1	Title
2	Genome-wide association study of a nicotine metabolism biomarker in African American
3	smokers: impact of chromosome 19 genetic influences
4	
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## 44 **Running Head**

45 Nicotine metabolism GWAS in African Americans

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## 48 Declaration of Interests

- 49 R. F. Tyndale has consulted in the past for Apotex on unrelated topics. N. L. Benowitz has
- 50 consulted with pharmaceutical companies that market smoking cessation medications and has
- 51 been a paid expert witness in litigation against tobacco companies. P. M. Cinciripini served on
- 52 the scientific advisory board of Pfizer, conducted educational talks sponsored by Pfizer on
- smoking cessation (2006-2008), and has received grant support from Pfizer. R. A. Schnoll has
- 54 provided consultation to Pfizer and GlaxoSmithKline. Pfizer Inc. provided varenicline and
- placebo pills at no cost for the PNAT2 clinical trial. The funders had no role in study design, data
- 56 collection and analysis, decision to publish, or preparation of the manuscript.

### 57 Abstract (283/300 words)

Background and aims: The activity of CYP2A6, the major nicotine-inactivating enzyme, is 58 59 measurable in smokers using the nicotine metabolite ratio (NMR; 3'hydroxycotinine/cotinine). 60 Due to its role in nicotine clearance, the NMR is associated with smoking behaviours and 61 response to pharmacotherapies. The NMR is highly heritable ( $\sim 80\%$ ), and on average lower in 62 African Americans (AA) versus Whites. We previously identified several reduce and loss-of-63 function CYP2A6 variants common in individuals of African descent. Our current aim was to 64 identify novel genetic influences on the NMR in AA smokers using genome-wide approaches. 65 Design: Genome-wide association study (GWAS). Setting: Multiple sites within Canada and the 66 United States. **Participants:** AA smokers from two clinical trials: Pharmacogenetics of Nicotine 67 Addiction Treatment (PNAT)-2 (NCT01314001; n=504) and Kick-it-at-Swope (KIS)-3 68 (NCT00666978; n=450). Measurements: Genome-wide SNP genotyping, the NMR 69 (phenotype), and population substructure and NMR covariates. Findings: Meta-analysis 70 revealed three independent chromosome 19 signals (rs12459249, rs111645190, and 71 rs185430475) associated with the NMR. The top overall hit, rs12459249 (P=1.47e-39; beta=0.59 72 per C (versus T) allele, SE=0.045), located ~9.5kb 3' of CYP2A6, remained genome-wide 73 significant after controlling for the common (~10% in AA) non-functional CYP2A6\*17 allele. In 74 contrast, rs111645190 and rs185430475 were not genome-wide significant when controlling for 75 CYP2A6\*17. In total, 96 signals associated with the NMR were identified; many were not found 76 in prior NMR GWASs in European descent individuals. The top hits were also associated with 77 the NMR in a third cohort of AA (KIS2; n=480). None of the hits were in UGT or OCT2 genes. 78 **Conclusions:** Three independent chromosome 19 signals account for ~20% of the variability in 79 the nicotine metabolite ratio in African-American smokers. The hits identified may contribute to

- 80 inter-ethnic variability in nicotine metabolism, smoking behaviours, and tobacco-related disease
- 81 risk.
- 82

## 83 Keywords

- 84 CYP2A6; genome-wide association study; nicotine metabolism biomarker; cigarette smoking;
- 85 African Americans; treatment-seeking smokers

- 87
- 88

## 89 Introduction

90 The prevalence of cigarette smoking remains high despite widespread tobacco control efforts; recent estimates suggest 15% of Americans are current smokers (1). A growing segment 91 92 of American smokers are light smokers (smoke  $\leq 10$  cigarettes/day) who, like heavy smokers, 93 experience elevated risks of disease and mortality compared to never smokers (2). Smoking 94 behaviour and smoking-related morbidity differ by ethnicity. For instance, although African 95 American (AA) smokers on average smoke fewer cigarettes/day than European American (EA) smokers, the level of total nicotine equivalents, a biomarker of total nicotine intake, is similar in 96 97 AA and EA smokers, suggesting more intensive smoking (e.g., greater puff volume) among AAs 98 (3). At an equivalent number of cigarettes per day, the risk for lung cancer is higher in AA 99 compared to EA smokers, perhaps due in part to more intensive smoking and, therefore, greater 100 exposure to tobacco-specific nitrosamines and other harmful chemicals (4). Of note, AA (vs. EA) 101 smokers are also more likely to make quit attempts and are less likely to achieve cessation (5). 102 Understanding the factors that contribute to this increased risk for lung cancer and reduced 103 likelihood of cessation among AA smokers will help guide treatment interventions for this 104 population.

Nicotine is the predominant psychoactive compound in cigarettes (6). Nicotine
undergoes metabolic inactivation by the hepatic CYP2A6 enzyme to cotinine, which is further
metabolized to 3'hydroxycotinine exclusively by CYP2A6 (7, 8). The *CYP2A6* gene, located on
chromosome 19q13.2, contains several functional polymorphisms, leading to inter-individual
variation in the rate of nicotine clearance (9). The nicotine metabolite ratio (NMR;
3'hydroxycotinine/cotinine) is an established and validated phenotypic marker of CYP2A6
activity in smokers; it is associated with *CYP2A6* genotype and correlates with nicotine clearance

(10-15). The NMR is 80% heritable (estimated in Finnish European twins) (16); in addition to
genetic influences, the NMR captures relatively minor environmental (e.g., mentholated cigarette
use and BMI) (17, 18) sources of variation in CYP2A6 activity.

115 The NMR has been evaluated as a clinical marker for personalizing smoking cessation 116 treatment. Compared to higher NMR, lower NMR (i.e., slower nicotine metabolism) is 117 associated with higher cessation rates with behavioural counseling (19) and among nicotine 118 patch treated smokers (20-22). In a placebo-controlled bupropion trial, bupropion increased quit 119 rates over placebo in those with higher but not lower NMR (19). In smokers prospectively 120 randomized to treatment based on the NMR (PNAT2 Trial; NCT01314001), those with higher, 121 but not lower, NMR had higher quit rates on varenicline (versus nicotine patch) (23). Number-122 needed-to-treat analyses in smokers with higher NMR indicated 5 and 26 smokers would need to 123 be treated with varenicline (versus placebo) and nicotine patch (versus placebo), respectively, for 124 one smoker to quit, again indicating the superiority of varenicline for those with higher NMR 125 (23); in those with lower NMR these values were 8 and 10, respectively. In addition, those with 126 lower (versus higher) NMR experienced greater negative side effects on varenicline (versus 127 placebo) (23). Thus, the evidence indicates that smokers with higher NMR show greater benefit 128 from varenicline or bupropion compared to behavioural counseling or nicotine patch, while 129 smokers with lower NMR are treated more effectively and safely with nicotine patch and/or 130 behavioural counseling.

Variability in *CYP2A6* genetics and/or the NMR also influences the level of tobacco
consumption (from cigarettes and smokeless tobacco), dependence, and risk for tobacco-related
disease; smokers with slower metabolism (i.e., slow *CYP2A6* metabolism groups or lower NMR
values) generally show lower consumption, dependence, and disease risk (17, 24-26).

135	The NMR varies by ethnicity, with AA smokers having on average lower NMR (and
136	nicotine clearance) versus smokers of EA descent (17, 27), due in part to the higher frequency of
137	known CYP2A6 reduced or loss-of-function variants in AAs (28); many of these variants,
138	including *23, *24, *25, *28, *35, and *39-*45 (29-32), were identified and functionally
139	characterized by our group using in vitro (e.g., CYP2A6 cDNA expression system), ex vivo (e.g.,
140	human liver bank), and in vivo (e.g., human smokers) nicotine metabolism rate assessments.
141	These variants are common (>1% frequency) in AA, but exceedingly rare in EA populations.
142	CYP2A6*17, with an allele frequency of 10% in AA (33), explains ~8% of the variability in the
143	NMR (unpublished observations in the KIS3 trial (34)). Although >40 CYP2A6 variants have
144	been identified and functionally characterized, estimates in Finnish Europeans indicate only
145	~30% of NMR variation is currently explained by detected CYP2A6 variants (16). To date, three
146	NMR GWASs have been performed, predominantly or exclusively in Whites who were non-
147	treatment-seeking smokers (only 413 AA total among >4000 total participants from three
148	studies) (16, 35, 36); no GWAS has examined the NMR in smokers seeking treatment, for whom
149	personalized medicine approaches based on CYP2A6/the NMR would be targeted.
150	Here we performed a GWAS of the NMR, assessed at baseline when participants were
151	smoking ad libitum (i.e., when NMR is stable (13)), in AA smokers from two smoking cessation
152	clinical trials and genotyped top hits in a third trial to confirm associations with the NMR. The
153	first involved heavy smokers (≥10 cigarettes/day) screened for the PNAT2 trial (NCT01314001),
154	where smokers were randomized to placebo, nicotine patch, or varenicline (23). The second
155	involved light-smokers (≤10 cigarettes/day) that participated in a placebo-controlled bupropion
156	trial for smoking cessation (KIS3 trial; NCT00666978) (34, 37). To further investigate
157	associations between selected GWAS hits and the NMR, we utilized a third sample of AA light-

- smokers from a placebo-controlled nicotine gum trial (KIS2 trial) (38). Our goals were to better
- understand the genetic underpinnings of the NMR in AA smokers, and to compare genetic
- signals with those previously found in European populations to identify potential common and
- 161 unique genetic influences on the NMR in AA smokers.
- 162

164 The original trial protocols were approved by institutional review boards at all participating sites

and at the University of Toronto. Individuals providing written informed consent for DNA

sample collection and release of de-identified information to investigators underwent

167 genotyping.

169	PNAT2 Clinical Trial (NCT01314001) (23). Participant characteristics and trial procedures are
170	described in detail elsewhere (17, 23). Briefly, eligible adult (aged 18-65 years) smokers (≥10
171	cigarettes/day) from four clinical sites (University of Pennsylvania, University of Toronto/Centre
172	for Addiction and Mental Health, MD Anderson, and the State University of New York at
173	Buffalo) were randomized prospectively based on their pre-treatment NMR to receive placebo,
174	nicotine patch, or varenicline treatment for smoking cessation.
175	
176	KIS3 Clinical Trial (NCT00666978) (34). Participant characteristics and clinical trial
177	procedures are described in detail elsewhere (34, 37). Briefly, eligible adult (aged ≥18 years)
178	light-smokers (≤10 cigarettes/day) from Kansas City, Missouri, were randomized to bupropion
179	plus health education or placebo plus health education for smoking cessation.
180	
181	KIS2 Clinical Trial (38). Participant characteristics and clinical trial procedures are described in
182	detail elsewhere (38). Briefly, eligible adult (aged $\geq 18$ years) light-smokers ( $\leq 10$ cigarettes/day)
183	from Kansas City, Missouri, were randomized to nicotine gum or placebo and health education
184	or motivational interviewing for smoking cessation.
185	

186 Genome-Wide SNP Genotyping. Genome-wide SNP genotyping (PNAT2 and KIS3) was 187 conducted using the Illumina HumanOmniExpressExome-8 v1.2 array (Illumina, San Diego, 188 CA, USA) at the Centre for Applied Genomics at the Hospital for Sick Children (Toronto, ON, 189 Canada). A custom iSelect<sup>®</sup> add-on comprising 2,688 variants (**Table S1**) was included based 190 on previous associations with nicotine metabolism and/or smoking behaviours including 191 cessation; these variants cover the CYP2ABFGST cluster (chromosome 19), the CHRNA5-A3-B4 192 nicotinic receptor cluster (chromosome 15), OCT2 (chromosome 6), and the UGT2B cluster 193 (chromosome 4). 194 195 **TaqMan SNP Genotyping.** Candidate chromosome 19 polymorphisms (rs12459249, 196 rs111645190, rs2644890, and rs111825958) were genotyped in KIS2 using an ABI ViiA<sup>TM</sup> 7 197 Real-Time PCR System and TaqMan<sup>®</sup> SNP genotyping assays (Thermo Fisher Scientific, 198 Waltham, Massachusetts, USA) according to the manufacturer's protocol. The resulting 199 genotype frequencies for all four SNPs were in Hardy-Weinberg Equilibrium (each P>0.05). 200 201 Quality Control (QC) Procedures for Genome-Wide SNP Genotyping and Imputation. QC 202 procedures for sample and variant were carried out, and genotypes were imputed, prior to 203 analysis as outlined in Figures S1 and S2. After identifying and removing samples with 204 discordant sex information and excessive missingness of genetic data, the PNAT2 and KIS3 205 samples were combined to assess relatedness and ancestry to ensure a) an appropriate level of 206 independence of individuals, and b) use of an equivalent threshold for determining ancestry.

207 Individuals of AA ancestry, determined using principal components analysis in combination with

data from HapMap 3 (Figure S2), were selected for further analyses. In PNAT2 and KIS3,

209 98.5% and 96.6% of African descent smokers, respectively, had genetic ancestries concordant

210 with self-reported ancestry. Following QC, the final number of individuals and markers available

211 was: n=506 PNAT2 AAs (251 males, 255 females), 733,629 variants; and n=458 KIS3 AAs (154

212 males, 304 females), 742,493 variants (Figure S1). QC procedures were performed using PLINK

- 213 (version 1.07) (39) and R software.
- The PNAT2 AA and KIS3 AA genotypes were then phased using SHAPEIT (40) and

215 imputed using IMPUTE2 (41). The genomic data were divided into individual chromosomes

216 (chromosomes 1-22) and aligned against the reference panel (Phase I release of 1000 Genomes).

Following the elimination of duplicated SNPs, a second alignment step was performed, followed

by pre-phasing and imputation, according to previously established protocols (41-44). Variants

with INFO (i.e., quality) scores > 0.4 (threshold of 0.3 or higher is recommended (45)) and a

220 minor allele frequency > 1% were selected for further analyses. Overall, 17,970,591 and

221 17,919,969 variants in PNAT2 and KIS3, respectively, were available for analysis.

222

## 223 Assessment of Imputation Quality for CYP2A6 Relative to Other Chromosome 19 Genes.

224 *CYP2A6* shares high homology with *CYP2A7* and *CYP2A13*, which can confound the accuracy

of *CYP2A6* calls (46). IMPUTE2 info (i.e., imputation quality) scores for *CYP2A6* were

compared to those of *EIF3K* and *TGF\beta1*, located outside of this region of high homology

227 (~2,222kb 5' and ~480kb 3' of *CYP2A6*, respectively).

Phenotype: Nicotine Metabolite Ratio. The levels of cotinine and 3'hydroxycotinine were
determined from blood samples collected at intake when participants were smoking *ad libitum*using identical liquid chromatography-tandem mass spectrometry according to previously

<sup>228</sup> 

232	established protocols (10, 11, 47). The NMR (3'hydroxycotinine/cotinine) was square-root-
233	transformed to correct for positive skew (Figure S3). Individuals with cotinine values below 10
234	ng/ml, suggestive of non-daily smoking (48), were excluded from analyses.
235	
236	Covariates. Analyses in PNAT2 and KIS3 included principal components 1 and 2 as covariates
237	to control for possible effects of population stratification (49). To identify additional covariates,
238	we performed separate linear regression analyses to identify whether factors previously
239	significantly associated with the NMR in the whole PNAT2 sample (sex, age, estrogen-
240	containing therapy use, BMI, alcohol use (17)) were associated with square-root NMR (with
241	P<0.10) in PNAT2 AA and KIS3 AA. In PNAT2, the following were included as covariates: sex
242	(P=0.038), age (P=0.006), BMI (P=0.038), and use of menthol cigarettes (P=0.063). In KIS3,
243	the following were included as covariates: sex (P=0.056), age (P<0.001), and BMI (P<0.001),
244	but not mentholated cigarette use (P=0.60).
245	
246	Statistical Analyses
247	GWAS of the NMR. SNPTEST (version 2.5.2) was used to identify genetic associations with

the NMR separately in PNAT2 and KIS3; chromosomes 1-22 were analyzed separately.

249 Frequentist additive models were specified, and genotype uncertainty was controlled for by using

the "-method expected" option (uses expected genotype counts or genotype dosages). We also

251 performed a separate set of analyses specifying frequentist dominant models, and the "-method

score" option, and acquired similar results. Variants with P<5e-8 were considered to be

significant at the genome-wide level (50).

254	A meta-analysis of chromosome 19 results, adjusting for population sub-structure and
255	NMR covariates, was then performed using META (version 1.7) (51). The genomic control
256	inflation factor ( $\lambda$ ) (calculated using PLINK) for the full GWAS analysis (chromosomes 1-22)
257	was 1 and the QQ plots showed no deviation from the null (Figure S4). Because the same
258	phenotype (square-root NMR; Figure S3) measured on the same scale was specified in both
259	cohorts, the inverse-variance method based on a fixed-effects model was implemented (16).
260	Variants with INFO scores $\geq 0.50$ were included in the meta-analysis; a total of 367,834 markers
261	were in the union list.
262	
263	Conditional Analysis of Chromosome 19 NMR Results in PNAT2 and KIS3. To identify
200	Conditional maryship of one offonio some 19 1 (1914 Results in 11 (1912 and 1915) 10 factury
264	putatively independent chromosome 19 signals associated with the NMR, conditional analyses
264 265	putatively independent chromosome 19 signals associated with the NMR, conditional analyses were performed (16); the variant with the smallest P-value in the meta-analysis (i.e., rs12459249)
264 265 266	putatively independent chromosome 19 signals associated with the NMR, conditional analyses were performed (16); the variant with the smallest P-value in the meta-analysis (i.e., rs12459249) was considered the first independent signal, and then 'conditioned on' (i.e., entered as a
264 265 266 267	putatively independent chromosome 19 signals associated with the NMR, conditional analyses were performed (16); the variant with the smallest P-value in the meta-analysis (i.e., rs12459249) was considered the first independent signal, and then 'conditioned on' (i.e., entered as a covariate) in subsequent frequentist additive models performed separately in PNAT2 and KIS3.
264 265 266 267 268	putatively independent chromosome 19 signals associated with the NMR, conditional analyses were performed (16); the variant with the smallest P-value in the meta-analysis (i.e., rs12459249) was considered the first independent signal, and then 'conditioned on' (i.e., entered as a covariate) in subsequent frequentist additive models performed separately in PNAT2 and KIS3. These results were meta-analyzed, with the variant with the smallest P-value (i.e., the second
264 265 266 267 268 269	putatively independent chromosome 19 signals associated with the NMR, conditional analyses were performed (16); the variant with the smallest P-value in the meta-analysis (i.e., rs12459249) was considered the first independent signal, and then 'conditioned on' (i.e., entered as a covariate) in subsequent frequentist additive models performed separately in PNAT2 and KIS3. These results were meta-analyzed, with the variant with the smallest P-value (i.e., the second independent signal) entered as a covariate along with the first independent signal in the second
264 265 266 267 268 269 270	putatively independent chromosome 19 signals associated with the NMR, conditional analyses were performed (16); the variant with the smallest P-value in the meta-analysis (i.e., rs12459249) was considered the first independent signal, and then 'conditioned on' (i.e., entered as a covariate) in subsequent frequentist additive models performed separately in PNAT2 and KIS3. These results were meta-analyzed, with the variant with the smallest P-value (i.e., the second independent signal) entered as a covariate along with the first independent signal in the second round of conditional analyses. The procedure was repeated until no additional significant (i.e.,
264 265 266 267 268 269 270 271	putatively independent chromosome 19 signals associated with the NMR, conditional analyses were performed (16); the variant with the smallest P-value in the meta-analysis (i.e., rs12459249) was considered the first independent signal, and then 'conditioned on' (i.e., entered as a covariate) in subsequent frequentist additive models performed separately in PNAT2 and KIS3. These results were meta-analyzed, with the variant with the smallest P-value (i.e., the second independent signal) entered as a covariate along with the first independent signal in the second round of conditional analyses. The procedure was repeated until no additional significant (i.e., P<5e-8) signals emerged.

## 273 Proportion of Variation in the NMR Accounted for by rs12459249, rs111645190,

rs2644890, and rs11879604. Separate linear regression models were used to determine the
proportion of NMR variability attributable to selected variants (three-genotype coding), using
SPSS version 23 (IBM, Armonk, New York, USA). The outcome measure was square-root

277 NMR. Models in PNAT2 controlled for sex, age, BMI, and the use of mentholated cigarettes,

while models in KIS3 and KIS2 controlled for sex, age, and BMI. The proportion of NMR

variability accounted for by each variant was calculated by squaring the variant's part correlation

coefficient and multiplying by 100.

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## **282** Final Sample Sizes

and outlying (>4 SD from the mean) square-root NMR values (**Figure S1**). After additionally

Two of the n=506 PNAT2 AA participants were excluded from further analyses due to missing

excluding individuals with missing menthol covariate data (n=98), n=406 PNAT2 participants

were available for GWAS analysis. Eight KIS3 AA participants were excluded due to having

cotinine levels <10 ng/ml (**Figure S1**) which suggests non-daily smoking, and one participant

288 was missing BMI data. Thus, n=449 KIS3 participants were available for GWAS analysis. Of the

n=495 KIS2 individuals with pre-treatment NMR that provided a blood sample and consented to

290 genetic testing, n=15 were excluded from further analyses due to insufficient quantity of blood

remaining (n=7), cotinine level <10 ng/ml (n=6), and outlying (>4 SD from the mean) square-

root NMR values (n=2). Thus, the final KIS2 AA sample comprised n=480 individuals.

293

295	Resul	lts

296 Characteristics of the final analyzed sample are provided in **Table 1**. These values are 297 similar to those reported in the full trial samples (17, 34, 38). In PNAT2 and KIS3, the median 298 info score (out of 1, with higher scores indicating higher imputation quality) for CYP2A6 was 299 0.9, compared to 0.6 and 0.9 for EIF3K and TGF $\beta$ 1, respectively, suggesting adequate 300 imputation quality for CYP2A6. In each of PNAT2 and KIS3, 98% of the variants significantly 301 associated with the NMR at the genome-wide level (P<5e-8) were located on chromosome 19, 302 within or near to (several kilobases) the CYP2A6 gene. Of note, no genetic variants in UGT 303 enzymes (involved in the glucuronidation of nicotine, cotinine, and 3'hydroxycotinine (52)) or 304 the OCT2 transporter (involved in nicotine transport (52)) reached genome-wide significance in 305 either PNAT2 or KIS3.

## 307 Meta-analysis of Chromosome 19 NMR Results in PNAT2 and KIS3 AA Smokers

308 Ninety-six genome-wide significant chromosome 19 variants were identified after 309 adjusting for cohort-specific principal components 1 and 2 and NMR covariates (top 10 variants 310 in **Table 2**, full list in **Table S2**; all top variants had info (quality) scores >0.9). Of note, the top 311 10 variants did not differ (similar betas and P-values) when four principal components were 312 adjusted for. The top (smallest P-value) overall variant in the meta-analysis was rs12459249 313 (I<sup>2</sup>=0; Heterogeneity P=0.80), with a combined P-value of 1.47e-39 (**Table 2**); this was the top 314 variant in PNAT2 (Figure 1A), and the second-most significant variant in KIS3 (Figure 1B). 315 Overall, 58 (60.4%) of the 96 significant hits were not genome-wide significant in the GWAS of 316 the NMR performed in ~1,500 Finnish European smokers (16); the most significant of these 58 AA hits in the meta-analysis was rs111825958 ( $I^2=0.66$ ; Heterogeneity P=0.32), with a 317

combined P-value of 5.93e-26 (Table 2). Effect sizes and P-values for the top variants in each
population (PNAT2 and KIS3) are also provided in Table 2. In a separate meta-analysis that
additionally controlled for cigarettes/day and menthol use in KIS3, the top hit was rs11878604
with a beta of -0.68 (SE=0.069; P=5.65e-23 per C vs. T allele), while rs12459249 was the second
top hit with a beta of 0.59 (SE=0.063; P=5.73e-21 per C vs. T allele); these effect sizes did not
substantially differ from those in the primary analysis (Table 2).

324

## 325 Conditional Analysis of Chromosome 19 NMR Results in African American Smokers

326 Conditional analyses of the chromosome 19 NMR results in PNAT2 and KIS3 AA

327 smokers revealed a total of three independent signals associated with the NMR; the first two

328 were tagged by rs12459249 and rs111645190 (**Table 3**). In PNAT2 and KIS3, rs12459249,

329 located ~9.5kb 3' of CYP2A6, substantially altered NMR (Figure 2A and 2B), explaining 17.1%

and 15.3% of the variability in the NMR, respectively. The association between the rs12459249

variant and the NMR also replicated in KIS2 (P=1.30e-17; Figure 2C). After conditioning on

332 rs12459249, rs111645190 (located ~5.5kb 5' of *CYP2A6*) had a P-value of 1.19e-11 (beta=-0.42

per A versus G allele; SE=0.062; **Table 3 and Figure 1C and 1D**). In PNAT2 and KIS3, the

influence of rs111645190 on the NMR was also pronounced (Figure 2D and 2E), explaining an

additional 2.9% and 5.2% of the variation in the NMR, respectively, after controlling for

rs12459249. Of note, in a separate meta-analysis that additionally controlled for cigarettes/day

and menthol use in KIS3, the effect size for rs111645190 was similar to the primary analysis

**338** (**Table 2**) (beta=-0.67, SE=0.085; P=4.10e-15 per A vs. G allele). The association for

rs111645190 also replicated in KIS2 (P=1.77e-7; Figure 2F). After conditioning on both

rs12459249 and rs111645190, a third independent signal emerged, tagged by rs185430475

(MAF = 2%; located >10MB 3' of *CYP2A6*), with a P-value of 1.94e-8 (beta=1.27 per G versus
C allele; SE=0.23). Of note, the rs185430475 variant was not significantly associated with the
NMR in the meta-analysis (beta = 1.25 per G versus C allele; SE=0.26; P=9.26e-7), nor in
PNAT2 (beta = 1.02 per G versus C allele; SE=0.33; P=0.0023) or KIS3 (beta = 1.47 per G
versus C allele; SE=0.34; P=2.39e-5).

346

# 347 Genetic Variants Associated with the NMR in African American Smokers (PNAT2 and 348 KIS3 Analyzed Separately)

349 In PNAT2, 56 chromosome 19 variants significantly (P<5e-8) associated with the NMR 350 were identified after adjusting for population sub-structure; 53 remained significant after 351 additionally controlling for NMR covariates (Table S3). Controlling for clinical site did not 352 substantially alter the findings (53 hits were still observed; rs12459249 remained the top hit with 353 a beta (SE) per C vs. T allele of 0.61 (0.066); P=1.39e-18). A variant within chromosome 2 was 354 also significantly associated with the NMR (rs16984355; P=2.1e-9). The top overall variant 355 identified in PNAT2 was rs12459249 (Figure 1A and 2A), explaining 17.1% of NMR variation. 356 Thirty-five of the 56 significant hits were not genome-wide significant in the ~1500 Finnish 357 Europeans (16); the most significant of these hits was rs2644890 (Table 2 and Figure 3A and 358 **4A**), explaining 2.3% of NMR variation after controlling for rs12459249. Per 1000 Genomes, 359 rs12459249 and rs2644890 are not in appreciable linkage disequilibrium (LD) in individuals of 360 African descent ( $r^2 < 0.20$ ). The rs2644890 variant was also significantly associated with the 361 NMR in KIS3 (P=1.24e-7; Figure 3B and 4B) and KIS2 (P=5.60e-5; Figure 4C). 362 In KIS3, 46 chromosome 19 variants significantly (P<5e-8) associated with the NMR 363 were identified after adjusting for population sub-structure; 38 (>80%) of these variants were

364 also genome-wide significant in PNAT2. After additionally controlling for NMR covariates, 44 365 chromosome 19 variants remained significant (Table S4). A variant within chromosome 2 was 366 also significantly associated with the NMR (rs139278877; P=5.2e-9). The top overall variant in 367 KIS3 was rs11878604 (Table 2), accounting for 17.1% of NMR variation; rs11878604 was also 368 significant in PNAT2 (P=9.60e-17; Table 2). Twenty-eight of the 46 significant hits in KIS3 369 were not genome-wide significant in the  $\sim$ 1500 Finnish Europeans (16); the most significant of 370 these hits was rs111825958 (Table 2 and Figure 3D and 4E), which explained 0.8% of NMR 371 variation after controlling for rs11878604. Per 1000 Genomes, rs11878604 and rs111825958 are in moderate LD in individuals of African descent ( $r^2=0.39$ ). The rs111825958 variant was also 372 373 significantly associated with the NMR in PNAT2 (P=4.11e-10; Figure 3C and 4D) and KIS2 374 (P=4.25e-11; Figure 4F).

375 Of note, the previously characterized nonsynonymous rs28399454 (C>T) variant in exon 376 7 of CYP2A6, which defines the non-functional CYP2A6\*17 allele present at high frequency in 377 AAs (33), was significantly associated with the NMR in both PNAT2 (P=4.56e-11; beta=-0.68 378 per T versus C allele; SE=0.10, allele frequency=10.5%) and KIS3 (P=5.90e-11; beta=-0.68 per 379 T versus C allele; SE=0.10 allele frequency=11.0%). In a model that controlled for population 380 sub-structure, cohort-specific NMR covariates, and additionally for rs28399454, the P-values for 381 rs12459249 in PNAT2 and KIS3 increased somewhat (from 1.59e-18 to 1.03e-11, and from 382 3.41e-19 to 1.13e-11, respectively). However, the P-value for rs111645190 was not genome-383 wide significant in each of PNAT2 and KIS3 after controlling for rs28399454: P-values 384 increased from 4.10e-10 to 0.023 in PNAT2, and from 6.88e-14 to 0.011 in KIS3.

385

386 Discussion

387 This is the first NMR GWAS conducted exclusively in African Americans. We identified 388 three independent signals tagged by rs12459249, rs111645190, and rs185430475. These three 389 signals were not in LD ( $r^2 < 0.20$ ) with (in the 1000 Genomes Project AFR population) the four 390 independent signals (rs56113850, rs113288603, esv2663194, and rs12461964) identified in the 391 first NMR GWAS, which we conducted in ~1500 Finnish European smokers (16). Together 392 these findings extend our prior work (e.g., for \*23, \*24, \*25, \*28, \*35, and \*39-\*45 (29-32)) 393 showing the existence of unique genetic influences on CYP2A6 function and the NMR in AA. 394 The top independent signal, rs12459249, located ~9.5kb 3' of CYP2A6, was also genome-wide 395 significant in the Finnish sample (16), suggesting a common ancestral origin; however, it is 396 possible that rs12459249 tags different functional variants in different populations. After 397 controlling for rs28399454, the defining variant in the CYP2A6\*17 allele present at high 398 frequencies in AA (33), the P-values for rs12459249 in PNAT2 and KIS3 increased only somewhat (from  $\sim 10^{-18}$  to  $10^{-11}$ ), suggesting at least a portion of the influence of rs12459249 on 399 400 the NMR is independent of CYP2A6\*17. A recent study examined the NMR following oral or 401 i.v. administration of labeled nicotine and cotinine in n=212, n=51, and n=49 individuals of EA, 402 Asian American, and AA ancestry, respectively, and identified rs12459249 as the top-ranked 403 SNP overall; rs12459249 was also non-significantly associated with the NMR (P=5.76e-6) in the 404 small sample of AA (35).

The second independent signal was tagged by rs111645190, located ~5.5kb 5' of *CYP2A6*. The top two independent variants (rs12459249 and rs111645190) explained ~20% of
NMR variation, comparable to the amount of variability captured in the ~1500 Finnish European
smokers (16), where the independent signals explained ~18-31% of NMR variation. However,

the influence of rs111645190 on the NMR was no longer significant in either PNAT2 or KIS3
after controlling for rs28399454 (*CYP2A6\*17* allele), suggesting this second independent signal
is largely driven by rs28399454 (33).

Of note, over half (~60%) of the 96 hits found in the meta-analysis were not genomewide significant in the ~1500 Finnish Europeans (16), in part reflecting unique population LD
structure. The top unique variant in the meta-analysis, rs111825958, was also associated with the
NMR in KIS2 (38). After controlling for rs28399454 (*CYP2A6\*17*), the P-values in PNAT2 and
KIS3 increased from 4.11e-10 to 0.018, and from 5.28e-16 to 1.18e-5, respectively, suggesting,
as for rs111645190, that rs28399454 explains a large portion of the influence of rs111825958 on
the NMR.

419 Our previous NMR GWAS in ~1500 Finnish European smokers (16) identified >700 hits, 420 all found on chromosome 19q13 in or near to the CYP2A6 locus. The top hit, rs56113850, 421 located in intron 4 of CYP2A6, also replicated in the EA participants from the smaller GWAS of 422 laboratory-based NMR (35). A subsequent GWAS of urinary NMR, conducted in ~2,200 423 smokers (including n=364 AA) from a prospective multi-ethnic cohort study, identified 248 424 variants (~99% of which were within or near CYP2A6) significantly associated with the NMR, 425 and replicated this top hit (rs56113850) (36). The rs56113850 variant was also significantly 426 associated with the NMR in PNAT2 (P=1.30e-10, beta = 0.46 per C versus T allele, SE= 0.069) 427 and KIS3 (P=8.02e-12, beta = 0.45 per C versus T allele, SE = 0.064) AA smokers, suggesting, 428 as for rs12459249, a common ancestral origin. The demonstrated influence of rs12459249 and 429 rs56113850 on the NMR in a variety of ethnic groups combined with their high variant allele 430 frequencies (~30-60% in individuals of European and African descent) and only moderate LD 431  $(r^2=0.46 \text{ and } < 0.20 \text{ in European and African descent individuals, respectively; 1000 Genomes})$ 

432 data), suggest that these SNPs should be routinely included in genotyping platforms for genomic 433 investigations of nicotine metabolism and smoking cessation. GTEx expression quantitative trait 434 loci analyses suggest rs12459249 is associated with CYP2A6 protein expression in the lung, and 435 possibly liver (effect size=0.12 for C vs. T), while rs56113850 has a greater relative (vs. 436 rs12459249) influence on liver CYP2A6 mRNA expression (effect size=0.26 for C vs. T). 437 Because the NMR is 80% heritable (estimated in Finnish twins) (16), largely mediated by 438 a single enzyme (i.e., CYP2A6), and not appreciably altered by environmental factors (17), the usefulness of CYP2A6 genetics for personalizing therapy and understanding tobacco-related 439 440 disease risk shows great promise. However, the NMR can only be reliably used to assess 441 CYP2A6 activity in current, regular (i.e., daily) smokers (12-14), while CYP2A6 genetics could 442 be used to predict activity phenotype in current, former, and non-smokers in epidemiological 443 investigations of cancer risk, for example. Thus, it is likely that a CYP2A6 genetics-based 444 approach could have greater utility and wider applicability compared to the NMR. In addition, 445 because CYP2A6 also metabolizes therapeutic drugs including letrozole (53) and tegafur (54), 446 two chemotherapeutics, as well as other drugs (e.g., efavirenz, metronidazole, artemisinin, 447 valproic acid) (55), the usefulness of CYP2A6 genetics in personalized medicine approaches 448 extends beyond tobacco dependence.

Several limitations of our work warrant mention. By virtue of the genome-wide
genotyping chip, we were unable to adequately examine structural variation in *CYP2A6*. Copy
number variation, such as the *CYP2A6\*1XA* duplication and *CYP2A6\*4* deletion variants (46), is
known to alter CYP2A6 activity; it is possible that known and/or novel copy number variants in *CYP2A6* are in LD with the variants identified in our study. In addition, the lack of overlap in
signals observed between AA and European descent smokers may be due, in part, to differences

455 in the genotyping platforms used, reference panels for imputation, quality 456 control/imputation/MAF filtering pipelines, as well as potential inter-ethnic variation in 457 environmental confounding factors and/or additional potential differences between smokers 458 seeking treatment versus those that are not. However, head-to-head comparisons of NMR GWAS 459 signals in PNAT2 AA and PNAT2 European descent smokers, analyzed using an identical 460 genotyping platform and phase I release of 1000 Genomes, also indicate a substantial lack 461 overlap (unpublished observations). Finally, analyzing treatment-seeking smokers may limit 462 generalizability to general smoking populations, however personalized medicine approaches 463 based on CYP2A6 or the NMR would be targeted to treatment-seeking smokers and future 464 GWAS in treatment-seeking smokers from other ethnic backgrounds should be considered. 465 Future larger studies may identify important signals outside of CYP2A6 that influence the NMR. 466 In summary, we identified three independent signals in the largest NMR GWAS of AA 467 smokers performed to date, accounting for ~20% of the total variability in the NMR. Over half 468 (~60%) of the 96 total hits were not found in the largest NMR GWAS of European descent 469 smokers (16), and might contribute to unique regulation of CYP2A6 in AA. Further investigation 470 of these hits, including haplotype characterization and functional assessments will help identify 471 which variants are causally influencing the NMR beyond known functional variants (e.g., 472 CYP2A6\*17 (33)). There may also be rare CYP2A6 variants (56, 57) with substantial impacts on 473 the NMR; future sequencing-based studies will complement GWAS approaches and may further 474 improve our understanding of the genetic influences on the NMR. Determining whether these 475 genetic variants influence other phenotypes including smoking cessation will set the stage for 476 genomics-based personalization of tobacco dependence treatment. Functional characterization

- 477 studies may also provide insight into inter-ethnic variability in nicotine metabolism/CYP2A6
- 478 activity and resulting smoking behaviours and tobacco-related disease risk.

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## 670 Tables671

#### Characteristic PNAT2 KIS3 KIS2 (N=480) (N=504) (N=450) % Female (N) 50.4 (254) 66.4 (299) 69.6 (334) Age, mean (SD); range 47.3 (9.8); 20-65 46.8 (11.6); 19-80 45.0 (11.2); 19-81 BMI, mean (SD); range 30.5 (7.1); 17.6-58.3 31.2 (7.8); 14.8-68.4 30.5 (8.0); 14.0-73.5 Cigarettes/day, mean (SD); 16.3 (6.3); 5-40<sup>b</sup> 7.8 (2.6); 1-17 7.6 (3.3); 0-30 range Cotinine in ng/ml, mean 274.2 (130.4), 252.7; 32.2-243.7 (122.4), 233.2; 13.7-248.9 (144.9), 235.9; 10.1-(SD), median; range 837.3 680.7 927.3 NMR, mean (SD), median; 0.33 (0.20), 0.28; 0.0090-0.38 (0.26), 0.33; 0.02-1.79 0.33 (0.23), 0.27; 0.02-1.70 1.17 range

## Table 1. Characteristics of African American smokers from the three clinical trial samples

Abbreviations: PNAT, Pharmacogenetics of Nicotine Addiction Treatment; KIS, Kick-It-At-Swope; SD, standard deviation;

674 BMI, body mass index; NMR, nicotine metabolite ratio

## Table 2. Top 10 overall genetic variants significantly associated with the NMR in the meta-

Variant	Genotyped or	Gene/location	Base-Pair	Ref.	Test	MAF(%)	MAF	Beta (SE); P-	Beta (SE);	Beta (SE);
	Imputed		Location	Allele	Allele	in	(%) in	Value <sup>a,b</sup> in	P-Value <sup>a</sup> in	P-Value <sup>b</sup> in
	(Imputation		(GRCh37)			PNAT2	KIS3	Meta-Analysis	PNAT2	KIS3
	Quality Score)									
rs12459249	Imputed in	~9.5kb 3' of	41339896	Т	С	31.2	35.9	0.59 (0.045);	0.61	0.58 (0.062);
	PNAT2 (0.99) and	CYP2A6						1.47e-39	(0.066);	3.41e-19
	KIS3 (0.99)								1.59e-18	
rs10853742	Imputed in	~8.9kb 3' of	41340573	G	С	31.0	36.0	0.59 (0.045);	0.60	0.58 (0.062);
	PNAT2 (0.99) and	CYP2A6						2.10e-39	(0.066);	3.79e-19
	KIS3 (0.99)								1.92e-18	
rs11667314	Imputed in	~8.5kb 3' of	41340983	Т	С	30.8	35.8	0.59 (0.045);	0.60	0.58 (0.062);
	PNAT2 (0.98) and	CYP2A6						5.00e-39	(0.066);	5.17e-19
	KIS3 (0.98)								2.90e-18	
rs11878604	Imputed in	~16kb 3' of	41333284	Т	С	22.7	22.8	-0.65 (0.050);	-0.64	-0.67
	PNAT2 (0.97) and	CYP2A6						7.36e-39	(0.074);	(0.069);
	KIS3 (0.95)								9.60e-17	2.19e-20
rs11083569	Imputed in	~9.1kb 3' of	41340321	С	G	37.5	41.1	0.53 (0.045);	0.50	0.56 (0.061);
	PNAT2 (0.95) and	CYP2A6						3.97e-32	(0.066);	4.88e-18
	KIS3 (0.95)								2.09e-13	
rs56267346	Imputed in	CYP2A6 (intronic)	41353338	А	G	38.8	39.6	-0.50 (0.047);	-0.56	-0.46
	PNAT2 (0.97) and							5.49e-27	(0.068);	(0.064);
	KIS3 (0.96)								4.02e-15	5.83e-12
rs111825958°	Genotyped in	~17kb 3' of	41331209	С	А	12.1	12.4	-0.71 (0.067);	-0.64	-0.77
	PNAT2 (N/A);	EGLN2						5.93e-26	(0.099);	(0.092);
	imputed in KIS3								4.11e-10	5.28e-16
	(0.92)									
rs12986371	Genotyped in	~5.7kb 3' of	41343698	G	А	21.3	26.7	0.52 (0.051);	0.53	0.51 (0.069);
	PNAT2 (N/A) and	CYP2A6						6.79e-24	(0.078);	5.40e-13
	KIS3 (N/A)								3.70e-11	
rs111645190 °	Imputed in	~5.5kb 5' of	41361808	G	А	13.7	14.2	-0.62 (0.062);	-0.59	-0.65
	PNAT2 (1.0)	CYP2A6						1.06e-23	(0.092);	(0.085);
	and KIS3 (0.99)								4.10e-10	6.88e-14

## analyzed GWAS results from PNAT2 and KIS3 African American smokers

rs145638254°	Imputed in	~4.7kb 5' of	41361027	G	А	13.7	14.2	-0.62 (0.062);	-0.59	-0.65
	PNAT2 (1.0)	CYP2A6						1.07e-23	(0.092);	(0.085);
	and KIS3 (0.99)								4.10e-10	6.88e-14

678	MAF, minor allele frequency; N/A, not applicable
679	<sup>a</sup> In PNAT2, GWAS results were adjusted for principal components 1 and 2, sex, age, BMI, and the use of mentholated cigarettes.
680	<sup>b</sup> In KIS3, GWAS results were adjusted for principal components 1 and 2, sex, age, and BMI. The genomic inflation factor score
681	$(\lambda)$ in each population was 1 and therefore not adjusted for in the meta-analysis.
682	<sup>c</sup> These variants were not genome-wide significant in a study of ~1500 Finnish European smokers (16)
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#### Table 3. The variants tagging the top two independent signals from the meta-analysis of 687

Variant	Gene/location	Ref.	Test	MAF(%)	MAF (%)	Beta (SE);	Beta (SE);	Beta (SE);
		Allele	Allele	in PNAT2	in KIS3	P-Value in	P-Value in	P-Value in Meta-
						PNAT2 <sup>a</sup>	KIS3 <sup>a</sup>	Analysis <sup>b</sup>
rs12459249	~9.5kb 3' of	Т	С	31.2	35.9	0.60 (0.063);	0.61 (0.064);	0.59 (0.045);
	CYP2A6					1.16e-19	1.21e-19	1.47e-39
rs111645190	~5.5kb 5' of	G	А	13.7	14.2	-0.63 (0.088);	-0.65 (0.089);	-0.62 (0.06);
	CYP2A6					3.29e-12	1.57e-12	1.06e-23

#### 688 conditional analyses in PNAT2 and KIS3 African American smokers

MAF, minor allele frequency

<sup>a</sup>Adjusted for cohort-specific principal components 1 and 2

689 690 691 692 693 <sup>b</sup>In PNAT2, GWAS results were adjusted for principal components 1 and 2, sex, age, BMI, and the use of mentholated cigarettes.

In KIS3, GWAS results were adjusted for principal components 1 and 2, sex, age, and BMI. The genomic inflation factor score

 $(\lambda)$  in each population was 1 and therefore not adjusted for in the meta-analysis.

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#### **Figures**

#### Figure 1









Figure 3

716 Figure 4717



723 Figure Legends

- Figure 1. rs12459249 and rs111645190 tagged the top two independent signals significantly
- associated with the NMR from the meta-analysis of conditional analyses in PNAT2 and
- 728 KIS3 African American smokers.
- 729 The top (i.e., smallest P-value) overall variant associated with the NMR was rs12459249.
- 730 LocusZoom plots depicting rs12459249 (indicated with a purple diamond) in PNAT2 and KIS3
- are shown in (A) and (B), respectively. P-values are adjusted for principal components 1 and 2.
- The second independent signal associated with the NMR was tagged by rs111645190.
- 733 LocusZoom plots depicting rs111645190 (indicated with a purple diamond) in PNAT2 and KIS3
- are shown in (C) and (D), respectively. P-values are adjusted for principal components 1 and 2.
- LD patterns are based upon the hg19/1000 Genomes November 2014 release AFR reference
- 736 population.
- 737
- 738

Figure 2. Influence of the top two independent signals, tagged by rs12459249 and

rs111645190, identified in the meta-analysis of conditional analyses on the NMR in PNAT2,

- 741 KIS3, and KIS2 smokers.
- Associations between rs12459249 and the NMR (not transformed) are shown in PNAT2
- 743 (P=1.59e-18) (A), KIS3 (P=3.41e-19) (B), and KIS2 (P=1.30e-17) (C) African American
- smokers using boxplots. Associations between rs111645190 and the NMR (not transformed) are

745 shown in PNAT2 (P=4.10e-10) (D), KIS3 (P=6.88e-14) (E), and KIS2 (P=1.77e-7) (F) African

American smokers using boxplots. The box represents the interquartile (IQ) range. The line

across the box indicates the median NMR value. Open circles represent NMR values that are

between 1.5X and 3X the IQ range, while asterisks represent NMR values that are greater than

3X the IQ range. The P-values for PNAT2 and KIS3 are derived from the square-root NMR

750 GWAS conducted separately in each sample, and are adjusted for cohort-specific principal

components 1 and 2 and NMR covariates. The P-values for KIS2 are derived from additive linear

- regression models of square-root NMR adjusting for sex, age, and BMI. In KIS2, n=5
- individuals with NMR values of 1.70, 1.52, 1.45, 1.37, and 1.36 are omitted from the graph but

vere included in the analysis. In KIS3, n=5 individuals with NMR values of 1.79, 1.78, 1.56,

1.52, and 1.48 are omitted from the graph but were included in the analysis.

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758 Figure 3. rs2644890 and rs111825958 were the top unique variants significantly associated

vith the NMR in PNAT2 and KIS3 African American smokers, respectively.

- 760 The top (i.e., smallest P-value) unique variant associated with the NMR in PNAT2 was
- rs2644890. LocusZoom plots depicting rs2644890 (indicated with a purple diamond) in PNAT2
- and KIS3 are shown in (A) and (B), respectively. P-values are adjusted for principal components
- 1 and 2. The top (i.e., smallest P-value) unique variant associated with the NMR in KIS3 was
- rs111825958. LocusZoom plots depicting rs111825958 (indicated with a purple diamond) in
- 765 PNAT2 and KIS3 are shown in (C) and (D), respectively. P-values are adjusted for principal
- components 1 and 2. LD patterns are based upon the hg19/1000 Genomes November 2014
- release AFR reference population.

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Figure 4. Influence of the top unique variants, rs2644890 and rs111825958, on the NMR in
PNAT2, KIS3, and KIS2 smokers.

Associations between rs2644890 and the NMR (not transformed) are shown in PNAT2

773 (P=2.04e-12) (A), KIS3 (P=5.95e-7) (B), and KIS2 (P=5.60e-5) (C) African American smokers

using boxplots. Associations between rs111825958 and the NMR (not transformed) are shown in

775 PNAT2 (P=4.11e-10) (D), KIS3 (P=5.28e-16) (E), and KIS2 (P=4.25e-11) (F) African American

smokers using boxplots. The box represents the interquartile (IQ) range. The line across the box

indicates the median NMR value. Open circles represent NMR values that are between 1.5X and

3X the IQ range, while asterisks represent NMR values that are greater than 3X the IQ range.

The P-values for PNAT2 and KIS3 are derived from the square-root NMR GWAS conducted

separately in each sample, and are adjusted for cohort-specific principal components 1 and 2 and

781 NMR covariates. The P-values for KIS2 are derived from additive linear regression models of

square-root NMR adjusting for sex, age, and BMI. In KIS2, n=5 individuals with NMR values of

1.70, 1.52, 1.45, 1.37, and 1.36 are omitted from the graph but were included in the analysis. In

KIS3, n=5 individuals with NMR values of 1.79, 1.78, 1.56, 1.52, and 1.48 are omitted from the

785 graph but were included in the analysis.

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## Figure S1













# Figure S1. Quality control pipeline utilized in PNAT2 and KIS3 smokers prior to GWAS analysis.

797 The quality control (QC) steps for both samples and markers (i.e., variants) carried out prior to 798 analysis are depicted in chronological order. The original sample sizes were: n=1,687 PNAT2 799 smokers (self-reported Caucasian, African or African American, Asian, Native American, Hawaiian/Polynesian, multi-racial, or other), and n=502 KIS3 smokers (self-reported African 800 801 American). Sample QC on PNAT2 and KIS3 was performed separately for steps 1 and 2. The 802 PNAT2 and KIS3 samples remaining following sample QC step 2 were analyzed together for 803 relatedness (step 3) and ancestry determination (step 4). Remaining sample QC (step 5; 804 heterozygosity determination) and all marker QC (steps 6-8) were performed separately for 805 PNAT2 AA and KIS3 AA. The final numbers of samples and markers remaining after sample 806 and marker QC are indicated in the grey boxes. The final number of PNAT2 AA and KIS3 AA 807 samples remaining after sample, marker, and biomarker QC (step 9) are indicated in the black 808 boxes. There were n=406 PNAT2 AA individuals available for final analyses after excluding 809 those with missing menthol data (n=98), and n=449 KIS3 AA individuals were available for final 810 analyses after excluding one participant with missing BMI data.

Abbreviations: QC, quality control; IBS, identity by state; PCA, principal components analysis;
PC, principal component; SD, standard deviation; AA, African American

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## 815 Figure S2. Ancestry clustering in PNAT2 and KIS3 smokers using HapMap 3 as a

**reference population.** Principal Components 1 and 2, generated from Sample QC Step 4 (see

- Figure S1), are plotted for the whole PNAT2 clinical sample (turquoise open circles), KIS3
- 818 (black open circles), and HapMap reference populations: CEU (Utah residents with Northern and
- 819 Western European ancestry; red open circles), ASW (African ancestry in Southwest USA; purple
- open circles), YRI (Yoruba in Ibadan, Nigeria; green open circles), and CHB (Han Chinese in
- 821 Beijing, China; blue open circles). African American ancestry for PNAT2 and KIS3 was
- determined using the following cut-points: Principal Component  $1 \leq -0.025$ , and Principal
- 823 Component  $2 \le 0.01$ , indicated with black dotted lines. These conservative thresholds were
- selected to ensure homogeneity of the population and were chosen based on visual inspection of

the plot.

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- 828 Figure S3. Histograms depicting the distribution of the NMR and square-root NMR in
- 829 PNAT2, KIS3, and KIS2 African American smokers. The NMR distribution is shown in
- 830 PNAT2 (A), KIS3 (B), and KIS2 (C) African American smokers. The square-root NMR
- distribution is shown in PNAT2 (D), KIS3 (E), and KIS2 (F) African American smokers. NMR
- 832 was square-root transformed to help correct for positive skew.
- 833 Abbreviation: SD, standard deviation
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- 835

- 836 Figure S4. Quantile-quantile (QQ) plots of the square-root NMR GWAS results in PNAT2
- and KIS3 African American smokers. QQ plots of the full results of the square-root NMR
- 838 GWAS are shown in PNAT2 (A) and KIS3 (B), and after excluding genome-wide significant
- hits in PNAT2 (C) and KIS3 (D). Expected P-values are those expected under the null
- 840 hypothesis. The shaded area around the red line indicates the 95% confidence interval under the
- 841 null.
- 842

- 843 Table S1. 2,688 variants included as a custom iSelect® add-on to the Illumina
- 844 HumanOmniExpressExome-8 v1.2 array.
- 845
- 846 Table S2. Complete list of chromosome 19 variants significantly (P<5e-8) associated with
- 847 the NMR in the meta-analysis of PNAT2 and KIS3 African American smokers.
- 848
- 849 Table S3. Complete list of chromosome 19 variants significantly (P<5e-8) associated with
- 850 the NMR in PNAT2 African American smokers.
- 851
- 852 Table S4. Complete list of chromosome 19 variants significantly (P<5e-8) associated with
- 853 the NMR in KIS3 African American smokers.