

Susceptibility to Geometrical Visual Illusions in Parkinson's Disorder

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Scope Statement

This study concerns susceptibility to visual illusions in Parkinson's disease (PD), which makes it a very good fit for the Research Topic selected below: Geometrical Illusions: What They Tell Us about Human Vision in Health and Disease. Furthermore, it is the first of its kind empirical investigation into susceptibility to visual illusions in PD, which provides valuable insight into the role of dopamine and physiopathology of the basal ganglia on susceptibility to high-level visual illusions. Furthermore, it helps to understand the visual deficits of PD patients. This paper is therefore a novel contribution to current PD and visual illusions research.

Conflict of interest statement

The authors declare a potential conflict of interest and state it below

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Calum Hartley: Supervision, Writing - review & editing. Megan Readman: Conceptualization, Investigation, Project administration, Resources, Writing - review & editing. Radoslaw Wincza: Conceptualization, Data curation, Formal Analysis, Investigation, Methodology, Project administration, Validation, Writing - original draft, Writing - review & editing. Sally Linkenauger: Methodology, Software, Supervision, Validation, Writing - review & editing. Trevor Jeremy Crawford: Conceptualization, Supervision, Writing - review & editing.

Keywords

Parkinson's disease, Visual Illusions, Ebbinghaus illusion, Ponzo illusion, Muller-Lyer illusion, Depth Perception

Abstract

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Parkinson's disorder (PD) is a common neurodegenerative disorder affecting approximately 1-3% of the population aged 60 years and older. In addition to motor difficulties, PD is also marked by visual disturbances, including depth perception, abnormalities in basal ganglia functioning, and dopamine deficiency. Reduced ability to perceive depth has been linked to an increased risk of falling in this population. The purpose of this paper was to determine whether disturbances in PD patients' visual processing manifest through atypical performance on visual illusion (VI) tasks. This insight will advance understanding of high-level perception in PD, as well as indicate the role of dopamine deficiency and basal ganglia pathophysiology in VIs susceptibility. Groups of 28 PD patients (Mage = 63.46, SD = 7.55) and 28 neurotypical controls (Mage = 63.18, SD = 9.39) matched on age, general cognitive abilities (memory, numeracy, attention, language), and mood responded to Ebbinghaus, Ponzo, and Muller-Lyer illusions in a computer-based task. Our results revealed no reliable differences in VI susceptibility between PD and neurotypical groups. In the early- to mid-stage of PD, abnormalities of the basal ganglia and dopamine deficiency are unlikely to be involved in top-down processing or depth perception, which are both thought to be related to VI susceptibility. Furthermore, depth-related issues experienced by PD patients (e.g., increased risk for falling) may not be subserved by the same cognitive mechanisms as VIs. Further research is needed to investigate if more explicit presentations of illusory depth are affected in PD, which might help to understand the depth processing deficits in PD.

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Abstract

Parkinson's disorder (PD) is a common neurodegenerative disorder affecting approximately 1-49 50 3% of the population aged 60 years and older. In addition to motor difficulties, PD is also marked by visual disturbances, including depth perception, abnormalities in basal ganglia 51 functioning, and dopamine deficiency. Reduced ability to perceive depth has been linked to an 52 increased risk of falling in this population. The purpose of this paper was to determine whether 53 disturbances in PD patients' visual processing manifest through atypical performance on visual 54 illusion (VI) tasks. This insight will advance understanding of high-level perception in PD, as 55 well as indicate the role of dopamine deficiency and basal ganglia pathophysiology in VIs 56 susceptibility. Groups of 28 PD patients ($M_{age} = 63.46$, SD = 7.55) and 28 neurotypical controls 57 $(M_{age} = 63.18, SD = 9.39)$ matched on age, general cognitive abilities (memory, numeracy, 58 59 attention, language), and mood responded to Ebbinghaus, Ponzo, and Muller-Lyer illusions in a computer-based task. Our results revealed no reliable differences in VI susceptibility between 60 PD and neurotypical groups. In the early- to mid-stage of PD, abnormalities of the basal ganglia 61 and dopamine deficiency are unlikely to be involved in top-down processing or depth 62 perception, which are both thought to be related to VI susceptibility. Furthermore, depth-related 63 64 issues experienced by PD patients (e.g., increased risk for falling) may not be subserved by the same cognitive mechanisms as VIs. Further research is needed to investigate if more explicit 65 66 presentations of illusory depth are affected in PD, which might help to understand the depth processing deficits in PD. 67

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Keywords: Parkinson's disease, visual illusions, Ebbinghaus illusion, Ponzo illusion, Muller-Lyer illusion, depth perception

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Susceptibility to Geometrical Visual Illusions in Parkinson's Disorder

Visual illusions (VIs) occur when the configuration of a stimulus causes the viewer to 73 74 incorrectly perceive relationships between its parts (Notredame et al., 2014). VIs have been widely used as a tool to investigate how visual perception develops (e.g., Doherty et al., 2010) 75 and the impact of neuropsychological disorders such as schizophrenia (for a review see King 76 et al., 2017; Costa et al., 2023) and autism (for a review see Gori et al., 2016). Although 77 impairment of visual perception (e.g., hallucinations) is now well established in Parkinson's 78 disorder (PD) (Nieto-Escamez et al., 2023; Sauerbier and Chaudhuri, 2013; Weil et al., 2016), 79 research has yet to investigate how PD affects susceptibility to VIs. Furthermore, depth 80 perception – which is linked to VI susceptibility (e.g., Doherty et al., 2010; Gregory, 1963, 81 1966) and increased risk of falling (Cummings et al., 1995) - is shown to be affected in PD 82 (Maschke et al., 2006). Therefore, studying VI susceptibility in this population may indicate 83 how neuropsychological characteristics of PD (e.g., dopamine deficits and the pathophysiology 84 of the basal ganglia) impact depth perception and top-down visual processing. 85

PD is a common neurodegenerative disorder affecting approximately 1-3% of the 86 population aged 60 years and older (Ball et al., 2019; Pringsheim et al., 2014). It is 87 characterised by motor deficits including tremors, rigidity, bradykinesia (slowed movement 88 execution and initiation), and postural instability (Berardelli et al., 1983; Guttman et al., 2003). 89 Although PD was traditionally considered to be a paradigmatic motor disorder, non-motor 90 disruptions (including visual distortions) are experienced by the majority of PD patients 91 (Chaudhuri et al., 2011). Visual distortions in PD include decreased contrast sensitivity 92 (Sauerbier and Chaudhuri, 2013; Uc et al., 2005; van der Lijn et al., 2022), decreased colour 93 discrimination (Pieri et al., 2000), deficits in motion and spatial perception (Uc et al., 2005), 94 visual acuity deficits (Uc et al., 2005), and visual hallucinations (Barnes and David, 2001; Weil 95 et al., 2016). 96

It is widely regarded that visual disturbances in PD are caused by a reduction of 97 dopamine (Bodis-Wollner, 1990). Dopamine, a key neurotransmitter in the mammalian brain 98 (Bibb, 2005), is believed to play a crucial role in visual perception (Harris et al., 2003). For 99 example, Andreou and colleagues (2015) showed that dopamine influences neurotypical 100 adults' sensitivity to detecting an object in snowy (noisy) black-and-white pictures. Dopamine 101 has also been shown to influence visual perception in PD. Multiple studies have found that 102 103 retinal dopamine levels and dopaminergic innervation surrounding the fovea are reduced in PD (Harnois and Di Paolo, 1990; Nieto-Escamez et al., 2023; Sauerbier and Chaudhuri, 2013), 104 105 resulting in visual perception deficits such as poorer light adaptation and decreased contrast sensitivity (e.g., Armstrong, 2015; Pieri et al., 2000). Other visual deficits that are linked to 106 107 dopamine deficiency include greater thresholds for motion detection (e.g., Trick et al., 1994), colour discrimination (e.g., Buttner et al., 1994), as well as visuospatial deficits (e.g., Gibson 108 et al., 1987; for an overview of dopamine-related deficits in PD, see Brandies & Yehuda, 2008). 109

Another hallmark of PD is the pathophysiology of the basal ganglia (Obeso et al., 2000). 110 The basal ganglia are believed to control motor and cognitive functioning (Macpherson & 111 Hikida, 2019); however, recent research has implicated their role in visual perception (Maschke 112 et al., 2006; Nieto-Escamez et al., 2023). Maschke and colleagues (2006) showed that PD 113 patients and patients with spinocerebellar ataxia (a movement disorder) made greater errors 114 115 when estimating the slant of an illusory display (Ames Trapezoidal Window). The difficulties evidenced by PD patients were attributed to differences in the basal ganglia's functioning. 116 Furthermore, dopamine losses across key components of the basal ganglia (e.g., subthalamic 117 nucleus, substantia nigra, and globus pallidus) are observed in PD (Benazzouz et al., 2014). 118 119 Dopamine deficiency in the basal ganglia is of particular interest, as the link between these two 120 is thought to be related to the processing of visual information. Sil'kis (2007) proposed a mechanism in which the basal ganglia modulates the efficiency of synaptic transmission in an 121

interconnected parallel circuit that involves the limbic cortex, basal ganglia, thalamus, and
 cortex. This process is contingent on dopamine-dependent processes. It is, therefore, plausible
 to suspect that changes to this circuit in PD, could result in abnormal VIs susceptibility.

Given the well-documented abnormalities in depth perception in PD (Maschke et al., 2006; Ou et al., 2018), which could be linked to dopamine deficiency and the role of the basal ganglia (e.g., Maschke et al., 2006), it may be that susceptibility to depth-related VIs (e.g., the Ponzo illusion) is atypical in this population. Studying VIs in PD will enable us to comprehend the potential relationship between dopamine losses and basal ganglia pathophysiology with susceptibility to VIs. Consequently, VIs could offer a promising approach to address perceptual depth deficits in PD.

Although abnormalities in the basal ganglia and deficiency in dopamine levels could 132 potentially influence sensitivity to depth-related VIs in PD, there are reasons to believe that 133 sensitivity to *high-level* VIs may be preserved. The term 'high-level VIs' is used to classify 134 illusions that are thought to emerge at a later stage of visual processing (from approximately 135 the V1 and beyond) compared to low-level illusions that are mediated at the retinal level and 136 up to V1 (King et al., 2017). The Ebbinghaus, Ponzo, and Muller-Lyer are examples of high-137 level illusions, while the Brightness and Herman Grid illusions are examples of low-level 138 illusions (King et al., 2017). 139

Milner and Goodale's (1992) classic theory proposes that there are two visual streams in the brain. The ventral stream is responsible for perception for vision, while the dorsal stream is responsible for perception for action. VIs represent a unique method for investigating differences between these two streams. Research shows that even if the Ebbinghaus illusion is perceived, grip aperture is not affected by the illusion in neurotypical adults (e.g., Haffenden et al., 2001). Also, for the Ponzo illusion, it has been shown that grasping in neurotypical adults

is not 'fooled' by illusory displays (Ozana & Ganel, 2020). Studies on differences in perception 146 and action relating to VIs have been used to demonstrate the dichotomy between dorsal and 147 ventral streams. Research examining the functioning of ventral and dorsal visual streams in PD 148 patients has revealed abnormalities in vision for action in a blind walking task coupled with 149 intact performance on a line matching task (Giovannini et al., 2006). These findings suggest 150 that impairments in visual perception in PD may be explained by abnormalities in dorsal stream 151 processing, while the ventral stream remains unaffected, potentially preserving sensitivity to 152 high-level VIs. In line with these findings, PD patients also experience deficits associated with 153 154 higher level visual processing of motor actions including slower motor imagery (Poliakoff, 2013) and difficulties observing other people perform actions (Tremblav et al., 2008). These 155 differences in processing visual action signal possible impairments in dorsal stream 156 functioning. 157

This study is the first to test PD patients on their susceptibility to the Ebbinghaus, 158 Ponzo, and Muller-Lyer illusions using the method of adjustment. PD patients and neurotypical 159 age-matched controls completed a series of online illusion tasks in their own homes. On one 160 hand, based on evidence of depth perception abnormalities in PD (e.g., Ou et al., 2018), we 161 anticipated that PD patients may be less susceptible to these VIs than controls. However, we 162 also believe the differences are likely to be stronger for VIs with most explicit depth, like the 163 164 Ponzo illusion. However, on the other hand, we recognized that PD patients' susceptibility to these VIs could be unaffected due to a lack of severe disruption to the ventral stream. Our 165 findings will advance theoretical understanding of how PD impacts susceptibility to high-level 166 VIs and ventral stream visual processing. 167

168

Method

169 **Participants**

170 *Power Analysis*

| 171 | G*Power software (Faul et al., 2007) was used to perform an a priori power analysis |
|-----|---|
| 172 | to ascertain the necessary sample size required. Power (1- β) was specified as .80 and the |
| 173 | significance level (α) was set to .05. The anticipated effect size was modelled on the results |
| 174 | obtained by Grzeczkowski et al., (2018). Due to this, we anticipated a medium effect size of d |
| 175 | = 0.46. For the frequentist parameters defined, a sample size of $N = 56$ is required to achieve |
| 176 | a power of .80 at an alpha of .05. Hence, we aimed to recruit 56 participants. |

177 Demographics

Participants included 27 PD patients (15 females, 12 males) and 28 neurotypical 178 participants (17 females, 11 males). PD participants were recruited from the Department of 179 180 Psychology database of PD patients at Lancaster University, while controls were recruited via convenience sampling (n = 18) and sign-ups to the Centre for Aging Research at Lancaster 181 University (n = 10). All PD patients were medicated. Participants were predominantly white 182 British (n = 47). Participants were largely well-educated, with the majority holding at least an 183 undergraduate degree (n = 35). None of the participants reported having a cognitive impairment 184 185 or any neurological illness. Nine participants reported having a psychiatric illness (anxiety: n = 5; 3 in the control group, and depression: n = 4; 3 in the control group). Eleven participants 186 reported visual impairments for which they were receiving treatment, including glaucoma (n =187 188 3; 1 in the control group), age-related macular degeneration (n = 2), double vision (n = 3), astigmatism (control group), keratoconus, and short-sightedness (control group; all n = 1). All 189 participants confirmed that they had corrected-to-normal vision despite having these 190 191 conditions, and the aforementioned difficulties did not affect their ability to perceive the VIs. Participants' visual acuity was not assessed as previous research indicates that VIs 192

susceptibility is not related to it (Cretenoud et al., 2021) as well as in PD visual acuity remains
largely perseverated (Hunt et al., 1995).

| 195 | No significant differences between PD patients and neurotypical controls were |
|-----|--|
| 196 | observed for age ($t = 0.05$, $p = .96$), years of formal education ($t = 0.21$, $p = .835$), scores for |
| 197 | mild cognitive dysfunction ($t = -0.706$, $p = .484$), anxiety ($t = 0.599$, $p = .07$), and depression |
| 198 | (t = 0.15, p = .882). These non-significant group differences indicate that the groups were |
| 199 | closely matched (see Table 1 for more details). Full details of the PD patients' cohort are |
| 200 | presented in Table 2 below. |
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Table 1

Means and Standard Deviations for PD Patients and Neurotypical Adults

| | Total | Age | Education | Depression | MOCA | Anxiety | Screen Size |
|------------------------|-------|-------------|-------------|------------|-------------|------------|-------------|
| PD Patients | 27 | 63.3(7.64) | 15.11(4.17) | 4.67(2.73) | 24.89(2.04) | 5.78(3.94) | 35.48(9.93) |
| Neurotypical Adults | 28 | 63.18(9.39) | 14.89(3.52) | 3.93(2.61) | 24.54(2.04) | 5.64(2.64) | 36.93(4.3) |

Note. Higher values for depression and anxiety indicate more severe symptoms. Higher MOCA scores indicate better cognitive functioning. Screen size is reported in centimetres.

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Characteristics of PD Patients

| | | | X 7 | X 7 | | | | | | TT 1 |
|--------------|--------|------------------|--------------|------------|-------------|----------|------------|-----------|------------|-------------|
| | | | Years | Years | | | | | | Hoehn |
| | | | since | since | | Last | | | | and Naha |
| Dorticipant | 1 00 | Gandar | diagnosia | PD | | Last | MOCA | HADS- | HADS- | Y anr |
| Participant | Age | Gender | | onset | LEDD | | | A | <u>D</u> | Stage |
| 1 | 51 | Female | 3 | 5 | 222 | 204 | 22 | 4 | 1 | 1 |
| 2 | 62 | Female | 8 | 11 | 760 | 30 | 26 | 11 | 6 | 1 |
| 3 | 65 | Male | 5 | 5 | 660 | 148 | 25 | 1 | 2 | 0 |
| 4 | 63 | Female | 5 | 6 | 350 | 180 | 26 | 6 | 7 | 2 |
| 5 | 57 | Female | 2 | 6 | 375 | 85 | 25 | 15 | 6 | 2 |
| 6 | 56 | Male | 6 | 8 | 1000 | 136 | 18 | 3 | 5 | 2 |
| 7 | 58 | Male | 2 | 3 | 973 | 120 | 26 | 6 | 7 | 1 |
| 8 | 74 | Female | 4 | 5 | 195 | 2 | 26 | 1 | 1 | 2 |
| 9 | 59 | Male | 5 | 15 | 220 | 0 | 23 | 2 | 3 | 1 |
| 10 | 70 | Male | 5 | 7 | 595 | 210 | 25 | 4 | 3 | 1 |
| 11 | 67 | Male | 5 | 10 | 960 | 720 | 25 | 1 | 5 | 1 |
| 12 | 67 | Male | 9 | 21 | N.A. | 204 | 26 | 3 | 0 | 2 |
| 13 | 70 | Male | 13 | 30 | N.A. | 90 | 26 | 2 | 2 | 2 |
| 14 | 71 | Female | 3 | 6 | 400 | 230 | 26 | 2 | 1 | 0 |
| 15 | 59 | Male | 4 | 7 | 590 | 25 | 26 | 4 | 3 | 2 |
| 16 | 67 | Male | 6 | 10 | 840 | 60 | 27 | 12 | 5 | 1 |
| 17 | 63 | Male | 4 | 5 | 475 | 240 | 25 | 7 | 6 | 1 |
| 18 | 59 | Female | 6 | 7 | 362 | 420 | 25 | 14 | 5 | 0 |
| 19 | 75 | Female | 7 | 7 | 1680 | 127 | 25 | 5 | 7 | 2 |
| 20 | 51 | Female | 3 | 5 | 555 | 150 | 24 | 3 | 1 | 1 |
| 21 | 70 | Female | 11 | 2 | 578 | 0 | 27 | 6 | 3 | 2 |
| 22 | 57 | Female | 2 | 5 | 300 | 150 | 24 | 8 | 5 | 2 |
| 23 | 67 | Female | 5 | 6 | 500 | 120 | 26 | 6 | 8 | 1 |
| 24 | 51 | Female | 1 | 4 | 800 | 210 | 20 | 11 | 8 | 2 |
| 25 | 59 | Female | 5 | 16 | 355 | 1440 | 26 | 5 | 9 | 1 |
| 26 | 81 | Female | 7 | 7 | 640 | 255 | 26 | 8 | 9 | 2 |
| 27 | 60 | Male | 4 | 6 | 715 | 45 | 26 | 6 | 8 | 3 |
| Note. The ti | me sin | ce the last dose | is in minute | s. HADS | S-A and HAD | DS-D coi | respond to | o anxiety | and depres | ssion, |

respectively (described in further detail below). LEDD corresponds to L-dopa equivalent daily dose, which is amongst the most common medication for PD (Julien, 2021). Hoehn and Yahr's scale refers to the severity of symptoms in PD, ranging from 0 (least severe) to 5 (most severe) (MDS, 2008).

208 Materials

All study stimuli were developed using Unity 3D[©] Gaming Engine and were visually 209 210 displayed to participants using the 'screen share' function in Microsoft Teams. The stimuli were modeled on existing work in the field (e.g., Chouinard et al., 2013; Sperandio et al., 2023). 211 These studies were conducted virtually as a precaution to protect both participants and 212 213 experimenters from COVID-19. Though it may be seen as a potential confound, previous research indicates that online testing yields reliable measurements, however, the effect sizes 214 tend to be smaller (e.g., Chuey et al., 2021; Pallen et al., 2022). As participants viewed the 215 stimuli through screen share on their personal devices, screen size ranged between 23 and 61 216 inches. An independent samples *t*-test indicated that screen sizes of PD patients (M = 35.48, 217 SD = 10.56) and neurotypical controls (M = 36.93, SD = 4.30) did not significantly differ, t(53)218 = -0.706, p = .484. Also, no significant correlations were observed between illusion strength 219 and screen size. 220

Three visual illusions were used: the Ebbinghaus illusion, the Ponzo illusion, and the 221 Muller-Lyer illusion. Participants were required to adjust the size of a line or circle (depending 222 on the illusion) until they perceived it as equivalent in size to the reference stimuli. The size 223 was adjusted using the right and left arrow keys, and trials were progressed using the ENTER 224 key. The experimental software obtained a measure of reaction time (ms). RT data was only 225 226 used to detect skipped trials (responses faster than approximately 5 seconds, which were accompanied by large Z-score values, at least 2 standard deviations (SDs) away from the 227 mean). Average RTs significantly differed between illusions [F(1.63, 88.05) = 5.37, p = .006]228 229 but not between participant groups [F(1, 54) = 1.12, p = .294]. Post hoc comparisons with Holm correction showed differences between RTs for the Ebbinghaus (M = 21.24, SD = 6.11) and 230 the Muller-Lyer (M = 23.87, SD = 9.10) illusions, t = -2.75, p = .014, as well as between the 231 Muller-Lyer (M = 23.87, SD = 9.10) and Ponzo illusions (M = 21.08, SD = 6.01), t = 1.92, p = 1.00232

.013. No difference was detected between RTs for the Ebbinghaus and Ponzo illusions; p =
.867. Furthermore, we conducted correlations between the illusion's strength and RTs for both
groups individually, and the whole sample, to access if prolonged exposure affected VIs
susceptibility (Bressan & Kramer, 2021). None of the correlations approached significance.

237 The Ebbinghaus Illusion

The two orange centre circles were surrounded either by eight pink large inducers (125 238 pixels in diameter, positioned 35 and 90 pixels away from the central circle) or eight pink small 239 inducers (50 pixels in diameter, positioned 32 and 80 pixels away from the central circle) 240 presented on a black background (see Figure 1). The orange centre circle was 100 pixels in 241 diameter (an example display is illustrated in Figure 1). There were 16 trials in total. The 242 starting size of the adjustable centre circle was 50 pixels in 8 trials and 150 pixels in 8 trials. 243 244 The side of appearance (left or right) and inducer size (large or small) for the adjustable circle varied between trials, with four trials for each size and side combination. The order of 245 246 presentation was randomised.

247 **Figure 1**

248 Example Ebbinghaus Illusion Trial

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Note. Participants were required to manipulate the size of the right orange circle to match the
size of the left orange circle (or vice versa).

252 The Ponzo Illusion

Four pink converging lines were used as inducers (two at 420 pixels in length at a 64degree angle, and two at 380 pixels in length at a 10-degree angle). The adjustable and reference horizontal lines were orange and 135 pixels apart. The reference line for both methods of measurement was held constant at 100 pixels. An example display can be found in Figure 2.
There were 8 trials in total; in 4 trials the adjustable line started at 50 pixels, and in 4 trials the
adjustable line started at 150 pixels. In half of the trials, the adjustable line appeared above the
horizontal midline and half below. The order of presentation was randomised.

260 **Figure 2**

261 Example Ponzo Illusion Trial

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263 Note. Participants would be required to manipulate the length of the bottom orange line to
264 match the length of the top orange line (or vice versa).

265 The Muller-Lyer Illusion

Two orange lines with inwards or outwards facing arrows (40 pixels in length) at a 45degree angle were presented. The reference line for both methods of measurement was held constant at 150 pixels. An example display can be found in Figure 3. There were 16 trials in total with four trials for each side of the presentation (left or right) and arrow type (inwards or outwards facing) combination. The starting size of the adjustable line was 75 pixels in 8 trials and 225 pixels in 8 trials. The order of presentation was randomised.

272 **Figure 3**

273 Example Muller-Lyer Illusion Trial

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275 Note. Participants would be required to manipulate the left orange line (between the
276 arrowheads) to match the length of the right orange line (between the arrowheads), or vice
277 versa.

278 Questionnaires and Screening Tools

Questionnaires and screening tools were administered to participants via an online
interview. These included the Hospital Anxiety and Depression Scale (HADS; Snaith, 2003),
the Montreal Cognitive Assessment (MOCA; Nasreddine et al., 2005), and the Movement
Disorder Society – Unified Parkinson Disease Rating Scale (MDS-UPDRS; Goetz, 2007).
These measures were included to test whether potential differences in susceptibility to VIs were
influenced by participants' cognitive abilities and/or mood.

285 HADS consists of 14 statements that measure traits of depression (7 items) and anxiety (7 items). Each statement has four corresponding answers which the interviewee can choose 286 between. For example, for the statement 'I feel tense or wound up' (an anxiety item), the 287 response options are: 'most of the time' (3 points), 'a lot of the time' (2 points), 'from time to 288 289 time, occasionally' (1 point), and 'not at all' (0 points). Higher scores indicate more severe symptomology. During the interview, the participant was instructed to think about their 290 291 feelings over the past week. The statements were read out loud, followed by the answers, and then the participant chose one of them. If they were unsure, the interviewee was asked to make 292 their best guess. For half of the questions the response options were read in order from negative 293 to positive, and for the other half the response options were read in order from positive to 294 negative. 295

The MOCA includes 13 tasks measuring a variety of cognitive functions, including visuospatial/executive functions, naming, memory, attention, language, abstraction, delayed recall, and orientation. As the study was conducted online, small changes were implemented. The first part of the visuospatial/executive task (connecting numbered dots) was omitted as the participant was unable to respond due to online administration. Also, in the orientation task, participants were not asked about their present location as the researchers were unable to validate their responses. The participant could therefore score up to 27 points (30 pointsoriginally).

The MDS-UPDRS consists of four subscales measuring: I - non-motor aspects of 304 experiences of daily living (1.1 - 1.6), (questions 1.7 to 1.13 were excluded as they were 305 unrelated to our study's objective); II – motor aspects of experiences of daily living (2.1 -306 2.13); III – motor examinations (3.1 - 3.8; 3.15 - 3.18) (questions 3.9 to 3.14 were dropped as 307 the study's online nature prevented the researchers from correctly assessing the participant's 308 performance); IV - motor complications (4.1 – 4.6). Parts I, II, and IV included questions 309 asking participants to rate their difficulty engaging with a variety of daily tasks (e.g., getting 310 dressed and getting out of a deep chair) from normal to severe on a five-point scale. Part III 311 involved a motor examination of the participants, who performed tasks as they were described 312 by the researcher (e.g., holding their hands still in front of them). The researcher then scored 313 the performed action according to the MDS-UPDRS guidelines. 314

315 **Procedure**

All participants were tested online via Microsoft Teams. Before taking part in the online 316 317 session, participants were required to complete a survey requesting basic demographic information (e.g., age and gender), history of PD and diagnosis, and current medication intake. 318 Then, all participants were screened for mild cognitive impairment (MOCA) and mood 319 320 disorders (HADS). Individuals with PD symptoms were also assessed using the MDS-UPDRS. Participants were then given control over the researcher's laptop using the Teams share 321 function [(if that was which was not possible in some cases (< 5), participants were asked to 322 323 provide oral instructions to the researcher, however, our RT correlations with VIs susceptibility failed to reach significance, hence the different modes of entering data were not deemed 324 problematic]). Once control was given, participants were presented with the experimental 325

stimuli and asked to manipulate the size of a line (Müller-Lyer or Ponzo display) or centre 326 circle (Ebbinghaus display; either to increase or decrease) using the right and left (left to 327 decrease, right to increase) arrow keys (see Figure 4). Once the participant believed that their 328 stimulus matched the size of the reference non-adjusted line or circle, they were prompted to 329 press *Enter*. If the participant was unable to take control, they were asked to orally instruct the 330 researcher to either increase or decrease the sizes until they were happy with it. Participants 331 332 were prompted to be as accurate as possible in their judgements and instructed to make their judgements as quickly as possible. In both scenarios, the researcher looked away from the 333 334 screen to prevent the participant from feeling pressured to respond quickly or to prevent any gaze cues. The order of illusion blocks and trials within blocks were randomised. Once the 335 experiment finished, participants were fully debriefed and encouraged to ask questions. The 336 study took between 45 to 60 minutes to complete. 337

338 Figure 4

339 <u>Example Trial</u>

340 Note. During adjustment, the participant used the arrows on their keyboard to match the larger
 341 of the two orange, inner circles with the other, target circle. Once they perceived the circles as
 342 equal in size, they pressed enter to proceed to the next trial.

343 Analysis Plan

The data were screened to assess for normality of distribution. The magnitude of the illusion was calculated as the difference between the actual size of the target and the participant's response. A 2 (Group: PD patients, neurotypical controls) x 3 (Illusion: Ebbinghaus, Ponzo, and Muller-Lyer) repeated measures ANOVA was conducted. Correlations between VIs, demographic data, and Parkinsonian symptoms were computed using both frequentist and Bayesian analyses. <u>Multiple comparisons were analysed with Holm</u> <u>correction (e.g., Grzeczkowski et al., 2018).</u> Screening analyses were performed using IBM
 SPSS Statistics (Version 27) and all the remaining analyses were performed in JASP Team
 (2022).

353

Results

354 Normality of The Data Set

Each participant's data were screened for outliers (40 responses per participant) located at least two SDs away from the response mean (unusually low or high values reported), and compared against the population's mean for each particular illusion. Outliers were screened for PD patients and neurotypical adults separately. To ensure consistency across responses, all individual outliers were replaced with a second value for the same trial type.

Several outliers were identified across the data. For the Ebbinghaus illusion, there were 360 27 outliers (3.01%) out of 896 trials, including 18 in the PD group (16 belonged to one 361 362 participant, meaning every single trial of that participant was outside -/+2 SDs away from the mean, resulting in the exclusion of this participant) and 9 in the neurotypical group. For the 363 Ponzo illusion, there were 20 outliers (4.46%) out of 448 trials, including 14 in the PD group 364 and 6 in the neurotypical group. For the Muller-Lyer illusion, there were 31 outliers (3.45%) 365 out of 896 trials, including 18 in the PD group and 13 in the neurotypical group. The majority 366 of outliers were due to the participant pressing the *enter* key too forcefully, which resulted in 367 skipping a trial (this was identified by unusually quick reaction times of less than 3 seconds). 368 These scores were replaced with the participant's second score in the same condition. 369

370

Group Differences Between PD Patients and Neurotypical Controls

To examine differences between PD patients and neurotypical participants on their susceptibility to the Ebbinghaus, Ponzo, and Muller-Lyer illusions, a 2 x 3 repeated measures ANOVA was conducted. Both Levene's test for equality of variance for all three illusions and

Mauchly's W test of sphericity indicated that the assumptions for a two-way ANOVA were 374 met; p = .349, p = .777, p = .663, and p = .057 respectively. The results revealed a significant 375 effect of the illusion, F(2, 108) = 628.63, p < .001, $\eta^2 = .87$. The difference between PD patients 376 and neurotypical approached significance, F(1, 54) = 3.79, p = .057, $\eta^2 = .003$, as did the 377 Population x Illusion interaction F(2, 54) = 3.07, p = .050, $\eta^2 = .004$. Given our a priori 378 predictions, we proceeded to conduct post-hoc comparisons though note that these should be 379 treated with caution as the interaction was only marginally significant. Post-hoc comparisons 380 using Holm correction (after Grzeczkowski et al., 2017) showed that PD patients were 381 382 significantly less susceptible (M = -0.18, SD = 0.08) than controls (M = -0.23, SD = 0.09) to the Ponzo illusion; t(54) = 2.19, p = .033, d = 0.59. No significant differences were observed 383 for the Ebbinghaus (PD; M = -0.14, SD = 0.04 and controls; M = -0.13, SD = 0.05) and Muller-384 Lyer illusions (PD; M = -0.54, SD = 0.07 and controls; M = -0.57, SD = 0.08). 385

Similar results were observed by conducting a Bayesian 2 x 3 repeated measures 386 ANOVA. Based on Jeffreys' (1939) rule of thumb for interpreting Bayesian results (1-3, 3-10, 387 and 10+, are considered weak, moderate, and strong effects, respectively), we observed weak 388 evidence for an effect of VIs (BF = 0.89), very weak evidence for an effect of group (BF <389 0.001), and weak evidence for an interaction (BF = 0.681). Bayesian *t*-tests yielded similar 390 results for differences between the groups on each VIs. Weak evidence was observed for group 391 392 differences on the Ebbinghaus, Ponzo, and Muller Lyer illusions; B = 0.399, B = 1.911, and B = 0.67, respectively. Evidence from these Bayesian analyses indicates a lack of differences 393 between PD patients and neurotypical controls on the three tested illusions. 394

395 **Correlations**

396 Several correlations were performed to assess whether severity of PD symptoms was 397 associated with differences in susceptibility to VIs. The variables of interest included 398 susceptibility scores for each illusion, time since the last medication dose, years since PD 399 diagnosis, years since starting medication, years since symptom onset, LEDD score, and the 400 total MDS-UPDRS score. As some variables were not normally distributed, Spearman's 401 correlations and their Bayes equivalent were conducted. No frequentist or Bayesian 402 correlations approached significance, indicating that susceptibility to VIs was not correlated 403 with patients' PD characteristics.

404 Figure 5

- 405 Individual Data Points for the Ebbinghaus Illusion for PD Patients (PDP) and Healthy Control
 406 Participants (HCP)
- 407

408 *Note.* Both groups show overlapping similarities in their susceptibility to the Ebbinghaus409 illusion.

410 Figure 6

- 411 Individual Data Points for the Ponzo Illusion for PD Patients (PDP) and Healthy Control
 412 Participants (HCP)
- 413

- 415 **Figure 7**
- 416 Individual Data Points for the Muller-Lyer Illusion for PD Patients (PDP) and Healthy Control
- 417 *Participants (HCP)*

418

Note. Both groups show overlapping similarities in their susceptibility to the Muller-Lyerillusion.

⁴¹⁴ *Note.* Both groups show overlapping similarities in their susceptibility to the Ponzo illusion.

421

Discussion

This study investigated whether PD patients – a population characterised by basic and 422 423 complex visual disturbances (e.g., Maschke et al., 2006) - and neurotypical adults differ in their susceptibility to the Ebbinghaus, Ponzo, and Muller-Lyer visual illusions. We formulated 424 two competing hypotheses: (a) PD patients may be less susceptible to VIs than neurotypical 425 426 adults due to abnormalities in the basal ganglia and dopamine deficits affecting their visual processing, or (b) sensitivity to VIs may not be impacted by PD due to their visual deficits 427 specifically affecting dorsal stream processing of actions. Our analyses did not identify robust 428 differences between the two populations' responses for any illusion. These results suggest that 429 dopamine deficiency and basal ganglia pathophysiology may not be directly related to VI 430 susceptibility and that these may affect different aspects of visual perception (Maschke et al., 431 2006). Furthermore, our data imply that the ventral stream's processing of vision for perception 432 in PD is largely free from pathology when viewing VIs. 433

434 Previous research has shown that depth perception deteriorates in older adults (Salonen and Kivela, 2012) and that the inability to perceive depth correctly increases their risk of falls 435 (Cummings et al., 1995; Ivers et al., 2000; Lord and Dayhew, 2001). There is also an extensive 436 body of evidence documenting abnormal depth perception in PD (e.g., Ou et al., 2018), 437 including in illusory contexts (Maschke et al., 2006). Our analysis, however, showed only 438 439 marginal evidence for abnormal depth perception. PD patients appeared to have reduced susceptibility to the Ponzo illusion. The Ponzo illusion is considered a classic example of a 440 depth illusion (Gregory, 1963), and creates the most apparent experience of depth among the 441 tested illusions. These findings suggest that dopamine deficiency and/or pathophysiology of 442 the basal ganglia may, marginally, affect depth perception as shown by the illusory depth in 443 the Ponzo illusion, adding to already existing evidence concerning such deficits (e.g., Maschke 444 et al., 2006). It is, however, important to note that the depth here is only illusory (induced), and 445

446 arguably less apparent compared to the Ames Window illusion (such as in Maschke et al., 447 2006), and it is not real, 3D depth. PD patients might still have difficulties in perceiving depth 448 in everyday situations (e.g., Cummings et al., 1995). Potentially, only a slight indication of 449 reduced susceptibility was observed because PD participants in this study were mostly in the 450 early- and mid-stages of PD. Therefore, it might still be possible that susceptibility to VIs starts 451 deteriorating as PD develops, as other aspects of vision like colour and contrast discrimination 452 abilities get progressively worse (Diederich et al., 2002).

Reduced ability to interpret and process depth cues may result in abnormal 453 susceptibility to the Ponzo illusion. Thus, an incorrect perception of an object's position in the 454 world (whether it appears as closer/further away than it is), could contribute to the increased 455 risks of falls in the elderly. In line with this assumption, many PD patients are shown to exhibit 456 difficulties in perceiving depth, experiencing both teleopsia (objects appear to be further away 457 than they are) and pelopsia (objects appear to be closer than they are; Sasaki et al., 2022). 458 Furthermore, it is unlikely that these differences observed between PD patients and controls 459 arise due to the abnormal role of top-down influences in susceptibility to the Ponzo illusion, as 460 such a deficit should also be observed for the Ebbinghaus illusion, which is considered a 461 context sensitivity illusion (Kaldy and Kovacs, 2003). 462

The Ebbinghaus illusion arises due to the perceptual system's top-down integration of 463 display elements (Kaldy and Kovacs, 2003). Our data show that susceptibility to the 464 Ebbinghaus illusion is not significantly different in PD, indicating typical abilities to integrate 465 context in this population. This finding aligns with previous research reporting intact top-down 466 influences on PD patients' responses in visual priming tasks (Straughan et al., 2016) and visual 467 search tasks (Horowitz et al., 2006). By contrast, Mannan and colleagues (2008) found that PD 468 patients were impaired in visual search tasks involving highly salient targets, indicating 469 difficulties with bottom-up processing. The illusions tested in this study belong to a category 470

of high-level VIs that rely on complex cognitive processing and top-down mechanisms, 471 whereas low-level VIs (e.g., the Brightness illusion) are mediated at the level of the retina and 472 bottom-up perception (King et al., 2017). While PD may not impact top-down processing 473 involved in experiencing complex VIs, deficiency of retinal dopamine may result in abnormal 474 susceptibility to low-level VIs. As deficiency in retinal dopamine results in a diminished ability 475 to differentiate contrast (as in colour, e.g., Pieri et al., 2000; Price et al., 1992), PD patients 476 477 could have higher thresholds in matching colour in Brightness or Adelson's Checkerboard illusions. Therefore, we recommend that future research investigates whether susceptibility to 478 479 low-level VIs is affected by PD.

Our findings suggest that the pathophysiology of the basal ganglia and dopamine 480 deficits may not affect PD patients' sensitivity to the Muller-Lyer illusion. Therefore, illusions 481 such as the Ebbinghaus and Muller-Lyer may be subserved by neural mechanisms that are 482 largely free from pathophysiology in PD, such as those located in the visual cortex (Cheng et 483 al., 2011; King et al., 2017). The Muller-Lyer illusion is considered to rely on depth cues 484 (Gregory, 1966), just like the Ponzo illusion, which is considered a classic example of a depth 485 486 illusion (Gregory, 1963). Therefore, the inability to perceive depth cannot be a major factor driving the illusion, at least in the version used here. In line, with Doherty and colleagues' 487 (2010) claims that subtle depth cues are likely to play a part in susceptibility to the Ebbinghaus 488 489 illusion, the depth cues in the Muller-Lyer illusion are also subtle, hence no differences in susceptibility to those two illusions might have been observed. Thus, the pathophysiology of 490 the basal ganglia and/or dopamine deficits might only be related to more explicit perceptions 491 492 of depth, and are not directly linked with susceptibility to the Muller-Lyer illusion.

493 Overall, our observed results support the alternative hypothesis that susceptibility to
494 VIs is largely unaffected in PD patients due to their visual perception difficulties originating
495 from abnormalities in dorsal stream functioning, rather than ventral stream functioning. PD

496 patients showed similar susceptibility to the Ebbinghaus and Muller-Lyer illusions and only 497 marginal evidence for reduced susceptibility to the Ponzo illusion was observed. From this, we 498 conclude that perception of depth is more crucial for executing motor actions than the 499 integration of context. This is, in line with findings by Giovannini and colleagues (2006) who 500 observed that PD patients display abnormalities in their vision for action in a blind walking 501 task, but not a line-matching task. Arguably, the line-matching task does not rely on depth 502 integration, therefore PD patients performed similarly to controls.

Extending this line of research to grasping behaviour, which is guided by the dorsal stream, would potentially provide valuable insight into differences between the dorsal and ventral streams in PD. Previous findings on the dichotomy between the two streams have largely focused on whether individual illusory effects are larger on the ventral stream than the dorsal stream. Here, testing PD patients would allow for a different perspective; one would still assume that the perceptual stream is affected by the illusion in both PD patients and healthy controls, but the action stream is affected by the illusion only in PD patients.

This study has several limitations. Firstly, we did not directly assess our participants' 510 dopamine levels or pathophysiology of the basal ganglia. In line with other studies in the field 511 (e.g., Maschke et al., 2006), our target population was selected based on robust pre-existing 512 knowledge that PD is characterised by dopamine loss and basal ganglia pathophysiology which 513 are known to adversely affect visual perception. Therefore, our conclusions that dopamine loss 514 and the pathophysiology of the basal ganglia do not influence susceptibility to high-level VIs 515 should be interpreted with caution. Furthermore, the online administration of the study resulted 516 517 in several potential shortcomings. First, varying Internet speed could cause a lag in the delivery of the experiment, impacting the smoothness of the increase/decrease of the targets which the 518 experimenter could not control for. Secondly, although participants were frequently reminded 519 to rely on their visual perception alone, the experimenter could not verify whether the 520

participants truly did so. Finally, our study did not check for the presence of everyday VIs (that
are similar to geometrical VIs, but they occur during everyday activities of the patients), that
recently gained interest in medical research on PD (Nishio et al., 2018; Sasaki et al., 2022).

In conclusion, our findings suggest that PD patients and neurotypical controls do not differ in their susceptibility to the Ebbinghaus, Ponzo, and Muller-Lyer illusions. The lack of differences was especially evident in the Ebbinghaus and Muller-Lyer illusions that more strongly rely on context sensitivity rather than depth perception. Only a marginal indication of abnormalities in depth perception was indicated by reduced susceptibility to the Ponzo illusion, which compared to the other VIs is a classical illusion of depth. Collectively, our data suggest that context integration, a key component of VIs susceptibility, remains unaffected in the early to mid-stage of PD. Furthermore, our findings suggest that visual deficits in PD are more likely to be related to the dorsal visual stream. This study makes a novel contribution to a growing literature exploring visual deficits in PD and advances the understanding of how visual perception may be affected by dopamine deficiency and abnormalities in the basal ganglia.

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Figure 3.JPEG







1-second mask





Figure 4.JPEG





