theta_a$  is the volumetric  
109 soil water content after irrigation (cm<sup>3</sup> cm<sup>-3</sup>) (equal to field capacity 0.27 cm<sup>3</sup> cm<sup>-3</sup> in this  
110 experiment),  $\theta_b$  is the volumetric water content before irrigation (cm<sup>3</sup> cm<sup>-3</sup>) (equal to 70% of field

111 capacity),  $P$  is the percentage of wetted zone, and  $\eta$  is the coefficient of the efficiency of the drip  
112 irrigation system (equal to 0.97 for drip irrigation). The first irrigation amount was 19 mm for all  
113 treatments for potato emergence and the subsequent irrigation amount was 15 mm for the P1  
114 treatment, 23 mm for the P2 treatment, and 31 mm for the P3 treatment. The actual irrigation  
115 amount used for the P1, P2, and P3 treatments was shown in Fig.1.

### 116 2.3. Weather, soil temperature, and soil water content measurements

117 Meteorological data (precipitation, solar radiation, relative humidity, wind speed, and air  
118 temperature) were measured with a standard automatic weather station (HOBO H21-001, Onset  
119 Computer Corp., Cape Cod, MA, USA) which was 2 m above the surface of the ground. Before  
120 the potato tubers were planted, sensors were installed to measure soil temperature and soil water  
121 content. The soil temperatures were measured on the soil surface, and at 5, 10, 20, 30, and 50 cm  
122 soil depths both in the middle and at the side (20 cm from the center) of the beds in one replication  
123 of each treatment. Soil water contents were measured with sensors at 10, 20, 30, and 50 cm soil  
124 depths in the middle, at the side, and at the base (40 cm from the center) of the beds in one  
125 replication of each treatment. Sensors on the soil surface and at 5 cm soil depth were  
126 thermocouples temperature sensors (ST10, Beijing Unism Technologies, Inc., Beijing, China).  
127 Sensors at 10, 20, 30, and 50 cm soil depths in the middle and the side of the beds were soil  
128 temperature/water sensors (FDS120, Beijing Unism Technologies, Inc.). Sensors at 10, 20, 30, and  
129 50 cm soil depths in the base of the beds were soil water sensors (FDS100, Beijing Unism  
130 Technologies, Inc.). The placement of soil water sensors, temperature sensors, and soil  
131 temperature/water sensors was shown in Fig.2. The 10 min average soil temperature and soil water  
132 content were recorded automatically with a datalogger (SMC6108, Beijing Unism Technologies,

133 Inc.).

#### 134 2.4. Hydraulic parameter measurements

135 Before potato planting, soil samples were taken for soil particle size analysis using a soil auger  
136 in the middle of the beds, down to 10, 20, 30, 50, and 70 cm soil depths in each plot. The soil  
137 samples were dried in air and sieved with a 2 mm mesh size. Then, soil particle size was analyzed  
138 using a Malvern Mastersizer 2000 laser analyzer (Malvern Instruments Ltd., Malvern, UK) (Ryżak  
139 and Bieganowski, 2011). Saturated soil water content ( $\theta_s$ ) and bulk density were measured  
140 gravimetrically at 0-20 and 20-40 cm soil depths using a ring sampler (diameter 5 cm, height 5.1  
141 cm, volume 100 cm<sup>3</sup>).

142 After potato harvest, three trenches were dug to take soil samples for soil water retention curve  
143 (SWRC) measurements. The undisturbed soil samples (diameter 5 cm, height 5.1 cm, volume 100  
144 cm<sup>3</sup>) were taken at 20-40, 40-60, and 60-80 cm soil depths in each trench with three replicates at  
145 each layer. Since the shallow soil in the raised beds was disturbed during potato harvest, no soil  
146 sample was taken at 0-20 cm soil depth. The soil water retention curve was measured by  
147 centrifugation method which has been used widely because of its higher efficiency compared to  
148 the ceramic pressure plate method (Šimůnek and Nimmo, 2005; Reatto et al., 2008; Van den Berg  
149 et al., 2009; Cropper et al., 2011). The saturated soil samples were centrifuged in a high-speed  
150 refrigerated centrifuge (himac CR22G II, Hitachi Koki Co., Ltd., Tokyo, Japan) at different  
151 constant rotation speeds (970, 1670, 2160, 2730, 3050, 5290, 6820, 8630, 8830, and 10800 r/min)  
152 in sequences for 60 minutes (90 minutes at 8830 and 10800 r/min) to reach the soil water potential  
153 equilibrium. The rotation speeds correspond to different matric potentials (-10, -30, -50, -80, -100,  
154 -300, -500, -800, -1000, and -1500 kPa). After each centrifugation, the soil samples were weighed

155 and returned to the centrifuge for another higher rotation speed. When the last centrifugation was  
156 finished, soil samples were oven-dried at 105 °C to constant dry weight.

## 157 2.5. Model settings

158 HYDRUS (2D/3D) version 2.05.0200 was applied to simulate soil water and heat transport in  
159 the experiments. This code, based on a Galerkin-type linear finite element method, solves  
160 Richards' equation for variably-saturated water flow and the advection-dispersion equation for  
161 heat and solute transport. The solution also incorporates a sink term in the flow equation to  
162 represent root water uptake (Šimůnek et al., 2008; Šimůnek et al., 2016).

### 163 2.5.1. Numerical modeling theory for soil water flow

164 Since the drip emitter distance was small, the soil water flow can be considered as a  
165 two-dimensional problem. Without considering the effect of air phase on liquid flow, the flow is  
166 governed by the modified Richards' equation:

$$167 \frac{\partial \theta(h)}{\partial t} = \frac{\partial}{\partial x_i} \left[ K(h) \frac{\partial h}{\partial x_j} + K(h) \right] - S(h) \quad (2)$$

168 where  $\theta$  is the volumetric water content ( $\text{cm}^3 \text{ cm}^{-3}$ ),  $h$  is the pressure head (cm),  $K(h)$  is the  
169 unsaturated hydraulic conductivity function ( $\text{cm day}^{-1}$ ),  $x_i$  and  $x_j$  are the spatial coordinates  $x$  or  $z$   
170 (cm),  $t$  is time (day) and  $S(h)$  is a sink term denoting root water uptake ( $\text{day}^{-1}$ ). The sink term  $S(h)$   
171 is defined according to the model of Feddes et al. (1978). The unsaturated hydraulic conductivity  
172 function is given by the van Genuchten-Mualem model (Mualem, 1976; van Genuchten, 1980).

173 Since the root distribution under drip irrigation is non-uniform, to reflect the spatial variations  
174 of root water uptake Vrugt et al. (2001ab) introduced a two-dimensional dimensionless  
175 distribution of root water uptake:

$$176 \quad \omega(x, z) = \left(1 - \frac{z}{z_m}\right) \left(1 - \frac{x}{x_m}\right) e^{-\left(\frac{p_z}{z_m} |z^* - z| + \frac{p_x}{x_m} |x^* - x|\right)} \quad (3)$$

177 where  $z_m$  denotes the maximum root depth which is set as 50 cm,  $x_m$  denotes the maximum root  
 178 width which is set as 30 cm,  $z^*$  denotes the depth of maximum root intensity which is set as 20 cm,  
 179  $x^*$  denotes the width of maximum root intensity which is set as 20 cm, and  $p_z$  and  $p_x$  are empirical  
 180 parameters which is set as 1.

### 181 2.5.2. Numerical modeling theory for heat transport

182 The two-dimensional heat transport function, ignoring the effects of water vapor, is given by  
 183 Sophocleous (1979):

$$184 \quad C(\theta) \frac{\partial T}{\partial t} = \frac{\partial}{\partial x_i} \left[ \lambda_{ij}(\theta) \frac{\partial T}{\partial x_j} \right] - C_w q_i \frac{\partial T}{\partial x_i} \quad (4)$$

185 where  $\lambda_{ij}(\theta)$  is the soil apparent thermal conductivity ( $\text{W cm}^{-1} \text{ }^\circ\text{C}^{-1}$ ),  $C(\theta)$  is the total volumetric  
 186 heat capacity ( $\text{J cm}^{-3} \text{ }^\circ\text{C}^{-1}$ ),  $C_w$  is the volumetric heat capacity of water ( $\text{J cm}^{-3} \text{ }^\circ\text{C}^{-1}$ ),  $T$  is  
 187 temperature ( $^\circ\text{C}$ ), and  $q_i$  is water flux ( $\text{cm day}^{-1}$ ). In addition, the first and second terms on the  
 188 right side of equation (4) represent heat flow due to conduction and heat transported by flowing  
 189 water, respectively.

190 The volumetric heat capacity suggested by de Vries (1963) is as follows:

$$191 \quad C(\theta) = C_n \theta_n + C_o \theta_o + C_w \theta + C_g a_v \approx (1.92\theta_n + 2.51\theta_o + 4.18\theta)10^6 \quad (5)$$

192 where the subscripts  $g$ ,  $w$ ,  $o$ , and  $n$ , denote gas phase, liquid phase, organic matter, and solid phase,  
 193 respectively.

194 The apparent thermal conductivity  $\lambda_{ij}(\theta)$  is described by Šimůnek and Suarez (1993):

$$195 \quad \lambda_{ij}(\theta) = \lambda_T C_w |q| \delta_{ij} + (\lambda_L - \lambda_T) C_w \frac{q_j q_i}{|q|} + \lambda_o(\theta) \delta_{ij} \quad (6)$$

196 where  $\lambda_L$  denotes the longitudinal thermal dispersivity (cm),  $\lambda_T$  denotes the transverse thermal

197 dispersivity (cm),  $\delta_{ij}$  is the Kronecker delta function, and  $\lambda_o(\theta)$  denotes the thermal conductivity.

198 According to Chung and Horton (1987), the  $\lambda_o(\theta)$  can be described as follow:

$$199 \quad \lambda_o(\theta) = b_1 + b_2\theta + b_3\theta^{0.5} \quad (7)$$

200 where  $b_1$ ,  $b_2$ , and  $b_3$  are empirical parameters ( $\text{W cm}^{-1} \text{ } ^\circ\text{C}^{-1}$ ).

### 201 2.5.3. Soil hydraulic functions and thermal parameters

202 The soil was divided into two layers (0-20 and 20-70 cm soil depths). Three approaches were  
203 used to derive the soil hydraulic parameters. Firstly, the Rosetta code (Schaap et al., 2001) in the  
204 HYDRUS package was used to estimate the soil hydraulic parameters according to the soil  
205 textural distribution and bulk density (Table 1). Secondly, the soil hydraulic parameters at 20-70  
206 cm were estimated from the experimentally measured soil water retention curve (Fig.3) fitted by  
207 RETC (van Genuchten et al., 1991), while the parameters at 0-20 cm were the same with the first  
208 approach. Thirdly, the soil hydraulic parameters were derived with inverse estimation using a  
209 Marquardt-Levenberg-type parameter optimization algorithm in HYDRUS-2D. The observed soil  
210 water content in the P2 treatment at different soil depths (perpendicular to the drip line at 0, 20 and  
211 40 cm and at increments down to 10, 20, 30, 50 cm) during the whole growing season was used to  
212 optimize the soil hydraulic parameters ( $\theta_r$ ,  $\alpha$ ,  $n$ , and  $K_s$ ). The observed  $\theta_s$  was used and  $l$  was set as  
213 0.5. The soil water retention curves and soil hydraulic parameters obtained with different  
214 approaches were shown in Fig.3 and Table 2, respectively.

215 The thermal parameters  $b_1$ ,  $b_2$ , and  $b_3$  were optimized after the soil hydraulic parameters  
216 optimization using the observed soil temperature in the P2 treatment at different soil depths  
217 (perpendicular to the drip line at 0 and 20 cm and at increments down to 5, 10, 20, 30, 50 cm)  
218 during the whole growing season. The thermal parameters were shown in Table 3.

219 2.5.4. Initial and boundary conditions

220 The wetted region on the vertical plane was assumed to be symmetrical on the left and right  
221 sides (Chen et al., 2014) and half of the bed was simulated with the drip emitter being placed at  
222 the origin of the coordinates (Fig.4). The initial conditions were the volumetric soil water content  
223 and temperature measured at different soil depths on 27 May (DAP 42, one day after irrigation).

224 A time variable flux was set on one part of the top soil profile (Or') because of the irrigation.  
225 Zero flux was imposed on the other part of the soil surface (r'FED) for water flow because of the  
226 plastic-film mulch (Fig.4). Or' is the soil wetted area during irrigation which was computed by an  
227 iterative method (Gärdenäs et al., 2005). It was realized by switching from a Neumann to a  
228 Dirichlet boundary condition if the pressure head is larger than zero as the emitter flux was applied  
229 (Gärdenäs et al., 2005). Different soil wetted lengths can be obtained for different irrigation fluxes  
230 and initial soil water contents. After irrigation, the whole soil surface of the upper boundary  
231 condition was imposed as zero flux because of the plastic-film mulch. A free drainage boundary  
232 condition was used for the lower boundary condition because of assumed deep ground water.  
233 No-flow boundary conditions were prescribed on the left and right sides, assuming that no flow  
234 took place along the perpendicular sides. The third type, Cauchy, and the first type, Dirichlet,  
235 boundary conditions were used on Or' and the other part of the top soil profile (r'FED) for heat  
236 transport, respectively. No flux boundary conditions were assumed on both sides and third type  
237 boundary on the bottom of the profile for heat transport.

238 2.5.5. Evapotranspiration

239 The daily crop evapotranspiration ( $ET_c$ ) was calculated using the dual crop coefficient method  
240 and Penman-Monteith equation (Allen et al., 1998):

241  $ET_c = (K_{cb} + K_e)ET_o$  (8)

242 where  $ET_o$  is reference crop evapotranspiration calculated according to the meteorological data,  
 243  $K_{cb}$  is the basal crop coefficient for crop transpiration, and  $K_e$  is the coefficient for soil evaporation.  
 244 The basal crop coefficient ( $K_{cb}$ ) used for each growth stage was based on the recommended value  
 245 by FAO and the actual crop growth. In addition,  $K_{cb}$  was 10% larger for crop grown with plastic  
 246 film mulch than without plastic film mulch according to the guidelines (Allen et al., 1998). The  
 247 daily transpiration (Fig.1) was used as a time-variable boundary condition. Soil evaporation was  
 248 neglected because of the full plastic-film mulch.

249 2.5.6. Model performance

250 The model efficiency was evaluated by the root mean square errors ( $RMSE$ ), the mean absolute  
 251 errors ( $MAE$ ), and the mean relative errors ( $MRE$ ):

252  $RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (P_i - O_i)^2}$  (9)

253

254  $MAE = \frac{1}{N} \sum_{i=1}^N |P_i - O_i|$  (10)

255

256  $MRE = \frac{1}{N} \sum_{i=1}^N \left| \frac{P_i - O_i}{O_i} \right|$  (11)

257 where  $N$  is the number of observations,  $P_i$  is the simulated value, and  $O_i$  is the observed value.

258 **3. Results and discussion**

259 3.1. Calibration and validation

260 3.1.1. Soil water content simulation

261 The model parameters were calibrated with data of the P2 treatment and the model was

262 validated with data of the P1 and P3 treatments. Soil water contents were simulated with soil  
263 hydraulic parameters estimated from soil textural information (S1). According to Phogat et al.  
264 (2012) the RMSE used to evaluate the satisfaction of soil water content simulation is  $0.05 \text{ cm}^3$   
265  $\text{cm}^{-3}$ . The performance of S1 for the P1 treatment was not satisfactory because the RMSE of S1 at  
266 five positions were larger than  $0.05 \text{ cm}^3 \text{ cm}^{-3}$ . The simulated soil water contents of S1 agreed  
267 reasonably well with the observed data for the P2 treatment. The RMSE of S1 ranged from 0.014  
268 to  $0.039 \text{ cm}^3 \text{ cm}^{-3}$  with the MRE from 7.1% to 19.9% for the P2 treatment (Table 4). For the P3  
269 treatment the performance of S1 was good for most of the positions with the RMSE ranged from  
270 0.016 to  $0.048 \text{ cm}^3 \text{ cm}^{-3}$  except two positions (10 cm soil depth on the top of the bed and 50 cm  
271 soil depth on the base of the bed with the  $\text{RMSE} > 0.05 \text{ cm}^3 \text{ cm}^{-3}$ ). The simulated soil water  
272 contents of S1 were overestimated at 0-10 cm soil depth on the top and the side of the bed and  
273 underestimated at 50 cm soil depth in the base of the bed for the P3 treatment (Fig.5).

274 Soil water contents were simulated using parameters estimated from measured soil water  
275 retention curve (S2). The performance of S2 was not satisfactory for the three treatments because  
276 the RMSE at nine positions for the P1 treatment, four positions for the P2 treatment, and ten  
277 positions for the P3 treatment were  $> 0.05 \text{ cm}^3 \text{ cm}^{-3}$  (Table 4). Dahiya et al. (2007) also reported  
278 that the simulation results with experimentally measured soil water retention curve and hydraulic  
279 conductivity were not satisfactory.

280 Soil water contents were simulated with parameters derived from inverse model (S3). The  
281 performance of S3 was not satisfactory for the P1 treatment with the RMSE at five positions larger  
282 than  $0.05 \text{ cm}^3 \text{ cm}^{-3}$ . The RMSE of S3 for the P2 treatment ranged from 0.017 to  $0.049 \text{ cm}^3 \text{ cm}^{-3}$   
283 with the MRE from 6.9% to 20.1%. The simulated soil water contents of S3 at 50 cm soil depth in

284 the base of the bed were underestimated for the P3 treatment and the RMSE was quite large (0.078  
285  $\text{cm}^3 \text{cm}^{-3}$ ). The RMSE of S3 at the other soil depths ranged from 0.015 to 0.038  $\text{cm}^3 \text{cm}^{-3}$  for the  
286 P3 treatment with the MRE from 6.9% to 20.8%.

287 Both the S1 and S3 did not have good simulation results for the P1 treatment and at 50 cm soil  
288 depth in the base of the bed of the P3 treatment. This might be because the soil properties in these  
289 positions were much different to those of the overall soil. The reason for the unsatisfactory  
290 simulation of S2 might be caused by the scale effects of the ring sample size (Zhao et al., 2010).  
291 Comparing with S3, the performance of S1 was poor at 10 cm soil depth. This might be because  
292 the hydraulic conductivity estimated from the soil textural information was smaller than the actual  
293 value. Overall, as the inverse model could adjust the soil hydraulic parameters effectively to fit the  
294 observed soil water contents, the performance of S3 was the best.

### 295 3.1.2. Soil heat simulation

296 Generally, the simulation of soil temperatures with thermal parameters estimated by heat  
297 transport inverse model was reasonably good (Table 5 and Fig.6). The RMSE of soil temperature  
298 at 5 cm soil depth (ranged from 2.0 to 4.2  $^{\circ}\text{C}$ ) was large. The large errors might be caused by the  
299 insufficient contact of the soil temperature sensors at 5 cm soil depth. The RMSE of soil  
300 temperatures at 10-50 cm soil depth ranged from 1.0 to 2.5  $^{\circ}\text{C}$  with the MRE from 4.4% to 13%  
301 for the P1 treatment; the RMSE ranged from 1.1 to 2.5  $^{\circ}\text{C}$  with the MRE from 5.5% to 10.6%  
302 (except at 20 cm soil depth) for the P2 treatment; and the RMSE from 1.2 to 2.2  $^{\circ}\text{C}$  with the MRE  
303 from 4.5% to 12.7% for the P3 treatment. Unlike the simulations of soil water, the simulations of  
304 soil temperatures in all treatments were satisfactory. This result indicated that the spatial  
305 heterogeneity in thermal parameters in the field was less than in soil hydraulic parameters. It was

306 consistent with the report of Dahiya et al. (2007).

### 307 3.2. Soil water transport and distribution

308 Soil water distributions at the end of irrigation and during the following three days after the  
309 irrigation were simulated with the soil hydraulic parameters estimated by inverse modeling (Fig.7).  
310 The higher wetted soil percentage of drip irrigation led to a larger soil wetted zone. At the end of  
311 irrigation the depth of soil wetted front (soil water content equal to  $0.22 \text{ cm}^3 \text{ cm}^{-3}$ ) was 24 cm for  
312 the P1 treatment, 27 cm for the P2 treatment, and 31 cm for the P3 treatment. The horizontal  
313 distance of the soil wetted front at 20 cm depth was 12 cm for the P1 treatment, 17 cm for the P2  
314 treatment, and 23 cm for the P3 treatment. The larger difference of the soil wetted front in the  
315 horizontal direction meant that the high wetted soil percentage accelerated the horizontal soil  
316 water transport more than the vertical soil water transport.

317 After irrigation, the soil water content reduced rapidly at 0-20 cm soil depth during the first  
318 day because of the larger soil hydraulic conductivity at the raised bed. The smaller soil water  
319 content meant adequate aeration for potato tubers. It was one of the reasons why the raised bed  
320 could benefit potato growth (Harms and Korschuh, 2010). During the second and third days after  
321 irrigation, there was soil water downward transport for the P2 and P3 treatments but not for the P1  
322 treatment. This meant that a higher wetted soil percentage could cause more deep percolation. The  
323 wetted soil percentage of 35% (P1) was enough for the potato growth in this area.

### 324 3.3. Soil temperature transport and distribution

325 The soil temperatures between the P1 and P3 treatments were similar, although the average  
326 soil temperature for the P1 treatment was 0.1-0.7 °C higher than for the P3 treatment (Fig.8). Li et  
327 al. (2017) also reported small soil temperature differences in different irrigation treatments. The

328 soil temperature for the P2 treatment was the lowest among the three treatments. This result was  
329 reasonable as soil temperature could be affected not only by the soil moisture but also by the plant  
330 canopy. The potato plant canopy varied too much in the field: the lowest soil temperature for the  
331 P2 treatment might be caused by the larger canopy around the soil temperature sensors.

#### 332 **4. Summary and conclusion**

333 In this study, HYDRUS-2D was used to simulate soil water and heat transport in a potato field  
334 under surface drip irrigation with raised beds and full plastic-film mulch. Three approaches were  
335 used to evaluate the soil water simulation with parameters derived from soil textural information  
336 (S1), from experimentally measured soil water retention curve (S2), and from inverse modeling  
337 (S3). All the three approaches performed unsatisfactorily for the P1 treatment and at 50 cm soil  
338 depth in the base of the bed for the P3 treatment because of the soil spatial heterogeneity. The  
339 performance of S2 was the worst for all treatments, giving a high RMSE ( $> 0.05 \text{ cm}^3 \text{ cm}^{-3}$ ). The  
340 performance of S1 was much better than S2 with an RMSE ranged from 0.014 to 0.039  $\text{cm}^3 \text{ cm}^{-3}$   
341 at 10-50 cm soil depth for the P2 treatment and from 0.016 to 0.048  $\text{cm}^3 \text{ cm}^{-3}$  at 20-50 cm soil  
342 depth (except at 50 cm soil depth in the base of the bed) for the P3 treatment. The performance of  
343 S3 was better than S1, especially at 0-10 cm soil depth. The RMSE of S3 for the P3 treatment  
344 ranged from 0.015 to 0.038  $\text{cm}^3 \text{ cm}^{-3}$  at 10-50 cm soil depth (except at 50 cm soil depth in the base  
345 of the bed). The soil temperature simulation with thermal parameters estimated by inverse model  
346 was satisfactory with the RMSE ranged from 1.0 to 2.5 °C at 10-50 cm soil depth (except at 20 cm  
347 soil depth for the P2 treatment).

348 The simulated soil water in the raised bed decreased quickly after irrigation, which could  
349 maintain adequate aeration for potato growth, irrespective of the wetted soil percentage. The

350 downward transport of soil water still existed on the second and third days after irrigation for the  
351 P2 and P3 treatments. The soil temperatures between the P1 and P3 treatments were similar. The  
352 large soil temperature difference could be caused by plant canopy differences. Generally, a wetted  
353 soil percentage of 35% could provide suitable soil water and heat conditions under surface drip  
354 irrigation with raised beds and full plastic-film mulch for potato growth in this area.

355 In conclusion, the HYDRUS-2D could be used to simulate soil water flow and heat transport  
356 in drip irrigated potato field with raised beds and full plastic-film mulch. Furthermore, the  
357 calibrated HYDRUS-2D was useful to derive the distribution of soil water and heat under different  
358 combination of emitter distance and discharge and irrigation scheduling for potato production.

#### 359 **Acknowledgements**

360 The financial support of this study came from Program 201501017 of the Ministry of Water  
361 Resources of China and Program 51579240 of National Natural Science Foundation of China. The  
362 first author studied the model in Lancaster University from April 2016 to October 2016 supported  
363 by China Scholarship Council (CSC), British Council (BC), and Newton Fund.

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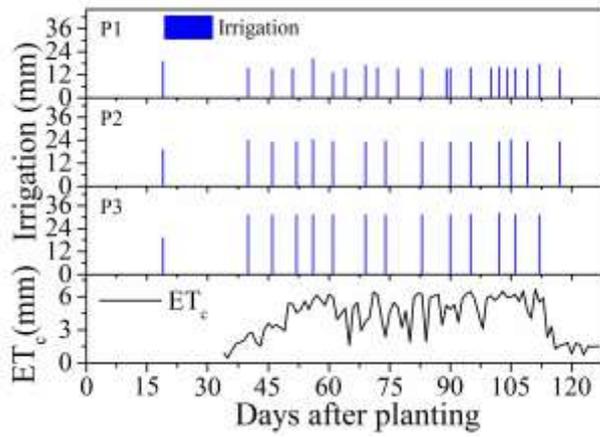
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507 **Fig.1.** The amount of each irrigation in 35% soil wetted treatment (P1), 55% soil wetted treatment  
 508 (P2), and 75% soil wetted treatment (P3). The actual daily evapotranspiration ( $ET_c$ ) during the  
 509 growing season.

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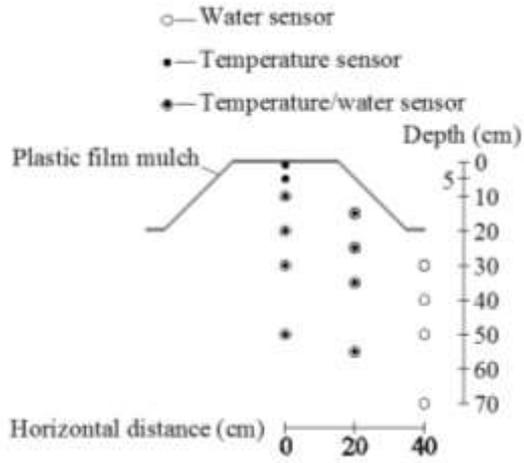
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531 **Fig.2.** Placement of soil water sensors, temperature sensors, and soil temperature/water sensors.

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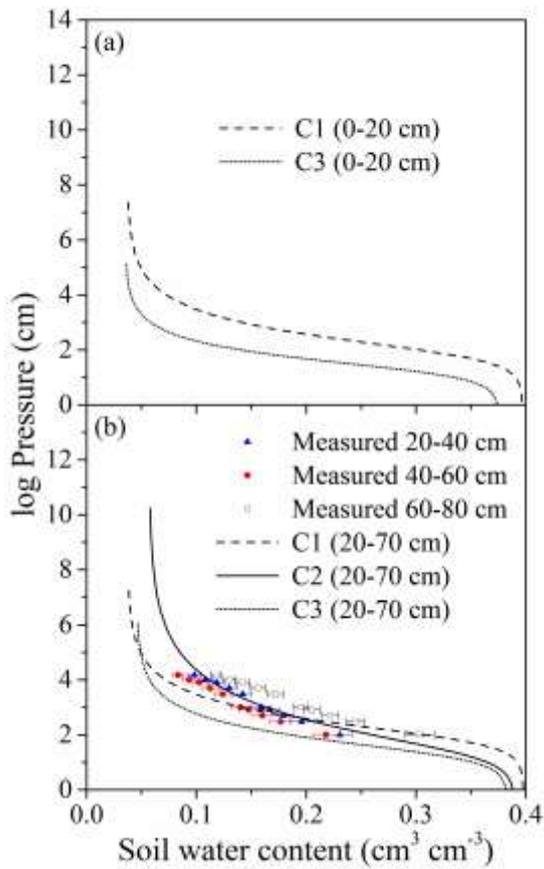
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551 **Fig.3.** Soil water retention curves estimated by measured soil textural information (C1), measured  
 552 experimentally (C2) (measured at 20-40 cm, 40-60 cm, and 60-80 cm soil depths), and estimated  
 553 by inverse modeling (C3) at: (a) 0-20 cm soil depth; and (b) 20-70 cm soil depth.

554 **Note:** Soil water retention curve was not experimentally measured at 0-20 cm soil depth.

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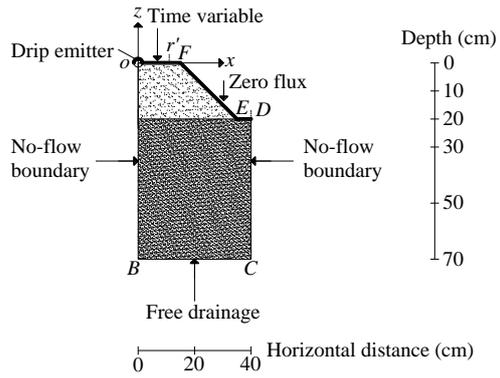
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574 **Fig.4.** Scale diagram of the simulated domain and boundary conditions.

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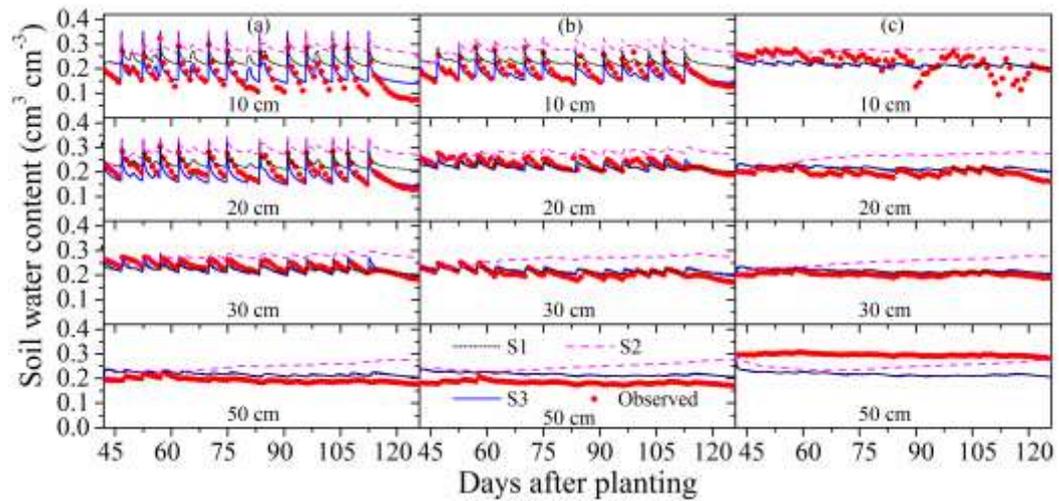
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600 **Fig.5.** Observed and simulated daily soil water content at different depths in (a) the top, (b) the  
 601 side, and (c) the base of the bed for the P3 treatment with three simulation approaches: simulation  
 602 with parameters estimated from soil textural information (S1), from experimentally measured soil  
 603 water retention curve (S2), and from inverse modeling (S3).

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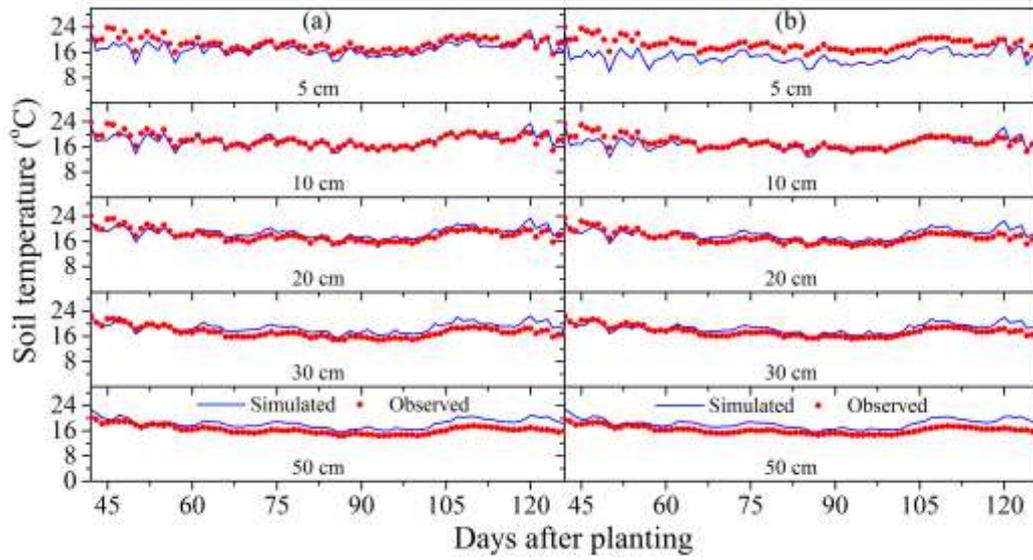
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623 **Fig.6.** Observed and simulated daily soil temperatures at different depths in (a) the top and (b) the  
 624 side of the bed for the P3 treatment with simulation using parameters estimated from inverse  
 625 modeling.

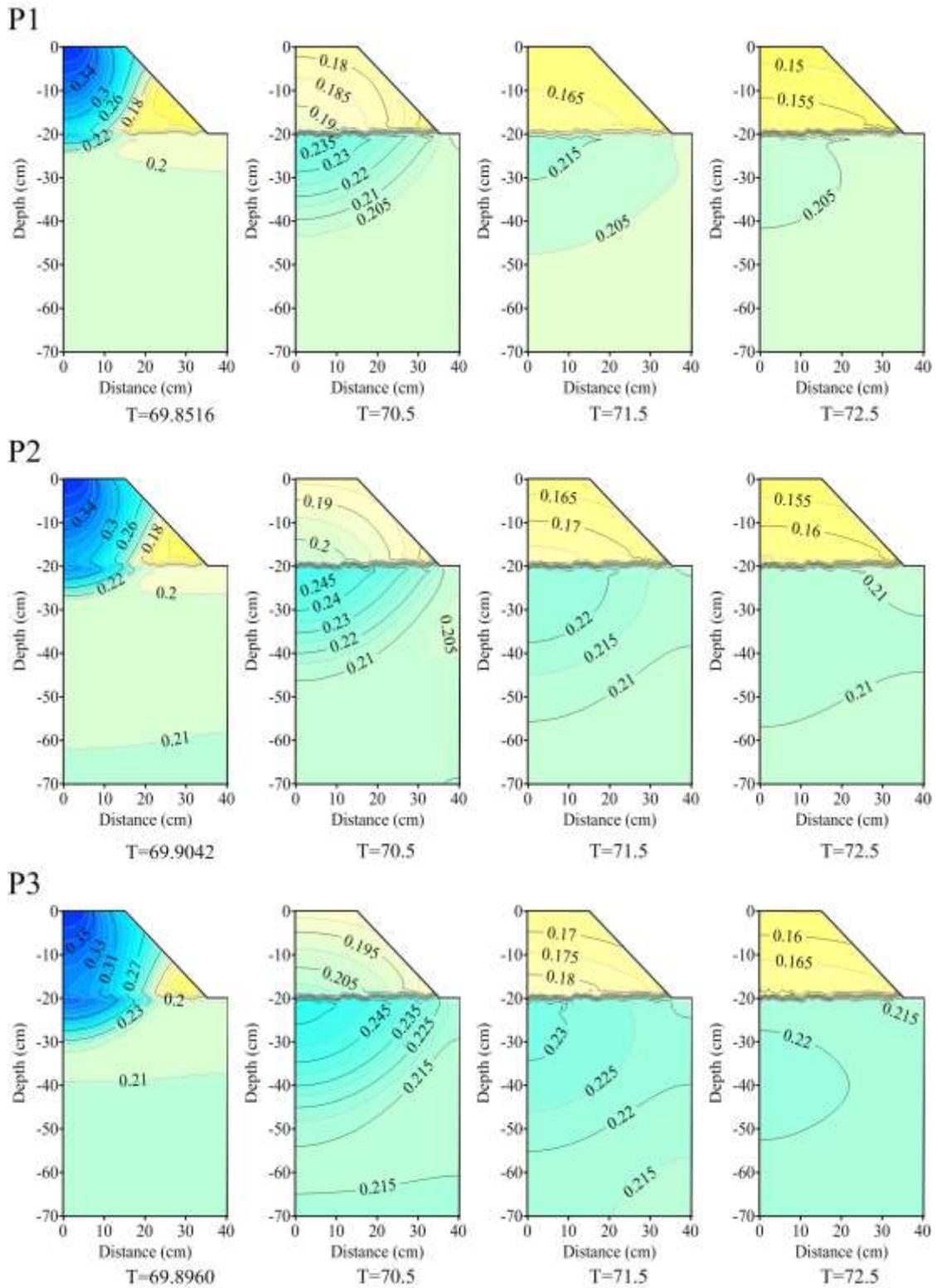
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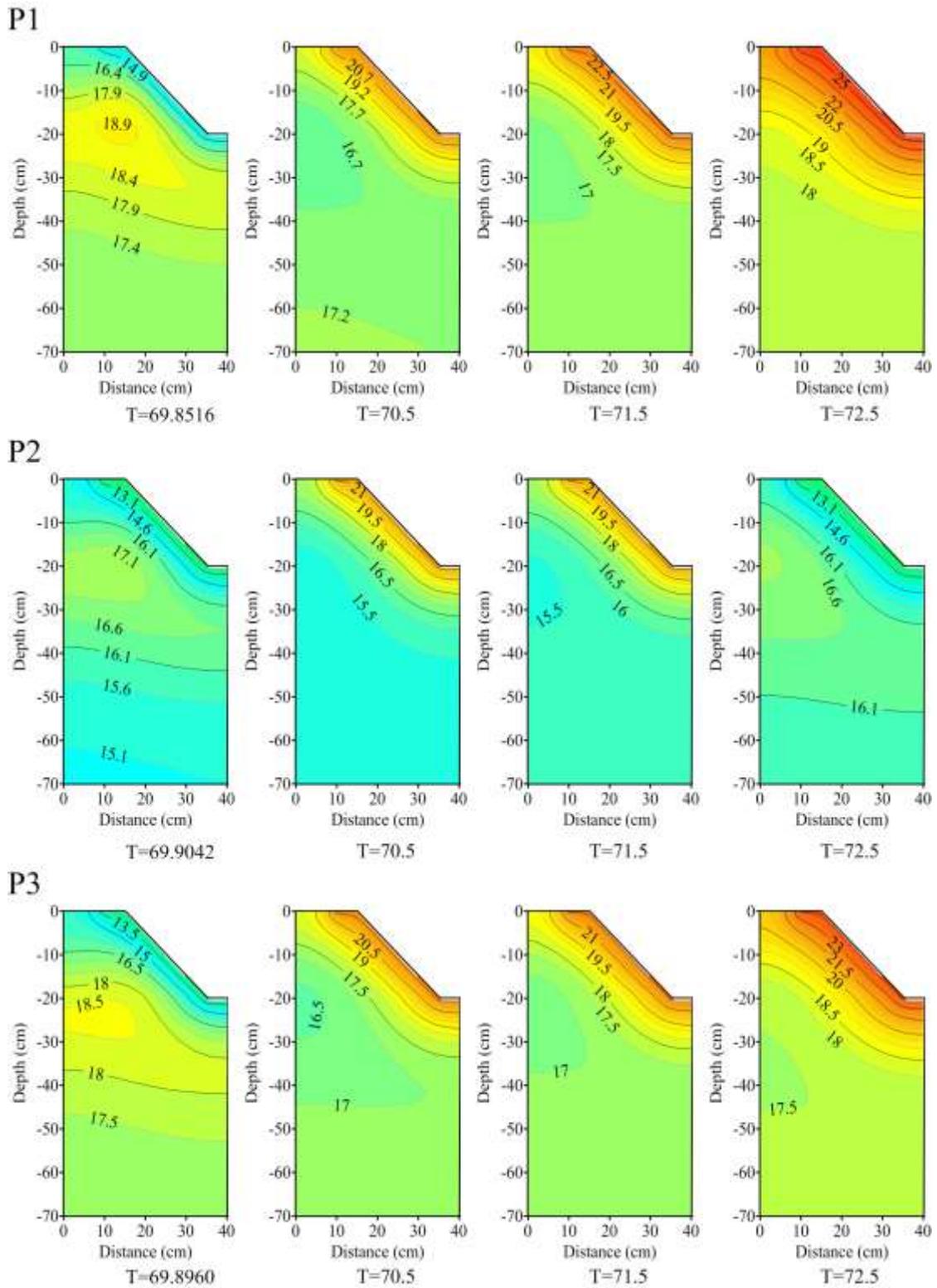
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632 **Fig.7.** Simulated soil water distributions at the end of irrigation (on 69.8516 days after planting for  
 633 the P1 treatment, 69.9042 days for the P2 treatment, 69.8960 days for the P3 treatment) and the  
 634 following three days after the irrigation (on 70.5 days, 71.5 days, and 72.5 days after planting) for  
 635 the P1, P2, and P3 treatments.

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638 **Fig.8.** Simulated soil temperature distributions at the end of irrigation (on 69.8516 days after  
 639 planting for the P1 treatment, 69.9042 days for the P2 treatment, 69.8960 days for the P3  
 640 treatment) and the following three days after the irrigation (on 70.5 days, 71.5 days, and 72.5 days  
 641 after planting) for the P1, P2, and P3 treatments.

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**Table 1**

Soil grain size distribution, bulk density, and saturated water content ( $\theta_s$ ) at different depths.

Depth (cm)	Sand (%)	Silt (%)	Clay (%)	Soil type	Bulk density (g cm <sup>-3</sup> )	$\theta_s$ (cm <sup>3</sup> cm <sup>-3</sup> )
	2-0.05 mm	0.05-0.002 mm	< 0.002 mm			
0-10	51.2 (5.4 <sup>a</sup> ) NS	41.4 (4.8 <sup>a</sup> ) NS	7.4 (0.7 <sup>a</sup> ) NS	Loam	1.48 (0.05 <sup>b</sup> )	0.375 (0.009 <sup>b</sup> )
10-20	51.0 (7.9)	41.6 (6.7)	7.4 (1.6)	Loam		
20-30	52.7 (2.7)	39.9 (2.2)	7.4 (0.5)	Sandy Loam	1.58 (0.06)	0.383 (0.033)
30-50	50.0 (4.4)	42.3 (3.7)	7.7 (0.7)	Loam		
50-70	46.9 (5.8)	45.3 (5.1)	7.8 (0.8)	Loam		

NS: difference among different depths was not significant by F-test ( $P > 0.05$ );

<sup>a</sup> Values in parentheses denoted the standard deviation with n = 15;

<sup>b</sup> Values in parentheses denoted the standard deviation with n = 9.

680 **Table 2**

681 Soil hydraulic parameters (the residual water content  $\theta_r$ , the saturated water content  $\theta_s$ , the  
682 saturated hydraulic conductivity  $K_s$ , and empirical coefficients  $\alpha$ ,  $n$ , and  $l$ ) estimated from  
683 measured soil textural information (S1), from experimentally measured soil water retention curve  
684 (S2), and from inverse modeling (S3).

Depth (cm)	$\theta_r$ (cm <sup>3</sup> cm <sup>-3</sup> )	$\theta_s$ (cm <sup>3</sup> cm <sup>-3</sup> )	$\alpha$ (cm <sup>-1</sup> )	$n$	$K_s$ (cm day <sup>-1</sup> )	$l$
S1						
0-20	0.0371	0.397	0.0137	1.471	35.31	0.5
20-70	0.0377	0.398	0.0127	1.485	34.88	0.5
S2						
0-20	0.0371	0.397	0.0137	1.471	35.31	0.5
20-70	0.0517	0.390	0.0508	1.290	34.88	0.5
S3						
0-20	0.0354	0.375	0.0557	1.672	176.90	0.5
20-70	0.0459	0.383	0.0476	1.549	50.72	0.5

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**Table 3**

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Soil thermal parameters (the volumetric solid phase fraction  $\theta_n$ , the volumetric organic matter

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fraction  $\theta_o$ , the longitudinal thermal dispersivity  $\lambda_L$ , the transverse thermal dispersivity  $\lambda_T$ , the

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volumetric heat capacity of solid phase  $C_n$ , the volumetric heat capacity of organic matter  $C_o$ , the

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volumetric heat capacity of liquid phase  $C_w$ , and empirical parameters  $b_1$ ,  $b_2$ , and  $b_3$ ) for heat

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transport simulation.

Depth (cm)	$\theta_n$ (cm <sup>3</sup> cm <sup>-3</sup> )	$\theta_o$ (cm <sup>3</sup> cm <sup>-3</sup> )	$\lambda_L$ (cm)	$\lambda_T$ (cm)	$b_1$ (W cm <sup>-1</sup> °C <sup>-1</sup> )	$b_2$ (W cm <sup>-1</sup> °C <sup>-1</sup> )	$b_3$ (W cm <sup>-1</sup> °C <sup>-1</sup> )	$C_n$ (W cm <sup>-1</sup> °C <sup>-1</sup> )	$C_o$ (W cm <sup>-1</sup> °C <sup>-1</sup> )	$C_w$ (W cm <sup>-1</sup> °C <sup>-1</sup> )
0-20	0.66	0	5	1	5.805E+11	2.113E+16	8.975E+16	1.43E+14	1.87E+14	3.12E+14
20-70	0.64	0	5	1	1.385E+16	2.494E+16	9.808E+16	1.43E+14	1.87E+14	3.12E+14

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**Table 4**

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The root mean square errors (RMSE), mean absolute errors (MAE), and mean relative errors

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(MRE) between simulated and observed daily soil water contents for the P1, P2, and P3 treatments

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at different positions by simulation with parameters estimated with soil textural information (S1),

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soil water retention curve (S2), and Inverse model (S3).

Depth (cm)	Error	Treatment								
		P1			P2			P3		
		Top	Side	Base	Top	Side	Base	Top	Side	Base
S1										
0-10	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.072	0.034	0.043	0.028	0.024	0.030	0.074	0.048	0.037
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.064	0.025	0.038	0.022	0.019	0.023	0.064	0.042	0.031
	MRE (%)	51.8	15.3	25.3	11.8	9.4	9.2	51.1	25.1	15.7
10-20	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.031	0.028	0.055	0.034	0.028	0.039	0.037	0.020	0.024
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.026	0.024	0.052	0.028	0.019	0.033	0.030	0.017	0.021
	MRE (%)	12.6	14.0	36.7	13.0	8.0	19.9	17.1	7.1	11.1
20-30	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.037	0.052	0.058	0.038	0.028	0.022	0.019	0.017	0.016
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.033	0.049	0.055	0.034	0.024	0.017	0.017	0.014	0.015
	MRE (%)	19.3	33.7	40.3	13.3	10.2	7.2	6.9	7.2	7.3
30-50	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.041	0.052	0.021	0.016	0.023	0.018	0.025	0.035	0.077
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.038	0.050	0.019	0.014	0.020	0.018	0.025	0.035	0.077
	MRE (%)	24.5	34.7	8.9	7.1	11.3	8.0	12.9	19.0	26.0
S2										
0-10	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.110	0.072	0.081	0.065	0.061	0.038	0.117	0.095	0.066
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.100	0.063	0.072	0.060	0.055	0.032	0.107	0.091	0.052
	MRE (%)	78.5	35.7	47.1	31.3	27.7	14.5	82.0	52.1	28.5
10-20	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.058	0.072	0.073	0.059	0.048	0.068	0.086	0.051	0.069
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.048	0.065	0.065	0.050	0.044	0.058	0.079	0.045	0.063
	MRE (%)	24.7	37.4	45.5	25.0	20.7	35.1	42.7	20.3	32.9
20-30	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.072	0.072	0.061	0.028	0.025	0.021	0.047	0.065	0.054
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.063	0.063	0.056	0.024	0.021	0.017	0.042	0.059	0.049
	MRE (%)	37.5	43.2	40.9	10.1	9.2	7.5	18.7	29.8	24.7
30-50	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.017	0.035	0.009	0.019	0.021	0.018	0.061	0.068	0.047
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.013	0.034	0.008	0.014	0.017	0.017	0.055	0.065	0.044
	MRE (%)	8.5	23.2	3.6	7.5	9.2	7.6	29.1	36.1	14.8
S3										
0-10	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.033	0.039	0.044	0.038	0.049	0.031	0.033	0.025	0.038
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.026	0.034	0.040	0.034	0.045	0.023	0.027	0.020	0.032
	MRE (%)	21.6	15.8	26.2	16.2	20.1	9.5	20.8	9.7	16.1
10-20	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.052	0.031	0.057	0.034	0.025	0.039	0.030	0.020	0.022
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.046	0.028	0.055	0.027	0.017	0.032	0.024	0.017	0.020
	MRE (%)	19.3	16.1	38.5	11.2	6.9	19.7	11.5	6.9	10.4
20-30	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.041	0.056	0.061	0.031	0.025	0.022	0.019	0.016	0.015
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.037	0.054	0.058	0.028	0.022	0.016	0.016	0.014	0.014
	MRE (%)	21.9	36.8	42.4	10.9	9.2	7.0	6.9	6.9	7.0
30-50	RMSE (cm <sup>3</sup> cm <sup>-3</sup> )	0.046	0.056	0.021	0.017	0.025	0.017	0.027	0.035	0.078
	MAE (cm <sup>3</sup> cm <sup>-3</sup> )	0.043	0.053	0.018	0.015	0.022	0.017	0.026	0.035	0.077
	MRE (%)	27.5	37.2	8.7	8.1	12.1	7.4	13.5	19.1	26.1

750 **Table 5**  
 751 The root mean square errors (RMSE), mean absolute errors (MAE), and mean relative errors  
 752 (MRE) between simulated and observed daily soil temperatures for the P1, P2, and P3 treatments  
 753 at different positions.

Depth (cm)	Error	Treatment					
		P1		P2		P3	
		Top	Side	Top	Side	Top	Side
5	RMSE (°C)	2.7	4.2	3.9	3.3	2.0	4.2
	MAE (°C)	2.6	4.1	3.5	3.1	1.7	4.0
	MRE (%)	13.6	22.7	18.9	21.0	9.2	21.5
10	RMSE (°C)	1.1	2.5	2.5	2.1	1.2	1.5
	MAE (°C)	0.9	2.4	1.9	1.5	0.8	1.0
	MRE (%)	5.2	13.0	10.6	9.4	4.5	5.6
20	RMSE (°C)	1.2	1.1	4.0	2.1	1.5	1.6
	MAE (°C)	1.0	1.0	2.9	1.6	1.3	1.4
	MRE (%)	5.3	5.5	25.5	9.1	7.2	8.0
30	RMSE (°C)	1.2	1.3	1.7	1.5	1.9	1.5
	MAE (°C)	0.9	1.1	1.3	1.2	1.7	1.3
	MRE (%)	4.3	6.5	7.2	6.6	10.1	7.6
50	RMSE (°C)	1.4	1.0	1.9	1.1	2.2	2.2
	MAE (°C)	1.2	0.8	1.6	0.9	2.0	2.1
	MRE (%)	7.6	4.4	9.2	5.5	12.6	12.7

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