

1 **Risk factors for self-reported cataract symptoms, diagnosis, and surgery uptake among older adults in**
2 **India: Findings from the WHO SAGE data**

3 **Authors:** Sutapa Agrawal¹, Jasmine Fledderjohann^{2*}, Shreeparna Ghosh³

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5 1 Senior Consultant, Public Health Nutrition Epidemiology, New Delhi, India

6 2 Lancaster University, Lancaster, UK

7 3 Immunization Technical Support Unit-Ministry of Health and Family Welfare, John Snow India Private

8 Ltd., New Delhi, India

9

10 *Corresponding author

11

12

13 **Address for correspondence**

14 Dr. Jasmine Fledderjohann

15 Department of Sociology

16 Lancaster University

17 Lancaster, LA1 4YN

18 United Kingdom

19 j.fledderjohann@lancaster.ac.uk

20

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24 **Ethical Statement:** Data for this study come from Wave 1 (2007-2008) of the cross-sectional WHO Study
25 on Global Ageing and Adult Health (SAGE) for India. The data for our study are fully anonymised by
26 WHO, and can be downloaded by registering through the WHO Data Archive website
27 (<http://apps.who.int/healthinfo/systems/surveydata/index.php/catalog/65>).

28 The WHO SAGE study received human subject ethics council approval from research review boards local
29 to each site, and from the WHO Ethical Review Committee. Written Informed consent was obtained
30 prior to interview and examination. Our study is a secondary analysis of SAGE de-identified, publicly
31 available data, and does not require ethics committee approval.

32 **Authors' contributions:** SA conceptualised the study and wrote the first draft. SG did the data analysis.
33 JF provided important intellectual comments, suggestions and edits to the manuscript. All authors
34 approve the final version of the paper.

35

36 **Abstract**

37 Objectives: Visual impairments have a substantial impact on the well-being of older people, but their
38 impact among older adults in low- and middle-income countries is under-researched. We examined risk
39 factors for self-reported cataract symptoms, diagnosis, and surgery uptake in India.

40 Methods: Cross-sectional data from the nationally representative WHO SAGE data (2007-08) for India
41 were analysed. We focused on a sub-sample of 6,558 adults aged 50+, applying descriptive statistics and
42 logistic regression.

43 Results: Nearly 1-in-5 respondents self-reported diagnosed cataracts, more than three-fifths (62%;
44 n=3,879) reported cataract symptoms, and over half (51.8%) underwent surgery. Increasing age, self-
45 reported diabetes, arthritis, low visual acuity, and moderate or severe vision problems were factors
46 associated with self-reported diagnosed cataracts. Odds of cataract symptoms were higher with
47 increasing age and among those with self-reported arthritis, depressive symptoms, low visual acuity,
48 and with moderate or severe vision problems. Odds of cataract surgery were also higher with increasing
49 age, self-reported diabetes, depressive symptoms, and among those with low visual acuity.

50 Conclusions: A public health approach of behavioural modification, well-structured national outreach
51 eye care services, and inclusion of local basic eye care services are recommended.

52

53 **Keywords:** Older adults; cataracts; cataract symptoms; cataract surgery; risk factors; India

54 **Introduction**

55

56 Cataracts—a clouding of the eye lens—are the principal cause of blindness and visual impairment
57 worldwide (Nirmalan et al., 2004). They are estimated to be responsible for 51% of all cases of blindness
58 globally, impacting about 20 million people, disproportionately aged 50+ (Mariotti, 2012; Resnikoff et
59 al., 2004). The prevalence of age-related eye diseases is assumed to be on the rise with increasing life
60 expectancy (Laitinen et al., 2010). Approximately 90% of cases occur in low- and middle-income
61 countries (LMICs), representing a substantial economic and public health burden (Resnikoff et al., 2004).

62

63 While it is possible to remove cataracts through a standard surgery, typically with high success rates,
64 access to the surgical procedure remains a problem in many LMICs; many people remain blind due to an
65 inability to access treatment (WHO, n.d.). Older persons living with unoperated cataracts are likely to
66 face substantially diminished quality of life due to limited vision. Decreases in functional abilities
67 sometimes attributed to other age-related processes may actually be associated with cataracts (Yawson
68 et al., 2014). Efforts (such as removal of cataracts) to reduce modifiable health risks may result in a
69 postponement of initial disability and a decrease in lifetime disability.

70

71 Previous research has documented a strong association between the development of age-related
72 cataracts and diabetes, alcohol and tobacco use, and ultraviolet light exposure (DeBlack, 2003). Other
73 factors inconclusively implicated include body mass index (Jacques et al., 2003) and postmenopausal
74 decline in estrogen (Hennis et al., 2004). However, studies on the prevalence of and risk factors for
75 cataracts have been conducted mainly in white populations in the United States, Australia, and Europe
76 (Graw et al., 2011; Landers et al., 2010; Mares et al., 2010). Less is known about risks in LMICs, where
77 the burden is highest (Vashist et al., 2011; Wu et al., 2010; Yawson et al., 2014).

78

79 Previous estimates of cataract prevalence in India range from 25% to upwards of 58% depending on the
80 population under observation (Gupta et al., 2007; Sobti & Sahni, 2013; Vashist et al., 2011). However,
81 most of the limited information available is from hospital- or clinic-based studies, which likely miss the
82 most vulnerable groups due to selection bias. Despite the public health significance of cataracts in India,
83 there is little population-based evidence on prevalence, risk factors, and treatment. To address this gap,
84 in this paper we examine prevalence and risk factors for self-reported diagnosed cataracts, self-reported
85 cataract symptoms, and cataract surgery uptake in a nationally representative population aged 50+ in
86 India.

87

88 **Materials and Methods**

89

90 *Data*

91 All data for this study come from secondary data taken from Wave 1 (2007-2008) of the World Health
92 Organization (WHO) Study on Global Ageing and Adult Health (SAGE) for India. Data are publicly
93 available upon request from: <https://www.who.int/healthinfo/sage/cohorts/en/index2.html>. Briefly, in
94 India respondents were interviewed face-to-face by the WHO SAGE team via a survey instrument on a
95 broad range of topics including sociodemographics, health risk factors, chronic conditions, well-being,

96 healthcare utilization, and health insurance coverage. A physical examination was used to collect height,
97 weight, waist circumference, and blood pressure. Details of the survey, including sampling framework,
98 are provided elsewhere (Kowal et al., 2012; Naidoo, 2012). The SAGE survey collected a nationally
99 representative sample of adults aged 50+ and a smaller comparative sample aged 18–49 years (4,717
100 men, 7,481 women) across six states (Assam, Karnataka, Maharashtra, Rajasthan, Uttar Pradesh and
101 West Bengal). As our focus is on age-related visual impairments, we restricted the secondary data
102 sample to men and women aged 50+ (n=7,150). We further restricted the sample to respondents for
103 whom we did not have missing data on co-variates (n=6,558).

104

105 *Independent Variables*

106 In order to assess the risks associated with sociodemographic factors, we included several categorical
107 variables from the SAGE data in our analysis: age (50–59, 60–69, 70+ years), sex, place of residence
108 (rural, urban), marital status (currently married, not married), education (no education, primary school
109 or less, secondary/high school, tertiary or higher), household income quintiles, and health insurance
110 status. We also considered lifestyle and health factors, including ever smoking tobacco (yes=1), ever
111 consuming alcohol (yes=1), daily fruit and vegetable intake (none/insufficient (<5 servings/day),
112 sufficient (≥ 5 servings/day)), self-reported vision problems (none/mild, moderate, severe/extreme),
113 and self-reported quality of life (good, moderate, bad). BMI values were classified into categories based
114 on established WHO cut-offs (WHO 2000): underweight (<18.5 kg/m²), normal (18.5-24.9 kg/m²),
115 overweight (25.0-29.9 kg/m²), and obese (≥ 30 kg/m²).

116

117 Together, these variables are either known correlates of sociodemographic gradients in public health
118 broadly (e.g. education, place of residence, income) or potential risk factors for cataracts in particular
119 (e.g. smoking, alcohol consumption, obesity). Smoking (Christen et al., 1996; Galor & Lee, 2011; Seddon
120 et al., 1996; Thornton et al., 2005; Ye et al., 2012) and alcohol consumption (Cumming & Mitchell, 1997;
121 Klein et al., 2003; Lindblad et al., 2007; Morris et al., 2004) are established risk factors for cataracts.
122 Some literature also suggests the potential for fruit and vegetable consumption to reduce the risks of
123 age-related eye diseases (Christen et al., 2005). The literature on obesity and cataract risks is more
124 mixed, but is suggestive of a possible association that merits further investigation (Cheung & Wong,
125 2007).

126

127 We also examined risks associated with co-morbidity. Diabetes mellitus and stroke were assessed by
128 SAGE through a self-reported diagnosis question: “*Have you ever been told by a health
129 professional/doctor that you have (disease name)?*” Angina pectoris, arthritis, asthma, and chronic lung
130 disease were derived from symptom-based questions, combined with a validated diagnostic algorithm
131 (Arokiasamy et al., 2015). Hypertension and visual acuity were assessed by the WHO SAGE data
132 collection team through a physical examination at the time of interview. The prevalence of hypertension
133 was based on blood pressure (systolic, diastolic) measured three times on the right arm/wrist using an
134 automated recording device while seated (WHO & International Society of Hypertension Writing Group,
135 2003). Following international guidelines (Parati et al., 2008; Pickering et al., 2005, 2008), an average of
136 readings was used by the SAGE team. The first reading allowed the respondent to settle in and feel
137 comfortable, and the second and third readings were then averaged (WHO, 2006). The limit for high

138 systolic blood pressure was 140 mm/hg or above, and for diastolic blood pressure 90 mm/hg or above;
139 in the secondary SAGE data, we coded respondents as hypertensive if average systolic or diastolic blood
140 pressure readings exceeded either of these thresholds or they reported current treatment for
141 hypertension (Arokiasamy et al., 2015).

142
143 SAGE measured visual acuity in this study using a tumbling “E” logMAR chart. We categorised
144 respondents as having low vision (0.01–0.25 decimal) if they had low near or distance vision in both eyes
145 (Arokiasamy et al., 2015). Symptomatic depression items were assessed based on the World Mental
146 Health Survey version of the Composite International Diagnostic Interview (Kessler & Üstün, 2004).
147 Using the secondary SAGE data, we coded participants who indicated at least 4 of 10 depressive
148 symptoms that lasted 2 weeks, most of the day, or all of the day as experiencing depression (Ayuso-
149 Mateos et al., 2010). Respondents who responded positively to ‘Have you been taking any medications
150 or other treatment such as attending therapy or counselling sessions for depression during the last 12
151 months?’ were also coded as depressed for our analysis (Arokiasamy et al., 2015).

152 153 *Dependent Variables*

154 Our key outcomes of interest were dichotomous indicators of self-reported diagnosis of cataracts,
155 cataract surgery, and cataract symptoms taken from the SAGE data. Respectively, the WHO SAGE team
156 asked:

- 157
- 158 • *In the last 5 years, were you diagnosed with a cataract in one or both of your eyes (a cloudiness*
159 *in the lens of the eye) by a healthcare professional?*
- 160 • *In the last 5 years, have you had eye surgery to remove this cataract(s)?*
- 161 • *In the last 12 months have you experienced any of the following:... cloudy or blurry vision?*
162 *...vision problems with light, such as glare from bright lights, or halos around lights?*

163
164 Notably, SAGE Wave 1 in India included both operated and unoperated cataracts within the same
165 question; it is therefore not possible to distinguish between diagnosed but previously removed cataracts
166 and an unoperated cataracts. Additionally, because of the SAGE survey skip pattern, respondents who
167 indicated that they had not been diagnosed with cataracts in the past 5 years were not asked about
168 cataract surgery. Respondents who had not had a diagnosis could therefore still report on whether they
169 had experienced symptoms, but are legitimately missing in our models predicting cataract surgery.

170 171 *Statistical analyses*

172 Chi-square tests of significance were used to examine the distribution of cataracts across independent
173 variables. Logistic regression models were fit to determine factors associated with our dependent
174 variables while controlling for other potential risk factors. Data were analysed using STATA version 14.

175 176 *Ethics approval*

177 The WHO SAGE study received human subject ethics council approval from research review boards local
178 to each site, and from the WHO Ethical Review Committee (Kowal et al., 2012). Written Informed

179 consent was obtained prior to the WHO SAGE team’s interviews and physical examinations of
180 participants. Our study is a secondary analysis of this SAGE de-identified, publicly available data, and
181 therefore does not require ethics committee approval.

182

183 **Results**

184

185 Figure 1 shows the percentage distribution of cases who self-reported diagnosed cataracts in the last
186 five years preceding the survey, experienced cataract symptoms in the last 12 months, and/or had
187 cataract surgery in the last five years in the WHO SAGE, India 2007-10, capturing the overlap in these
188 categories. Almost three-fourths (71.6%) had cataract surgery with diagnosis but didn’t have any
189 symptoms while half (50.3%) of the respondents reported having a surgery with both diagnosis and
190 symptoms. 56.8% reported only symptoms of cataract while 15.5% self-reported diagnosis only.

191

192 [Figure 1 here]

193

194 Table 1 gives the sample distribution and prevalence and bivariable associations of self-reported
195 diagnosed cataracts, cataract symptoms, and cataract surgery uptake in older Indian adults by
196 sociodemographic characteristics, lifestyle, and health-related factors. Just under one-fifth (18.7%) out
197 of the total 6,558 older Indian adults (aged 50+) self-reported diagnosed cataracts, with higher
198 prevalence reported in older age groups (from 10% in the 50–59 age group to as high as 32.7% in the \geq
199 70 years group; $p<.001$). Respondents currently not in a marital union reported significantly ($p<0.001$)
200 higher prevalence of cataracts (27.2%), compared to currently married (15.9%) respondents. Those with
201 no education (19%), primary or less education (20.1%), or secondary education (18%) had a higher
202 prevalence compared to those with tertiary or higher education (11.8%; $p=0.013$). Compared to those
203 without these conditions, prevalence was significantly higher among those with hypertension
204 ($BP\geq 140/90$ mm/Hg) (21.5%; $p<0.033$), diabetes (32.5%; $p<0.001$), angina symptoms (26.9%; $p<0.001$),
205 symptoms of arthritis (26%; $p<0.001$), asthma (22.9%; $p=0.002$), chronic lung disease (24.1%; $p<0.001$),
206 depressive symptoms (23.6%; $p<0.001$), those with low visual acuity (20.9%; $p<0.001$), and those who
207 reported severe/extreme vision problems (30.8%; $p<0.001$). Gender, place of residence, household
208 income, health insurance status, tobacco and alcohol use, fruit and vegetable intake, BMI, history of
209 stroke, and self-reported quality of life were non-significant predictors.

210

211 More than three-fifths of the sample (62%) reported cataract symptoms. Prevalence of cataract
212 symptoms increased with age ($p<0.001$), and was higher among females (67.1%; $p<0.001$), people in a
213 rural area (64.9%; $p<0.001$), those not in marital union (69.5%; $p<0.001$), those with no education
214 (68.2%; $p<0.001$), and in the lowest wealth quintile household (67%; $p<0.001$). Prevalence was also
215 higher among those who were underweight (67.1%; $p<0.001$), and who reported angina pectoris (75.8%;
216 $p<0.001$), arthritis (72.8%; $p<0.001$), asthma (73.2%; $p<0.001$), chronic lung disease (77%; $p<0.001$),
217 depressive symptoms (82.5%; $p<0.001$), low visual acuity (67.6%; $p<0.001$), severe/extreme vision
218 problems (83.4%; $p<0.001$), and low quality of life (74.6%; $p<0.001$).

219

220 More than half the respondents (51.8%) reported that they had a cataract surgery in the last five years.
221 Cataract surgery uptake also increased with age (37.8%-59.7% from age groups 50-59 to 70 and above;
222 $p < 0.001$), and was higher among those living in urban areas (55.6%; $p < 0.001$), belonging to highest
223 wealth quintile households (60.2%; $p = 0.035$), who never consumed tobacco (56.3%; $p < 0.001$) nor
224 alcohol (53.9%; $p = 0.001$), who consumed fewer fruits and vegetables (53.0%; $p = 0.007$), with
225 hypertension (53.8%; $p = 0.052$), diabetes (69%; $p < 0.001$), chronic lung disease (52.3%; $p = 0.008$), no/mild
226 vision problems (58.3%; $p < 0.001$), and who self-reported a good quality of life (62%; $p = 0.001$).

227
228 Table 2 provides results for the multivariable logistic regression analysis. Model 1 shows results
229 predicting self-reported diagnosed cataracts. Controlling for all else, the risk of cataracts was greater in
230 60-69 years (AOR:1.90; 95% CI:1.61-2.25) and 70+ years (AOR:3.61; 95% CI:2.99-4.34) age groups
231 compared to adults aged 50-59, with risk greatly increasing with age. The likelihood of reporting
232 cataracts was lower among those who were currently married (AOR:0.81; 95% CI:0.69-0.96) than those
233 not in marital union, and those with secondary education (AOR:1.54; 95% CI:1.05-2.26) compared to
234 tertiary education. Older adults who reported diabetes (AOR:1.44; 95% CI:1.13-1.83), arthritis
235 (AOR:1.42; 95% CI:1.22-1.65; $p < 0.0001$), low visual acuity (AOR:1.64; 95% CI:1.39-1.94) or reported
236 moderate (AOR:1.35; 95% CI:1.14-1.60; $p < 0.0001$) or severe/extreme (AOR:2.13; 95% CI:1.79-2.53)
237 vision problems had a higher risk for self-reported cataracts than those without these conditions.

238
239 Model 2 shows that, compared to those aged 50-59, risk of reporting cataract symptoms was higher
240 among those aged 60-69 (AOR:1.14; 95% CI:1.01-1.30) and 70+ (AOR:1.62; 95% CI:1.38-1.91). Those in
241 rural areas had a lower risk of reporting cataract symptoms (AOR:0.75; 95% CI:0.65-0.86), while those
242 with no education (AOR:1.51; 95% CI:1.13-2.01) faced a higher risk compared to those with tertiary
243 education. Controlling for all else, tobacco users (AOR:0.88; 95% CI:0.77-0.99) and those with
244 hypertension (AOR:0.85; 95% CI:0.75-0.96) had a slightly lower risk. Risks were higher for those who
245 reported angina (AOR:1.40; 95% CI:1.11-1.76), arthritis (AOR:1.50; 95% CI:0.1.31-1.71), asthma
246 (AOR:1.24; 95% CI:1.01-1.53), depressive symptoms (AOR:2.12; 95% CI:1.71-2.63), low visual acuity
247 (AOR:1.62; 95% CI:1.44-1.83), and moderate (AOR:2.72; 95% CI:2.38-3.11) or severe vision problems
248 (AOR:3.67; 95% CI:3.13-4.32).

249
250 Results for cataract surgery are provided in Model 3. Odds for uptake of surgery were higher with
251 increasing age (ages 60-69 AOR:2.11; 95% CI:1.53-2.93; ages 70+ AOR: 3.31; 95% CI:2.34-4.68) and
252 among those with depressive symptoms (AOR:1.73; 95% CI:1.18-2.52). Those who used tobacco
253 (AOR:0.75; 95% CI:0.57-1.00), consumed sufficient fruits and vegetables (AOR:0.62; 95% CI:0.41-0.92),
254 had moderate (AOR:0.65; 95% CI:0.48-0.89) to severe/extreme vision problems (AOR:0.36; 95% CI:0.27-
255 0.49), and reported moderate (AOR:0.74; 95% CI:0.56-0.98) or bad (AOR:0.53; 95% CI:0.33-0.83) quality
256 of life had lower odds of uptake of cataract surgery.

257 258 **Discussion**

259
260 Worldwide, cataracts are a major cause of avoidable blindness; without appropriate planning, cataracts
261 are likely to burden healthcare systems in LMICs as life expectancy increases (Sobti & Sahni, 2013). Our

262 results suggest India is not an exception to this trend. We found cataracts are highly prevalent among
263 older adults in India, with approximately 1-in-5 people reporting a diagnosis. Even accounting for other
264 risk factors, we found an increased risk of cataracts with increasing age and for individuals with other
265 conditions, including diabetes, arthritis, depression, and lower visual acuity. These findings, which
266 provide population-level results, are consistent with previous regional and hospital-based studies in
267 India (Mukesh et al., 2006; Singh et al., 2019; Vashist et al., 2011).

268
269 Older adults living without a partner (separated/divorced/widowed) reported a higher prevalence of
270 cataracts compared to married individuals. This may imply that older persons living alone are less likely
271 to access healthcare services (Yawson et al., 2014), related to other social support factors. Older adults
272 with visual impairments may have multiple disabilities, and would need more assistance (physical, social
273 and economic) to access eye care services. Living alone may limit the availability of this assistance.

274
275 Persons with higher education, higher income, and health insurance all had a significantly higher risk of
276 cataracts, similar to findings from a rural population of southern India (Nirmalan et al., 2004). Wealthier,
277 more educated individuals may be better-able to access eye care services due to knowledge of services,
278 ability to afford costs involved in seeking healthcare, and improved financial access to healthcare
279 through the national health insurance scheme (Yawson et al., 2014). Conversely, individuals with less
280 education may not seek preventive/appropriate eye care services. Improved literacy among the elderly
281 may encourage timely visits to medical facilities for early diagnosis and treatment.

282
283 Prevalence of self-reported cataracts was also relatively higher in persons with other health-related
284 factors such as diabetes, hypertension, angina, asthma, chronic lung disease, depressive symptoms, and
285 low visual acuity. These findings agree with those in other studies in other LMICs that found similar
286 associations (Mukesh et al., 2006; Nirmalan et al., 2004; Yawson et al., 2014).

287
288 Risk factors for symptoms and diagnosis differed somewhat across outcomes, possibly reflecting
289 differences in health knowledge and healthcare access. For instance, respondents who had used
290 tobacco had a lower prevalence of self-reported cataracts. One possibility is that individuals who smoke
291 infrequently/not at all are more health-conscious, and are therefore more likely to visit physicians
292 regularly. This would increase the risk of diagnosis without impacting the risk of cataracts overall, and
293 would therefore result in a positive association seen here. Similarly, because questions regarding
294 surgery were only asked of those with a diagnosis, these models include a group that has selected into
295 healthcare access.

296
297 Although the SAGE data are now somewhat dated, they represent the most recent population-level data
298 of this nature for the elderly population in India, and so remain an important source of data for
299 documenting cataract risks at the population level. Cataracts account for a large portion of the burden
300 of non-communicable diseases in this age group, but estimates for the prevalence of self-reported
301 cataracts obtained from previous hospital-based/clinical data sources have varied widely (Gupta et al.,
302 2007; Sobti & Sahni, 2013; Vashist et al., 2011). Undiagnosed cataracts going undetected in medical
303 studies suggests the strong potential for a downward bias in previous estimates using medical data. At

304 the national level in India, there is limited nationally representative scientific data on prevalence of self-
305 reported cataracts. The WHO SAGE data provide much-needed population level evidence on self-
306 reported cataract prevalence, diagnosis, and surgery among older adults.

307
308 Our study provides critical insights into the vast heterogeneity of the problem of cataracts among older
309 people within India. The SAGE data offer a novel opportunity to investigate pathways of interaction
310 between sociodemographics, health behaviours, and self-reported cataract symptoms, diagnosis, and
311 surgery uptake. As data from the longitudinal component of SAGE become available in the future, it will
312 be possible to develop and test hypotheses that build from the results on the prevalence and correlates
313 of self-reported cataracts presented here.

314
315 Using the WHO SAGE data, we were able to identify possible factors that contribute to vulnerability and
316 resilience, with the aim of targeting public health programs toward those most likely to suffer from self-
317 reported cataracts in India. Insights from our study may also be relevant to other low- and middle-
318 income countries in which cataract studies to date have typically focused on national average statistics
319 without identifying sociodemographic risk factors, or which have primarily relied on hospital- and clinic-
320 based data.

321
322 Our study identifies sociodemographic groups at risk of having an unmet need for cataract surgery—
323 crucially, this includes those who would be overlooked in data from medical settings. While the specific
324 prevalence of cataracts and population composition may feasibly have shifted somewhat since the SAGE
325 data were collected, changes in population composition in terms of sociodemographics are traceable
326 through other national-level data sources, even where cataract prevalence itself cannot be tracked. The
327 unique insights into sociodemographic risk factors our findings provide can thus be used in combination
328 with more recent sociodemographic data to identify areas of the country where health systems might
329 face particular challenges. Our findings also indicate the need for policymakers to address gaps in the
330 health care system in the form of unmet need.

331
332 A public health approach of behavioural modification (for modifiable and preventable risks, e.g. obesity)
333 may improve the eye health of older persons in India. Our findings suggest that greater engagement
334 with the healthcare system is associated with greater rates of diagnosis. For older adults, a holistic
335 approach to clinical practice, in which physicians screen for a range of age-related conditions (e.g.
336 cataracts) during visits for specific illnesses, may reduce the risk of undiagnosed cataracts, and may
337 encourage treatment, foster a higher quality of life, and reduce risks of co-morbidity.

338 339 *Limitations*

340 Previous work has primarily focused on particular regions or hospital-based samples, and may therefore
341 suffer from selection bias. Our study uses large, nationally representative data from India, a middle-
342 income country experiencing increasing non-communicable disease risk (Oyebode et al., 2015). A key
343 strength of our approach is that we were able to examine risks for populations who may not seek care
344 (for various reasons, potentially including structural barriers to accessing care) and so would be omitted

345 samples from medical settings. As a result, we were able to shed light on risks for an under-represented
346 population.

347
348 However, our measure of cataracts relies on self-reported diagnosis which may result in
349 underestimation of prevalence rates compared to measured rates (Andresen et al., 2005). It is possible
350 that there are sociodemographic differences in both access to physicians and other health risks. Thus,
351 increased prevalence may potentially imply improved access to healthcare rather than an increased disease
352 burden. To address this concern, we also examined self-reported symptoms of cataracts. Taking into
353 account the possible bias introduced by disease prevalence derived from self-reported physician
354 diagnosis (Allotey et al., 2014; Basu & King, 2013; Hosseinpoor et al., 2012; Levesque et al., 2013), WHO
355 SAGE incorporated a number of alternate methods of estimating disease – using a mixture of self-
356 reported diagnosis cum validated symptom reporting-based diagnostic algorithms, and objective health
357 measurements criteria (Arokiasamy et al., 2015; Kowal et al., 2012; Naidoo, 2012). These measures
358 point to the robustness and validity of the self-reported diagnosis measure used here.

359
360 It is possible that recall bias and respondents' baseline level of knowledge about ocular conditions could
361 impact both on accurate recollection of diagnosis, and also on self-reporting of symptoms. This concern
362 could likewise apply to other self-reported health conditions, such as diabetes. Linking self-reports to
363 hospital data for diagnosis and health conditions could help to mitigate this risk, but was not possible
364 using the anonymized SAGE data.

365
366 However, the SAGE team recognized population-specific risks to reliability and validity, and took every
367 reasonable measure to mitigate these risks (WHO, 2006). Specifically, where respondents were unable
368 to respond for themselves, either due to physical or mental limitations on their capacity to respond,
369 proxy respondents were invited to respond where possible. The team also used cards with written
370 prompts to provide standardized clarification on any concepts with which respondents might have
371 struggled. SAGE interviewers were trained to identify and address a range of population-specific
372 challenges (e.g. difficulty understanding the question, misinterpretation of the question, digression from
373 the topic, providing incomplete or unclear information) in order to minimize the risk of bias.

374
375 Previous research suggests that the use of symptom-based and criterion-based measures of diseases
376 from population surveys can be a viable option for tracking disease prevalence. A study by Vellakkal et
377 al. (2013) based on WHO-SAGE data revealed that the socioeconomic patterning of non-communicable
378 disease (NCD) prevalence differs markedly when assessed by standardized criteria versus self-reported
379 diagnoses, indicating likely under-diagnosis and under-reporting of diseases among the poor. Another
380 study (Vellakkal et al., 2015), also using SAGE data, showed that socioeconomic inequalities in NCD
381 prevalence tend to be artefactually positive when using self-report measures compared with symptom-
382 based or criterion-based diagnostic criteria, with greater bias occurring in low-income countries. The
383 authors concluded that using standardised, symptom-based measures, as is the WHO SAGE practice,
384 provides more valid estimates of NCD inequalities.

385

386 Thus, although there is a risk of bias, this risk has been addressed to the greatest extent possible, and is
387 balanced against the significant benefit of a large sample from a representative population survey (as
388 noted above). This is especially beneficial for highlighting the experiences of groups with limited
389 healthcare access, who may face a particularly high risk of unoperated cataracts, but who would be
390 omitted from hospital- and clinic-based samples.

391
392 In a similar vein, we found strong evidence of co-morbidity, with higher prevalence of cataracts among
393 those with chronic conditions such as diabetes, arthritis, and depression. Rather than this representing a
394 causal link, it is possible that individuals with other conditions may interact with healthcare
395 professionals more frequently, and may be therefore be more likely to receive care for and diagnosis of
396 a range of health problems, including cataracts.

397
398 It was not possible to distinguish between cataracts which had been surgically removed and unoperated
399 cataracts. While not problematic for estimating prevalence, it is likely that some sociodemographic
400 groups are at increased risk of unoperated cataracts arising from limited access to healthcare. Our
401 analysis, however, provides information on the prevalence of self-reported diagnosed cataracts among
402 older persons across India, and will serve as a useful starting point for further investigations.

403

404 *Conclusion*

405

406 Cataracts remain a major public health problem in India, particularly among older adults and those living
407 without a partner. Risk modification through primary prevention and health promotion efforts may
408 contribute to reduced risk at the population level. Likewise, behavioural modification, including through
409 public health campaigns, are key efforts to limit the burden of cataracts in India. Well-structured
410 national outreach eye care services for rural residents and inclusion of basic eye health services at sub-
411 district health levels of India's primary healthcare structure are needed. Routine clinical screening for a
412 range of age-related conditions such as cataracts may increase diagnosis, treatment, and overall quality
413 of life.

414

415

416

417 **Conflict of interest:** None to declare

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556

557

558 **Table 1** Sample distribution and prevalence of self-reported diagnosed cataracts, cataract symptoms
559 and cataract surgery uptake in older adults (50 and above) by socioeconomic and demographic
560 characteristics and health related factors in India, WHO-SAGE Wave 1, 2007-2010.
561

Characteristics	Sample distribution %[n]	Self-reported diagnosed cataracts %[n=6558]	Chi-square P value	Cataract symptoms %[n=6557]	Chi-square P value	Cataract surgery %[n=1293]	Chi- square P value
Total	100.0[6558]	18.7		62.0		51.8	
Age groups			<0.001		<0.001		<0.001
50-59	44.1[2939]	10.0		55.6		37.8	
60-69	30.3 [2234]	19.5		61.2		51.1	
70 and above	25.6 [1385]	32.7		73.8		59.7	
Sex			0.801		<0.001		0.080
Male	50.5 [3303]	17.0		56.9		48.5	
Female	49.5 [3255]	20.4		67.1		54.5	
Place of residence			0.075		<0.001		<0.001
Urban	31.2 [1676]	18.3		55.4		55.6	
Rural	68.8 [4882]	18.9		64.9		50.2	
Marital status			<0.001		<0.001		0.200
Currently married	74.9 [4861]	15.9		59.4		51.2	
Not in marital union	25.1 [1697]	27.2		69.5		52.8	
Education			0.013		<0.001		0.506
No education	51.6 [3364]	19.0		68.2		55.2	
Primary school or less	24.9 [1674]	20.1		59.8		46.7	
Secondary/high school	18.4 [1195]	18.0		53.2		49.8	
Tertiary or higher	5.2 [325]	11.8		41.1		48.3	
Household income quintiles			0.349		<0.001		0.035
Q1 (Lowest)	18.1 [1062]	16.4		67.0		44.2	
Q2	19.3 [1218]	18.2		63.6		49.8	
Q3	18.7 [1206]	17.7		64.7		42.5	
Q4	19.6 [1407]	18.4		58.8		56.9	
Q5 (Highest)	24.2 [1627]	21.8		57.7		60.2	
Health insurance status			0.866		0.074		0.431
Without insurance	96.1 [6252]	18.7		62.4		52.5	
With insurance	3.9 [306]	18.8		50.9		34.3	
Tobacco ever use			0.775		0.278		<0.001
Yes	54.4 [3448]	18.2		61.6		47.9	
No	45.6 [3109]	19.3		62.3		56.3	
Ever alcohol intake			0.391		0.119		0.001
Yes	15.4 [1039]	18.9		61.9		40.5	
No	84.6 [5519]	18.7		62.0		53.9	
Daily fruits or vegetable intake			0.672		0.411		0.007
No or insufficient (<5 servings/day)	90.8 [5858]	18.8		62.2		53.0	
Sufficient (>=5 servings/day)	9.2 [700]	18.0		59.8		40.6	
Measured BMI status			0.573		<0.001		0.627
Normal (18.5-24.9kg/m ²)	47.5 [3205]	17.5		59.1		50.9	
Underweight (<18.5kg/m ²)	39.3 [2240]	19.6		67.1		49.9	
Overweight (≥25.0 kg/m ²)	13.2 [922]	19.4		55.7		58.2	
Hypertension			0.033		0.092		0.052
BP<140/90mmHg	72.4 [4575]	17.9		63.2		50.9	
BP≥140/90mmHg	27.6 [1879]	21.5		58.8		53.8	
Diabetes			<0.001		0.864		<0.001
No	92.9 [6080]	17.7		62.0		49.5	
Yes	7.1 [478]	32.5		61.6		69.0	
Stroke			0.431		0.233		0.018

No	98.0 [6410]	18.6		61.8		51.8	
Yes	2.0 [147]	22.3		71.2		52.4	
Angina pectoris			<0.001		<0.001		0.439
No	91.7[6042]	18.0		60.7		51.1	
Yes	8.3 [516]	26.9		75.8		57.1	
Arthritis			<0.001		<0.001		0.132
No	75.5[4975]	16.3		58.5		53.2	
Yes	24.5[1581]	26.0		72.8		49.0	
Asthma			0.002		<0.001		0.090
No	88.7[5805]	18.2		60.5		52.7	
Yes	11.3[753]	22.9		73.2		45.7	
Chronic lung disease			<0.001		<0.001		0.008
No	83.8[5515]	17.7		59.0		51.7	
Yes	16.3[1043]	24.1		77.0		52.3	
Depression			<0.001		<0.001		0.564
No	87.6[5835]	18.0		59.1		52.1	
Yes	12.4[722]	23.6		82.5		50.0	
Low visual acuity			<0.001		<0.001		0.066
No	30.2 [2055]	12.5		47.4		62.4	
Yes	69.8 [4350]	20.9		67.6		49.1	
Self-reported vision problem			<0.001		<0.001		<0.001
None/mild	54.0 [3440]	14.1		47.4		58.3	
Moderate	24.4 [1690]	18.2		75.1		49.5	
Severe/extreme	21.6 [1424]	30.8		83.4		46.0	
Self-reported quality of life			0.114		<0.001		0.001
Good	35.8 [2180]	19.0		53.2		62.0	
Moderate	53.3 [3638]	18.5		65.2		47.7	
Bad	10.9 [729]	19.0		74.6		38.7	

562

563

564 **Table 2** Factors associated with self-reported diagnosed cataracts in older adults (50 and above) in India,
 565 WHO-SAGE Wave 1, 2007-2010.

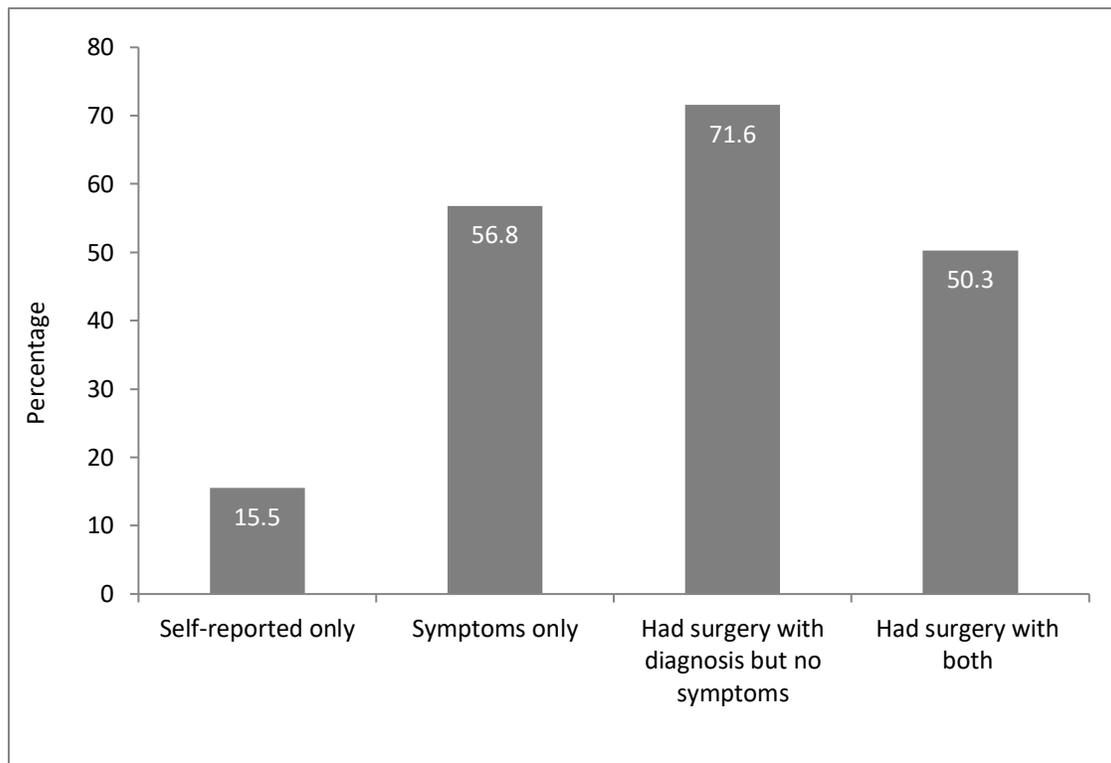
Characteristics	Model 1		Model 2		Model 3	
	Self-reported diagnosed cataracts (n=6217)		Cataract symptoms (n=5031)		Cataract surgery (n=1185)	
	Adjusted OR[95%CI]	P value	Adjusted OR[95%CI]	P value	Adjusted OR[95%CI]	P value
Age groups						
50-59	1		1		1	
60-69	1.90 [1.61-2.25]	<0.001	1.14 [1.01-1.30]	0.040	2.11 [1.53-2.93]	<0.001
70 and above	3.61 [2.99-4.34]	<0.001	1.62 [1.38-1.91]	<0.001	3.31 [2.34-4.68]	<0.001
Sex						
Male	1					
Female	0.91 [0.76-1.09]	0.301	1.13 [0.97-1.31]	0.106	1.12 [0.80-1.58]	0.498
Place of residence						
Urban	1		1		1	
Rural	0.91 [0.77-1.08]	0.264	0.75 [0.65-0.86]	<0.001	0.74 [0.55-1.01]	0.057
Marital status						
Not in marital union	1		1		1	
Currently married	0.81 [0.69-0.96]	0.013	1.00 [0.87-1.16]	0.966	1.02 [0.76-1.37]	0.907
Education						
No education	1.27 [0.86-1.90]	0.233	1.51 [1.13-2.01]	0.005	1.62 [0.75-3.49]	0.219
Primary school or less	1.47 [1.00-2.16]	0.052	1.25 [0.94-1.65]	0.125	1.07 [0.51-2.26]	0.854
Secondary/high school	1.54 [1.05-2.26]	0.028	1.13 [0.86-1.50]	1.374	1.22 [0.58-2.56]	0.606
Tertiary or higher	1		1		1	
Household income quintiles						
Q1 (Lowest)	1		1		1	
Q2	1.11 [0.87-1.41]	0.396	1.00 [0.82-1.21]	0.802	1.24 [0.81-1.91]	0.322
Q3	1.02 [0.80-1.31]	0.848	1.01 [0.83-1.22]	0.959	1.01 [0.65-1.56]	0.967
Q4	1.18 [0.93-1.51]	0.173	0.98 [0.80-1.19]	0.871	1.38 [0.89-2.15]	0.146
Q5 (Highest)	1.26 [0.98-1.63]	0.071	0.99 [0.80-1.21]	0.885	1.28 [0.81-2.01]	0.285
Health insurance status						
Without insurance	1		1		1	
With insurance	1.010 [0.79-1.52]	0.573	1.23 [0.95-1.61]	0.121	0.79 [0.43-1.45]	0.446
Tobacco ever use						
Yes	0.91 [0.78-1.06]	0.234	0.88 [0.77-0.99]	0.042	0.75 [0.57-1.00]	0.049
No	1		1		1	
Ever alcohol intake						
Yes	1.22 [1.00-1.49]	0.052	1.08 [0.92-1.28]	0.332	0.79 [0.55-1.14]	0.208
No	1		1		1	
Daily fruits or vegetable intake						
No or insufficient (<5 servings/day)	1		1		1	
Sufficient (>=5 servings/day)	0.97 [0.77-1.21]	0.780	1.04 [0.87-1.25]	0.652	0.62 [0.41-0.92]	0.018
Measured BMI status						
Normal (18.5-24.9kg/m ²)	1		1		1	
Underweight (<18.5kg/m ²)	0.96 [0.82-1.12]	0.584	0.99 [0.87-1.13]	0.695	1.07 [0.80-1.41]	0.654
Overweight (≥25.0 kg/m ²)	1.06 [0.86-1.31]	0.578	1.01 [0.85-1.19]	0.915	0.99 [0.67-1.46]	0.963
Hypertension						
BP<140/90mmHg	1		1		1	
BP≥140/90mmHg	1.07 [0.93-1.25]	0.348	0.85 [0.75-0.96]	0.010	0.96 [0.73-1.25]	0.743
Diabetes						
No	1		1		1	
Yes	1.44 [1.13-1.83]	0.003	1.10 [0.88-1.37]	0.402	1.28 [0.84-1.96]	0.254
Stroke						
No	1		1		1	
Yes	1.06 [0.68-1.64]	0.811	1.10 [0.74-1.62]	0.647	2.31 [0.97-5.46]	0.057

Angina pectoris						
No	1		1		1	
Yes	1.20 [0.95-1.52]	0.135	1.40 [1.11-1.76]	0.005	0.89 [0.58-1.34]	0.567
Arthritis						
No	1		1		1	
Yes	1.42 [1.22-1.65]	<0.001	1.50 [1.31-1.71]	<0.001	0.77 [0.59-1.01]	0.063
Asthma						
No	1		1		1	
Yes	0.90 [0.71-1.13]	0.356	1.24 [1.01-1.53]	0.045	0.87 [0.58-1.33]	0.529
Chronic lung disease						
No	1		1		1	
Yes	1.16 [0.94-1.42]	0.164	1.14 [0.95-1.37]	0.163	0.79 [0.55-1.13]	0.196
Depression						
No	1		1		1	
Yes	1.20 [0.97-1.49]	0.088	2.12 [1.71-2.63]	<0.001	1.73 [1.18-2.52]	0.005
Low visual acuity						
No	1		1		1	
Yes	1.64 [1.39-1.93]	<0.001	1.62 [1.44-1.83]	<0.001	0.90 [0.66-1.23]	0.496
Self-reported vision problem						
None/mild	1		1		1	
Moderate	1.35 [1.14-1.60]	<0.001	2.72 [2.38-3.11]	<0.001	0.65 [0.48-0.89]	0.007
Severe/extreme	2.13 [1.79-2.53]	<0.001	3.67 [3.13-4.32]	<0.001	0.36 [0.27-0.49]	0.000
Self-reported quality of life						
Good	1		1		1	
Moderate	0.96 [0.82-1.12]	0.612	1.12 [0.99-1.27]	0.074	0.74 [0.56-0.98]	0.038
Bad	0.85 [0.66-1.10]	0.227	1.11 [0.89-1.39]	0.345	0.53 [0.33-0.83]	0.006

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568 Figure 1. Percentage distribution of overlapping cases for self-reported diagnosed cataracts, symptoms,
569 and surgery, WHO SAGE, India 2007-10.



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