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2 **Flood avoidance behaviour in Brown Dippers**

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18 Extreme weather events such as tropical cyclones are becoming more frequent, but  
19 efforts to understand the impact on wildlife have focused on population-level change  
20 rather than the behavioural responses of individuals. In this study, we monitored an  
21 individually marked population of Brown Dippers *Cinclus pallasii* in upland  
22 Taiwanese streams in order to investigate the movements of these birds following  
23 typhoons in 2004, 2012 and 2013. Individuals moved significantly longer distances (i)  
24 immediately after floods compared with before and (ii) in typhoon years compared  
25 with other years. Most of these movements involved temporary displacement from a  
26 major stream to one of its tributaries, where population size and food abundance are  
27 typically lower. These results suggest that movements after flooding were not driven  
28 by food abundance but that relatively poor quality streams may provide an important  
29 refuge for birds following typhoons.

30

31 **Keywords:** typhoon, climate change, tropical cyclone, refuge, survival

32

33

34 Extreme weather and natural disasters can dramatically increase extinction risk  
35 (Easterling *et al.*, 2000, IPCC, 2014, Vincenzi, 2014). Alongside increasing global  
36 temperatures, extreme weather events have become more frequent and severe, and  
37 this trend is likely to continue into the next century (IPCC, 2014). Understanding how  
38 wildlife responds to these events is therefore a major conservation challenge, but  
39 since they are difficult to predict, their impact on animal and plant populations  
40 remains poorly understood (Reed *et al.*, 2003, Jenouvrier, 2013, Bailey & Pol, 2016).

41

42 The ability to adapt to or escape from the conditions imposed by extreme weather  
43 events may be critical for individual survival and population persistence. For example,  
44 birds may alter their foraging behaviour when flooding restricts access to feeding sites  
45 (e.g. Anich & Reiley, 2010), or when the availability of preferred food is limited by  
46 drought (e.g. Steenhof & Kochert, 1985). In other cases, birds may leave their  
47 territories to avoid unfavourable conditions. In one extreme example, individual  
48 Golden-winged Warblers *Vermivora chrysoptera* were recorded leaving their breeding  
49 grounds more than 24 hours before a severe tornadic storm, travelling over 1500 km  
50 in five days (Streby *et al.*, 2014). However, these studies are rare, and more research  
51 is needed to investigate the movements made by individual birds to escape the effects

52 of extreme weather.

53

54 In Taiwan, typhoons have become increasingly frequent (Tu *et al.*, 2009) and can

55 cause devastating floods between June and October (Chiang & Chang, 2011). The

56 Brown Dipper *Cinclus pallasii* is a specialist of fast-flowing streams in upland Taiwan,

57 feeding mainly on aquatic macroinvertebrates (Chiu *et al.*, 2009), and previous studies

58 have shown that extreme flooding following typhoons causes significant reductions in

59 prey density, leading to decreases in population size (Chiu *et al.*, 2008), survival rate

60 (Chiu *et al.*, 2013) and reproductive performance (Hong *et al.*, 2016). Chiu *et al.*

61 (2008) reported the movement of several individuals from a major stream to a small

62 tributary after one flood in 2004, but sample sizes were small. In order to investigate

63 this phenomenon further, we closely monitored the same study population from 2011

64 to 2014 and compared the location and movements of ringed birds before and after

65 typhoons, and in typhoon years versus other years. We also compared the

66 relationships between discharge, invertebrate density and population size on each

67 stream in order to test whether movements were likely to be driven by food

68 availability.

69

70

## 71 **METHODS**

### 72 **Study area**

73 The study area comprised the Cijiawan stream and its tributary the Yousheng in the  
74 Tachia river catchment of central Taiwan (Fig. S1). The Cijiawan is a protected area  
75 within Shei-Pa National Park. The drainage areas of the Cijiawan and Yousheng are  
76 77 and 31 km<sup>2</sup>, respectively, and records from 2007 and 2008 indicate that maximum  
77 flow rates following typhoons were more than twice as high in the former than the  
78 latter (Huang *et al.*, 2012). The daily water flow was recorded by the Taiwan Power  
79 Company at a site 400 m downstream of the confluence of the Cijiawan and Yousheng  
80 throughout the study period. The mean ( $\pm$  SD) daily flow from 2012 to 2014 was 6.1  
81  $\pm$  14.4 m<sup>3</sup>s<sup>-1</sup>, and maximum flows following typhoon years in 2004, 2012, and 2013  
82 were 258.7, 310.8, and 240.5 m<sup>3</sup>s<sup>-1</sup>, respectively. There were no typhoons in 2011 and  
83 2014, and maximum flows in these two years were 21.5 and 58.3 m<sup>3</sup>s<sup>-1</sup>, respectively.

84

### 85 **Population monitoring and colour ringing**

86 Dippers were surveyed along an 8.5 km stretch of the Cijiawan and the same length of  
87 the Yousheng from their confluence. The former included a short (1.5 km) section of  
88 the Gaoshan, a small tributary which usually holds one or two pairs of dippers near to  
89 where it joins the Cijiawan; this was classified as the Cijiawan to simplify the  
90 analyses. Surveys were conducted every one or two months (depending on weather

91 conditions and access) from June 2011 to December 2014 (6 surveys in 2011 of the  
92 Cijiawan only; 10 surveys of both streams in 2012, 9 in 2013 and 10 in 2014). In 2004,  
93 the Cijiawan was surveyed five times (from June to December) and the Yousheng  
94 twice (in September and November). Birds were counted by slowly walking along the  
95 stream edge and ignoring individuals which flew ahead to avoid double counting;  
96 individuals almost invariably double-back once they reach the boundary of their  
97 territory and thus fly by the observer (Chen & Wang, 2010, Hong *et al.*, 2016).

98

99 A colour ringing programme was conducted from 2011 to 2014 along the Cijiawan  
100 and 2012 to 2014 on the Yousheng. Adults were caught in mist nets and given  
101 individual colour combinations, mostly in the pre-breeding period (September to  
102 December). The entire study area was surveyed for nests at least twice per month  
103 from January to March; nests were readily found by following adults carrying nest  
104 material or food (Hong *et al.*, 2016). All nestlings were given unique colour ring  
105 combinations when 16-18 days old (January to April). During population surveys, the  
106 location of all resighted colour ringed birds was recorded on a map to within 50 m.

107

### 108 **Invertebrate sampling**

109 Benthic macroinvertebrates were sampled at four sites in each of the two streams

110 every other month from February 2012 to October 2013 and in February, June and  
111 October 2014. On each visit, six samples were taken from riffles (where the birds  
112 usually feed) within each site using a Surber sampler (area = 30.48 cm x 30.48 cm,  
113 mesh size = 250  $\mu\text{m}$ ); samples were preserved in 75% ethanol. Specimens were  
114 identified at least to genus using published keys (Kang, 1993, Merritt & Cummins,  
115 1996, Kawai & Tanida, 2005). According to Chiu *et al.* (2009), Brown Dippers feed  
116 mostly on Trichoptera, Ephemeroptera, Diptera, and Plecoptera in our study area. The  
117 mean number of invertebrates from these four taxa caught in the 24 samples (4 sites x  
118 6 samples) was therefore multiplied by a factor of 10.764 (i.e.  $10,000 \text{ cm}^2 / [30.48 \text{ cm}$   
119  $\times 30.48 \text{ cm}]$  as a measure of invertebrate density in  $\text{m}^2$ .

120

### 121 **Data analysis**

122 The distances moved by colour-ringed individuals before and after flood events were  
123 non-normally distributed and so compared using a Wilcoxon signed rank test.

124 Movements before a flood were measured as the distance between an individual's  
125 locations on the two population surveys before the flood event. Movements after a  
126 flood were measured as the distance between an individual's locations on the surveys  
127 in the months immediately before and after the flood event. We also compared the  
128 movements after flooding in typhoon years with movements at the same time of year

129 in non-typhoon years (2011 and 2014) using a Mann-Whitney U Test. Movements in  
130 non-typhoon years were measured as the maximum distance between an individual's  
131 locations during July to September. To exclude cases of natal dispersal and the  
132 movements of non-breeding individuals or 'floaters', analyses were restricted to those  
133 individuals which were recorded breeding in the year of the flood. To determine how  
134 many ringed adults in the breeding population were still present in the study area after  
135 flooding, post-flood resighting rate (simply 'resighting rate' hereafter) were calculated  
136 by totalling the number of ringed adults resighted after three months of flooding and  
137 dividing by the number of all ringed adults present in the previous breeding season.

138

139 Wilcoxon signed rank tests were also used to compare the monthly population size  
140 and invertebrate density between the Cijiawan and the Yousheng; this was done using  
141 the total number of birds recorded on population surveys and the mean invertebrate  
142 density per sampling site, respectively, for each month in which the two sets of data  
143 (both non-normal) were recorded. Following Chiu *et al.* (2008), simple linear  
144 regression was used to investigate the relationships between log-transformed  
145 maximum flow, invertebrate density and dipper population size on each stream.  
146 Maximum flow was measured in the two month period prior to invertebrate sampling.  
147 Dipper counts were taken from population surveys in the same month as invertebrate

148 sampling. All statistical tests were performed using SPSS version 19 (IBM Corp).

149

## 150 **RESULTS**

151 168 dippers were colour-ringed from 2011 to 2014 (120 on the Cijiawan, 48 on the

152 Yousheng) and 75 were colour-ringed on the Cijiawan in 2003 and 2004. Across three

153 main flood events caused by typhoons, 19 individuals were recorded making

154 unusually long movements (i.e. greater than the mean territory length of 1045 m,

155 Chen and Wang 2010) but remaining within the study area (Table 1, Fig. 1). 16

156 individuals moved from the lower Cijiawan to the lower Yousheng, and 3 birds moved

157 from the lower to the upper Yousheng (Fig. 1). These movements represent 25.0%,

158 15.0% and 38.9% of the Cijiawan breeding population moving to Yousheng after

159 flooding in 2004, 2012 and 2013, respectively (Table 1). All 19 individuals returned to

160 their original territories within two months of the flood. The resighting rate of all

161 ringed adults ranged from 86.7 to 88.2% three months after floods in 2004, 2012 and

162 2013. In 2014 when the flood was relatively small, only one bird (5.5% of the

163 Cijiawan breeding population) was recorded making a long movement and the

164 resighting rate was 92.6% (Table 1).

165

166 The mean ( $\pm$  SD) distance moved by individuals after floods ( $3766 \pm 851$  m) was

167 significantly longer than that moved before ( $198 \pm 136$  m;  $n = 19$ ,  $Z = -3.823$ ,  $P <$   
168  $0.001$ ) and also longer than movements in non-typhoon years ( $440 \pm 890$  m;  $n = 15$ ,  $U$   
169  $= 9.000$ ,  $P < 0.001$ ). If excluding the single individual which made a long-distance  
170 movement in 2014, the mean distance moved in non-typhoon years was only  $214 \pm$   
171  $174$  ( $n = 14$ ). Furthermore, the dipper population size on the Cijiawan decreased  
172 during each flood event (only 8.3, 34.3, and 14.8% of the population in the previous  
173 month remained during the floods in 2004, 2012, and 2013, respectively), while that  
174 on the Yousheng remained stable or increased dramatically (100 and 213.3% of the  
175 previous month's population in 2012 and 2013; Fig. 2). By contrast, the dipper  
176 population on the Cijiawan was relatively stable in summer 2011 when no typhoon  
177 occurred and 92.6% remained during a small flood in 2014 (Fig. 2). Outside of  
178 flooding events, the population on the Cijiawan ( $31.5 \pm 8.1$ ) was always significantly  
179 greater than that on the Yousheng ( $20.3 \pm 6.2$ ;  $n = 27$ ,  $Z = -4.824$ ,  $P = 0.003$ ).

180

181 The invertebrate density in the Cijiawan ( $395 \pm 301$  m<sup>-2</sup>) was significantly higher than  
182 that in the Yousheng ( $246 \pm 167$  m<sup>-2</sup>;  $n = 13$ ,  $Z = -2.411$ ,  $P = 0.016$ ). There were  
183 significant negative correlations between discharge and invertebrate density in both  
184 streams (Cijiawan:  $n = 13$ ,  $r^2 = 0.76$ ,  $P < 0.001$ ; Yousheng:  $n = 13$ ,  $r^2 = 0.70$ ,  $P <$   
185  $0.001$ ; Figs 3a-3b). However, the relationship between invertebrate density and

186 population size differed between the two streams; there was a significant positive  
187 correlation in the Cijiawan ( $n = 13$ ,  $r^2 = 0.47$ ,  $P = 0.009$ ) but no significant correlation  
188 in the Yousheng ( $n = 13$ ,  $r^2 = 0.06$ ,  $P = 0.497$ ; Figs 3c-3d).

189

## 190 **DISCUSSION**

191

192 Brown Dippers moved significantly greater distances following flood events caused  
193 by typhoons than in the period prior to flooding and also in the equivalent period of  
194 non-typhoon years. Most movements were from the relatively large population on the  
195 Cijiawan, the main stream, to a significantly smaller population on its tributary, the  
196 Yousheng; the remainder were movements upstream within the Yousheng, all in 2012  
197 when flooding was severe in both streams. All movements were temporary, with  
198 individuals returning to their original territories within two months of the flood. Other  
199 individuals disappeared from the Cijiawan during flood events, especially those living  
200 in the upstream section, and may have moved further upstream beyond the study area  
201 (Fig. S1) where the discharge is presumably smaller. However, in summer 2011 and  
202 2014, the population on the Cijiawan was relatively stable and showed high site  
203 fidelity (only one individual made a long-distance movement), supporting the idea  
204 that unusually long movements were triggered by floods rather than seasonal

205 movements. This is one of very few studies providing clear evidence of individual  
206 birds moving atypical distances to avoid the effects of typhoons. Others have  
207 described escape behaviour or the use of refugia during or after cyclones (White Jr *et*  
208 *al.*, 2005, Streby *et al.*, 2014) and similar behaviour has been described in freshwater  
209 fish (Koizumi *et al.*, 2013).

210

211 Invertebrate density was negatively correlated with the severity of flooding,  
212 supporting previous findings from the same catchment (Chiu *et al.*, 2008). However,  
213 there was no significant relationship between invertebrate density and the population  
214 size of dippers on the Yousheng, where invertebrate density was significantly lower  
215 than on the Cijiawan. This suggests that the movements of birds from the main stream  
216 to its tributary were not driven by flood-induced decreases in food availability. Instead,  
217 it may be that foraging behaviour is adversely affected by high water levels and this  
218 has been suggested in studies of the closely related White-throated Dipper *Cinclus*  
219 *cinclus*: the shallow riffles favoured for feeding become unavailable (Da Prato, 1981,  
220 O'Halloran *et al.*, 1990). Furthermore, because the drainage area of the Cijiawan is  
221 more than double that of the Yousheng, the former becomes turbid more quickly after  
222 heavy rainfall (Fig. S2) and this may be the cue causing dippers to adopt flood  
223 avoidance behaviour.

224

225 Surprisingly, resighting rates after floods were relatively high. The dipper population  
226 on the Cijiawan, for example, almost recovered in one or two months after flooding. It  
227 may be that the escape movements reported here increase the survival probabilities of  
228 dippers during these extreme discharges (Fig. S3). However, floods also decreased  
229 invertebrate density in the following breeding season, especially typhoons occurring  
230 late in the year (Hong *et al.*, 2016). A previous study showed that the breeding  
231 population size would decrease on the Cijiawan if invertebrate density was low, and  
232 some adults abandon reproduction and disappear (Hong *et al.*, 2016). This  
233 phenomenon suggests that the reduction in annual survival rates caused by flooding as  
234 reported in Chiu *et al.* (2013) does not happen immediately, but instead results from  
235 longer-term impacts mediated through food abundance.

236

237 The use of the Yousheng as a refuge during typhoons has important implications  
238 for the management of riverine ecosystems. While the lower population size and  
239 invertebrate density of this unprotected stream indicate that habitat quality is  
240 relatively poor for dippers and their prey, this part of the catchment may be crucial for  
241 its wildlife during flood events. These results support previous suggestions that  
242 catchment connectivity is vital for population persistence in freshwater species and

243 that protection and management should operate at the catchment level (Davidson *et*

244 *al.*, 2012, Koizumi *et al.*, 2013).

245

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249

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321 (*Amazona ventralis*) released in the Dominican Republic. *Ornitol. Neotrop.*,  
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323

324

325 Table 1. The number of breeding pairs of Brown Dippers in two streams and the  
326 number of individuals which made long-distance but temporary movements after  
327 floods. Each individual has a serial number, shown in Fig. 1. Post-flood resighting  
328 rate indicates the proportion of ringed adults in the breeding population which were  
329 present in the study area three months after each flood.

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	Breeding pairs		From Cijiawan to	Movement within	Resighting rate (%)
	Cijiawan	Yousheng	Yousheng	Yousheng	after flooding
2004	12	-	6	0	88.2 (15/17)
2012	10	5	3	3	86.7 (13/15)
2013	9	6	7	0	88.0 (22/25)
2014	9	6	1	0	92.6 (25/27)

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330

331 **Figure legends**

332 Figure 1. The movements of Brown Dippers after floods in (a) 2004, (b) 2012, and (c)  
333 2013. Black circles show each individual's original territory; white circles show their  
334 temporary locations after floods. (d) The movements of Brown Dippers in summer  
335 2014. Black circles show each individual's location in July; white circles show their  
336 locations in September 2014.

337

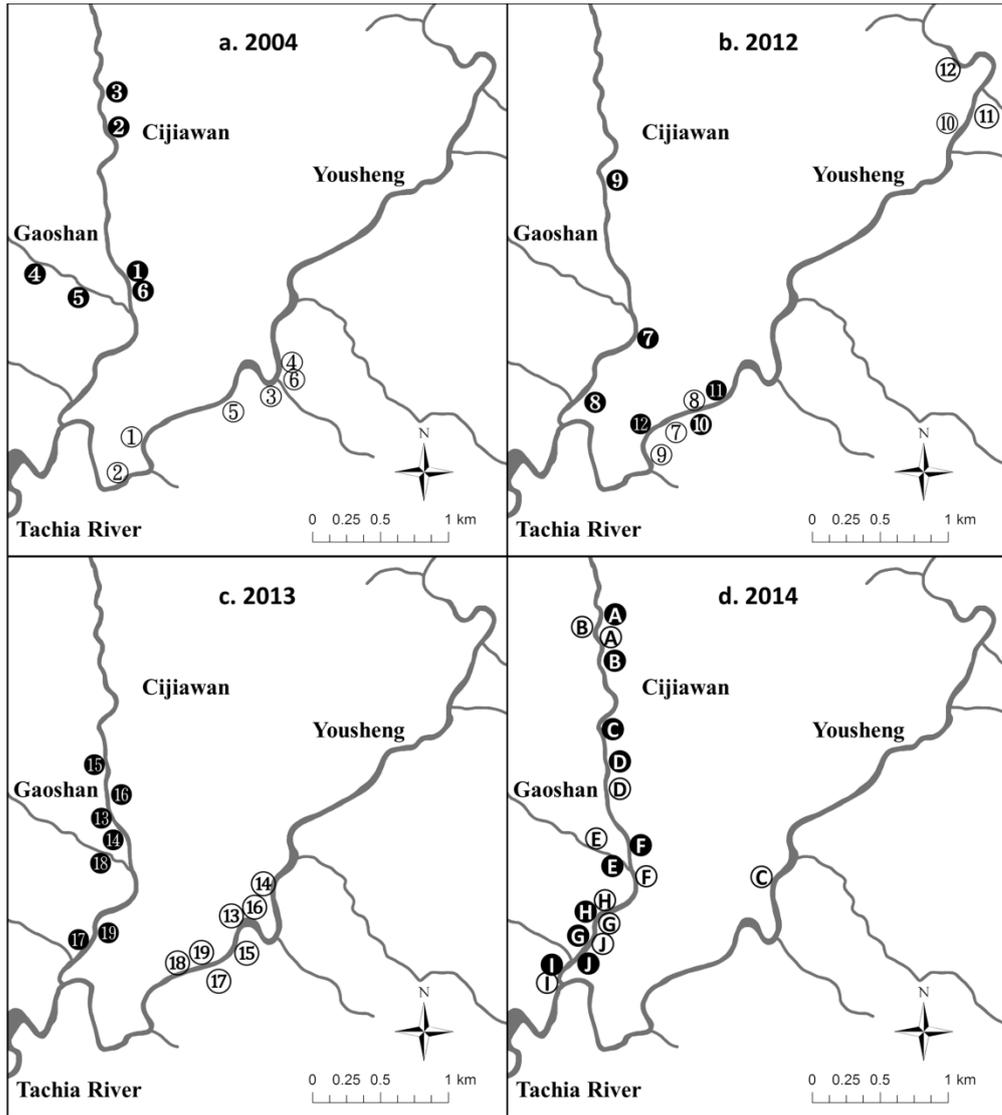
338 Figure 2. The monthly maximum discharge and population dynamics of Brown  
339 Dippers in the Cijiawan and Yousheng from June 2011 to December 2014 and several  
340 months in 2004.

341

342 Figure 3. The relationship between discharge and invertebrate density in the (a)  
343 Cijiawan and (b) the Yousheng from 2012 to 2014 ( $n = 13$ ), and the relationship  
344 between invertebrate density and the number of Brown Dippers in (c) the Cijiawan  
345 and (d) the Yousheng from 2012 to 2014 ( $n=13$ ).

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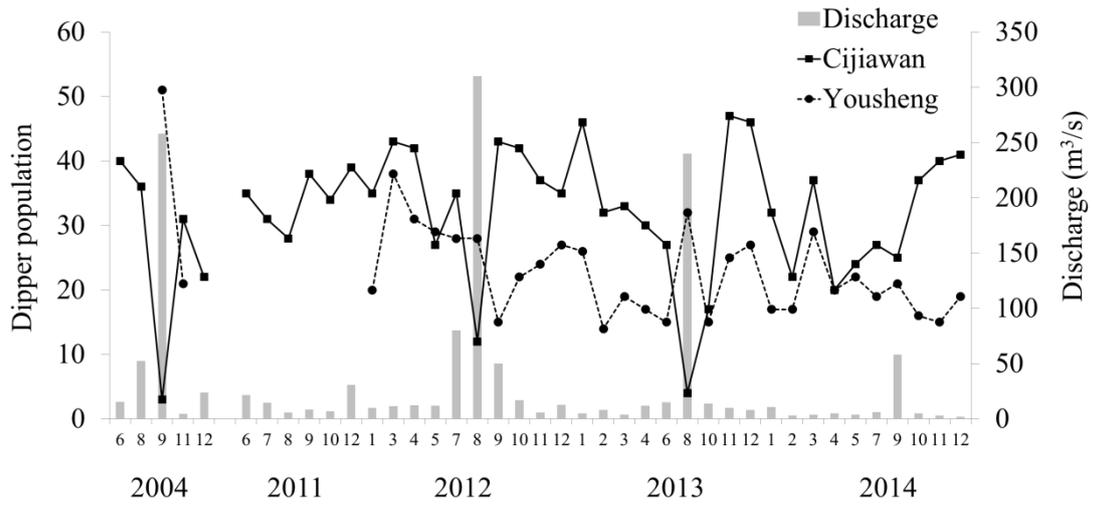


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350 Figure 1.

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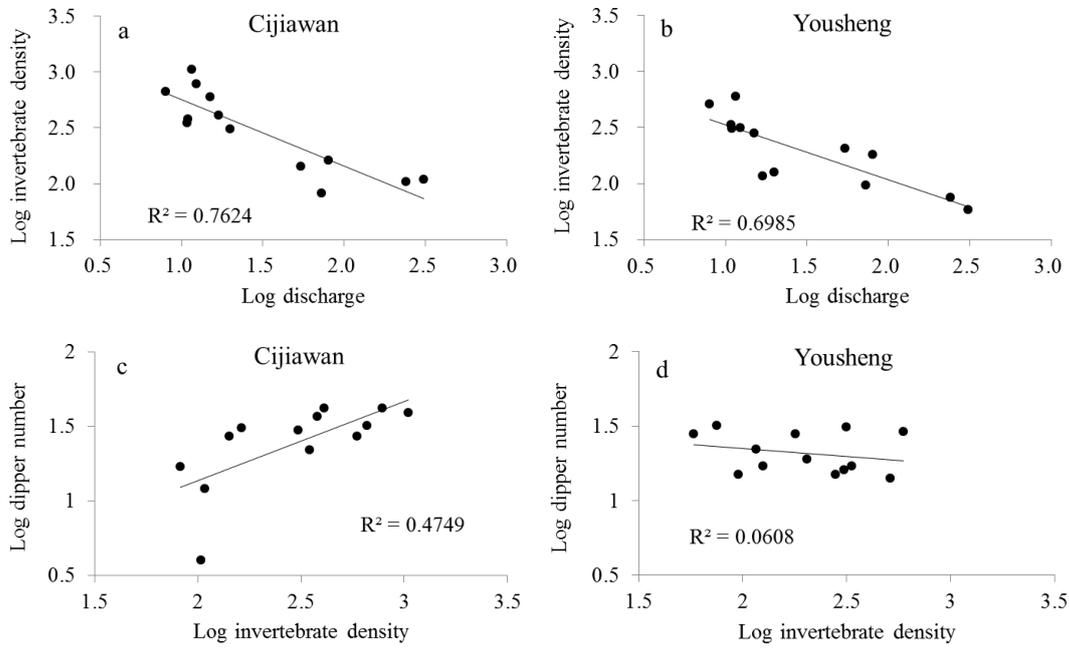
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353 Figure 2.

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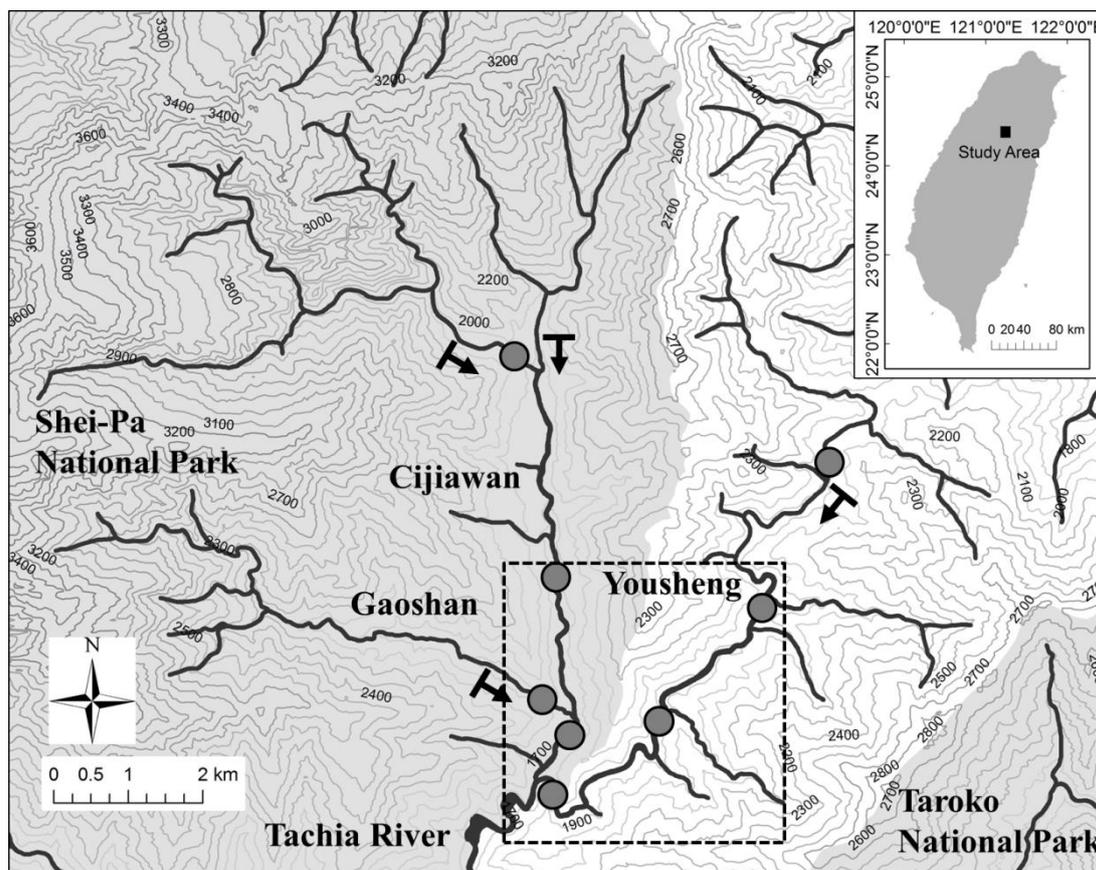
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358

359 Figure 3.

360

361 **Supplementary material**



362

363 Figure S1

364 Map of the study area in central Taiwan. The drainage areas of the Cijiawan

365 (including Gaoshan) and Yousheng are 77 and 31 km<sup>2</sup>, respectively. Arrows indicate

366 the range of Brown Dipper population surveys which started from the confluence of

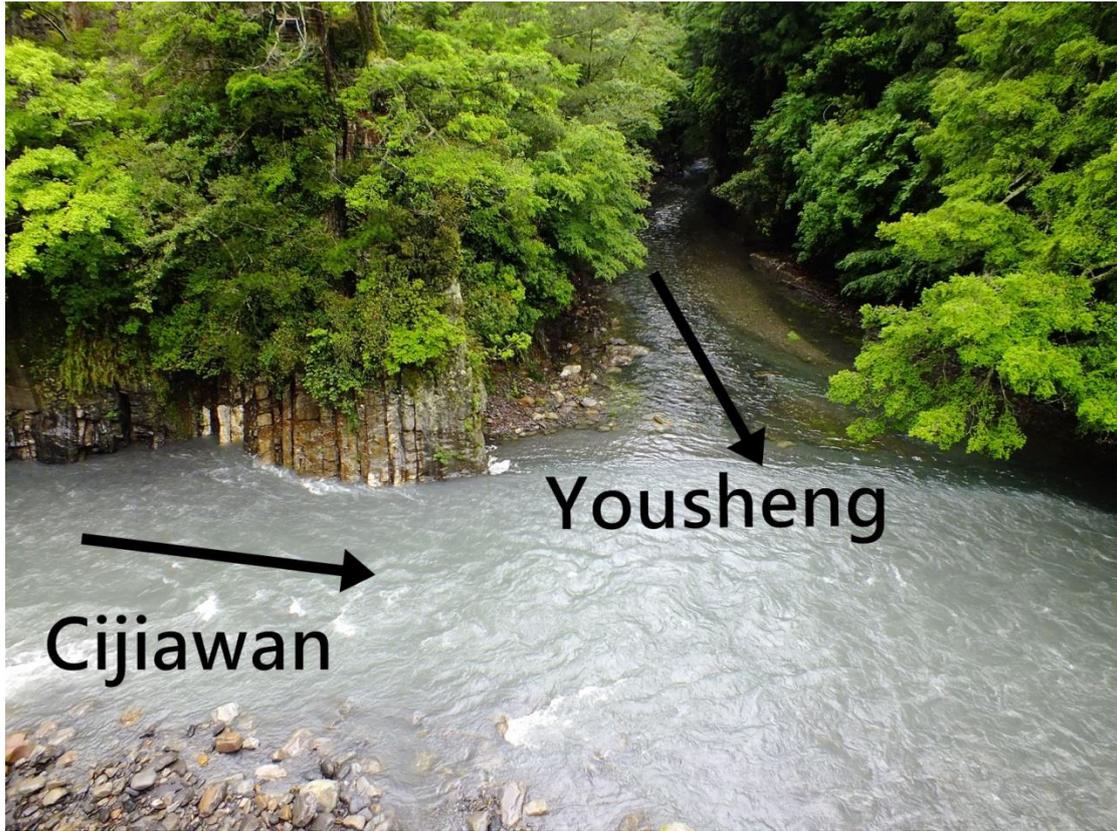
367 the Cijiawan and Yousheng. In addition to the streams we surveyed (Cijiawan,

368 Yousheng, and Gaoshan), other tributaries were too small to support dippers in normal

369 conditions. Circles are the eight invertebrate sampling sites. The contour lines give

370 altitude in m. The shaded area shows the range of the Shei-Pa National Park.

371



372

373 Figure S2

374 Following heavy precipitation, the Cijiawan became turbid faster than the Yousheng.

375 Arrows indicate flow direction. (Photo by Shiao-Yu Hong)

376



377

378 Figure S3

379 The flooding which was caused by a typhoon in the middle section of the Cijiawan in

380 August 2012. Typhoon floods are usually triggered by 1-3 days of intensive rainfall

381 and then subside after two weeks. (Photo by Cheng-Hsiung Yang)

382