

1 **Ultra-lightweight high ductility cement composite incorporated with low PE**
2 **fiber and rubber powder**

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7 **Abstract**

8 This paper presents the development and performance assessment of a novel ultra-lightweight high
9 ductility cement composite (ULHDCC) incorporated with fly ash cenospheres, rubber powders
10 and low fiber content of 0.7%. To address the brittle nature of such cement composite, this paper
11 utilized the surface treated polyethylene (PE) fibers to improve the ductility behavior, and used
12 rubber powders replacing part of cenospheres to reduce the matrix fracture toughness to achieve
13 the pseudo-strain-hardening (PSH) performance. A fracture micromechanics-based investigation
14 was performed to explain the high tensile ductility behavior of the ULHDCC. The mechanical
15 properties including compressive and tensile strength, elastic modulus and microstructure has been
16 experimentally examined. The results showed that the ULHDCC had the compressive strength
17 ranging from 35.2MPa to 43.5MPa. The tensile strain in direct tensile test achieved 3% even with
18 low fiber content of 0.7% PE fibers by volume. A relatively large amount of FAC (fly ash
19 cenospheres) and rubber powder increased the entrapped air voids in the ULHDCC and reduced
20 its density and strength. The ductility of ULHDCC was improved with the incorporation of rubber
21 powder. Compared to normal engineering cement composite (ECC), to achieve similar tensile
22 strain capacity the fiber content has been reduced 50% which leads to reduce the cost significantly.

23 **Keywords:** ECC; FAC; Fiber-reinforced; Lightweight concrete; High ductility

24 **1. Introduction**

25 Lightweight aggregate concrete (LWAC) has the characteristics of density less than
26 1950kg/m^3 and compressive strength between 10-35MPa [1]. LWAC can be used in
27 industry and building structures to greatly reduce the self-weight, thereby reducing the
28 amount of reinforcement in beams and columns, and the transportation, lifting and labor
29 costs [2-6]. LWAC has been used in high-rise buildings, prefabricated structures, long-
30 span bridges, offshore platform structures and other self-weight-sensitive structures [7-
31 9]. Traditional lightweight aggregate concrete is made by using, e.g., expanded shale
32 [10], expanded perlite [11], expanded polystyrene [12] and other natural or artificial
33 materials. Although lightweight aggregate can reduce the density of concrete, the
34 cylindrical compressive strength is normally low, which makes the compressive
35 strength of concrete generally fail to meet the required structural design standard. This
36 also leads to short-term construction, long-term shrinkage and deformation creep
37 problems. To further lower the structural weight of offshore platform structures and
38 improve the compressive strength of lightweight aggregate concrete, Chia et al. [13, 14]
39 developed a new type of ultra-light cement composite material (ULCC) and applied it
40 to the steel-concrete-steel composite structure [6, 9, 15-17]. The measured apparent
41 density of ULCC is 1450kg/m^3 only, and its 28-day compressive strength can reach
42 60MPa, and its specific strength can reach $42.8\text{kPa}/(\text{kg/m}^3)$. The low density and high
43 strength of ULCC was achieved by using cenospheres as fine aggregates. Cenospheres
44 come from a by-product of coal-fired thermal power plants [18]. They have a thin hard
45 shell on the outside and hollow interior filled with inert gas [19, 20]. Although the
46 brittleness of ULCC limits its wider range of applications, its higher specific strength
47 alone has obvious advantages. To reduce the brittleness of ULCC, it is feasible to mix
48 fibers in the cement matrix [21].

49 Engineering cementitious composites (ECC) are fiber-reinforced cementitious
50 composites with high tensile ductility. ECC were developed by Li in 1990s [22]. It was
51 found that when the volume fraction of fiber does not exceed 2%, the tensile strain
52 capacity of the ECC exceeds 3%, which is two orders of magnitude higher than that of
53 ordinary concrete [22-25]. Table 1 shows the mechanical properties of different ECCs.
54 Most ECCs are blended with 2% fibers to achieve a strain-hardened state and have high
55 tensile ductility. ECCs overcome the inherent brittleness of concrete and exhibit a
56 ductile failure mode. ECCs have a high tensile strain capacity developed by multiple
57 micro-cracks, rather than a local and instantaneous fracture crack [26]. In previous
58 studies, polyvinyl alcohol (PVA) [27, 28], polyethylene (PE) [29, 30] and
59 polypropylene (PP) [31, 32] fibers have been added to cement matrix to bridge the
60 growth of cracks, prevent the development of larger cracks and improve the tensile
61 capacity of ECC. The downside of using EEC for large scale construction is the cost of
62 fibers that exceeds three-quarters of the overall ECC cost [25, 33]. Thus, how to reduce
63 fiber content while maintain high tensile strength of ECC has become a significant
64 problem that demands urgent attention.

65 The number of rubber waste produced by waste tires increases with the rapid
66 development of the automobile industry. Rubber may be grinded into smaller sizes and
67 mixed with concrete as aggregates [34]. Adding rubber powder to replace fine
68 aggregates can reduce density and improve ductility, toughness, impact resistance and
69 thermal properties [35-37]. Adding rubber powder into ECC can reduce explosion
70 spalling of ECC in fire [38], improve tensile strain capacity and crack resistance [39],
71 improve ductility, reduce permeability [40], promote fiber dispersion and control
72 matrix strength [27]. It is also recognized that adding rubber powder will considerably
73 reduce the strength and stiffness of concrete. However, it has been found that graphene

74 oxide can improve stiffness [41], and nano-silica [42] and high pozzolanic cementitious
75 materials, such as silica fume and metakaolin, can reduce the negative impact on
76 strength of concrete with rubber [43, 44]. Additionally, using rubber as aggregate is an
77 effective method for recycling waste rubber and can reduce the cost of concrete and
78 further decrease carbon footprint [45, 46].

79 In present study, a new cement composite material is developed by using rubber
80 powders of different particle sizes to replace the cenospheres in ULCC with added PE
81 fibers. By determining the mixing ratio, the research aims at achieving lightweight, high
82 strength, high ductility and workable cement composite for various applications. The
83 density, compressive and tensile strength, and tensile strain capacity of ULHDCC are
84 studied experimentally at macroscopic level. Microscopic scale investigations using
85 Mercury Intrusion Porosimetry (MIP) and Environmental Scanning Electron
86 Microscopy (ESEM) are also carried out provide insights of the new material. On the
87 basis of the micromechanics theory, matrix toughness and fiber/matrix interface
88 properties of ULHDCC are then studied. Finally, the economic benefits of using the
89 proposed mix ratio of this study are discussed, which provides an economic foundation
90 for its application in a wider range of fields.

Table 1. Mechanical properties review of normal Engineering Cement Composites.

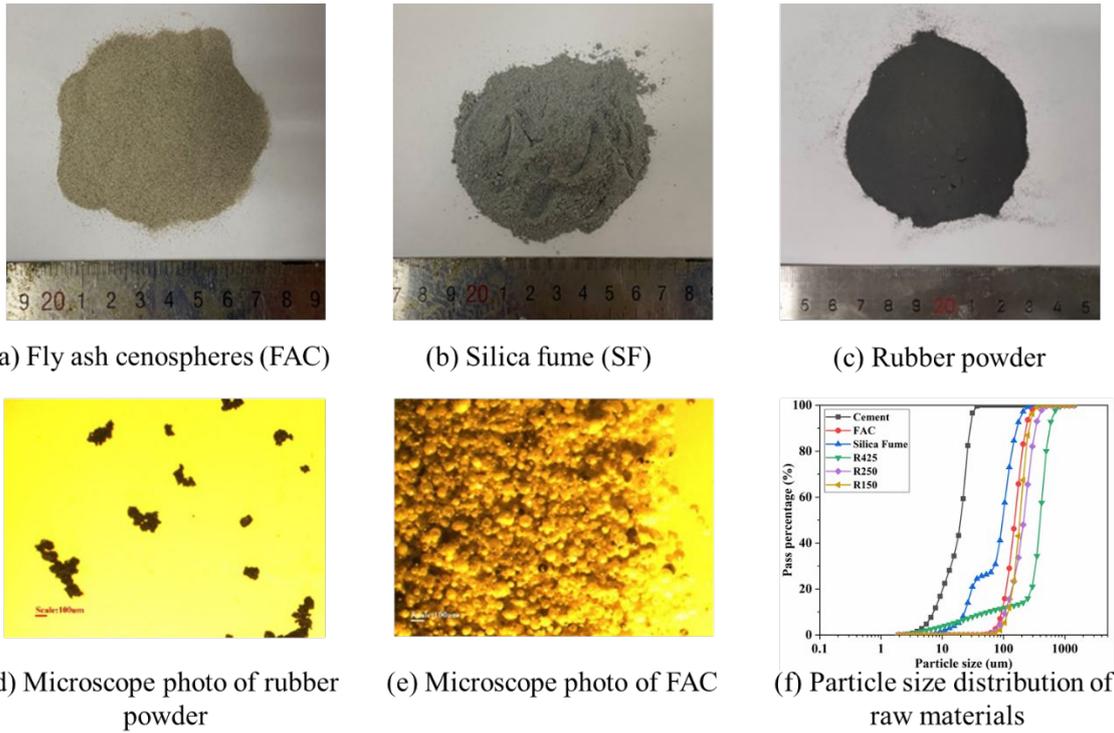
Literature	Fiber used	Strain capacity (%)	28-day Compressive Strength (MPa)	28-day Tensile Strength (MPa)	Density (kg/m ³)
Huang et al. [47]	0.7%PE fibers	3%-5%	24.9-43.5	3.5	1450
Yao et al. [30]	2%PE fibers	8.0%-11.14%	43-115	6.2-16.5	2136-2475
Yu et al. [48]	(0%-2.5%) PVA and (0%-2.5%) ST fibers	0.19%-5.48%	32.73-37.13	5.13-6.06	-
Wang et al. [33]	0%-2%PE and 2% steel fibers	0.37%-11.99%	36.4-48	4.54-8.19	2079.8-2236.8
Huang et al. [27]	2%PVA fibers	3.3%-4.4%	25.0-48.1	2.5-5.9	1649-1820
Yu et al. [29]	2%PE fibers	8.17%	112.69	17.42	2405
Deng et al. [49]	2% PVA fibers	1%	54.60	6.10	-
Chen et al. [50]	2%PVA fibers	1.5%-2.82%	63.94-75.58	3-5	1477.5-1962.2
Li et al. [51]	2% Fibers	1-8%	20-95	4-12	950-2300
Zhou et al. [52]	(0%-2%) PE fibers and (0-2%) ST fibers	2-9%	110.6-150.5	8.5-15.5	2474.4-2612

93 2. Materials and Concrete Mixing Method

94 2.1. Raw materials and mix proportion design

95 The raw materials of ULHDCC include ordinary Portland cement CEM I 52.5R, silica fume
96 (SF), fly ash cenospheres (FAC), rubber powder and PE fibers. Figs. 1(a)-(c) shows the
97 morphology of the raw material. The FAC was with a specific gravity of 870 kg/m^3 , an
98 average size of $20\text{-}300\mu\text{m}$ and a fineness modulus of 0.902 g/cm^3 . Fineness modulus is a
99 measurement of the coarseness of an aggregate. A higher value of fineness modulus
100 represents a coarser aggregate. Fig. 1(e) shows the image of the FAC under a microscope.
101 Using micro silica fume in the mixtures is to enhance the bond strength of the ITZ (interface
102 transition zone) between FAC and cement paste. The rubber powder is produced by grinding
103 scrap tyres. The density of rubber powder with average particle size of $425\mu\text{m}$, $250 \mu\text{m}$ and
104 $150\mu\text{m}$ are 342 kg/m^3 , 326 kg/m^3 and 318 kg/m^3 , respectively. Fig. 1(c) shows the picture of
105 rubber powder while Fig. 1(d) shows the image of rubber powder under a microscope. From
106 Fig. 1(f), the particle distribution of rubber powder is similar to that of FAC. To obtain high
107 tensile strain capacity, the addition of polyethylene (PE) fibers was optimized to all groups.
108 Table 2 shows the mechanical properties of the PE fibers. Polycarboxylate-based
109 superplasticizer was adopted to obtain the workability of the mixture and to achieve uniform
110 fibers distribution.

111 In this study, 4 mixtures (including a control group) were prepared to investigate the effect
112 of rubber size on various characteristics of ULHDCC. For all the mixtures, the PE fiber
113 volume fraction was fixed at 0.7% while the water-to-binder ratio was 0.33. A volume
114 fraction replacement of FAC with 5% rubber powder were selected and three particle sizes
115 are used in the experiment. Table 3 shows the mix proportions of the ULHDCC.



117 **Fig. 1. Raw materials and particle size distribution.**

118 **Table 2. Mechanical properties of PE fiber.**

Density (g/cm ³)	Length (mm)	Diameter (µm)	Elastic modulus (GPa)	Tensile strength (MPa)	Fracture elongation (%)
0.97	12	24	120	3000	2–3

119
120 **Table 3. Mix design of ULHDCC.**

Mix ID	OPC	FAC	SF	Water	Rubber	Fiber (PE)	SP	SRA
ULCC-0.7	702.0	339.9	78.0	259.0	0	6.8	7.0	9.0
R425-5-0.7	702.0	322.9	78.0	259.0	18.8	6.8	7.1	9.0
R250-5-0.7	702.0	322.9	78.0	259.0	18.8	6.8	7.1	9.0
R150-5-0.7	702.0	322.9	78.0	259.0	18.8	6.8	7.1	9.0

OPC = cement; SF = silica fume; SP = superplasticizer; SRA = shrinkage reducing agent.

121 **2.2. Mixing Procedures**

122 All the ULHDCC mixtures were prepared in a 10-liter capacity Hobart mixer. First, the raw
 123 materials were weighted, respectively, according to the mixing ratio. Cenospheres, cement,
 124 rubber powder and silica fume were then added to the mixer in sequence and low speed dry
 125 mixed for 5 minutes to ensure the powder uniformly distributed. Next, water and SP were
 126 added slowly, stirring at a low speed for 5 minutes before stirring at a high speed for 3 minutes

127 after adding SRA. This is followed by adding the fibers and stirring the mixer to achieve an
128 even dispersion. Finally, the fresh mixtures were placed into moulds and covered with plastic
129 sheets at the end of initial setting. After 24 hours, the specimens were demoulded and then
130 cured in a fog room for standard 28 days curing (temperature of 23 ± 3 °C at 95% humidity)
131 for 28 days before testing.

132 2.3. Test Methods and Setup

133 2.3.1 Workability and density

134 The fluidity of each mixture was measured based on the GBT 2419-2005 [53]. The density
135 is calculated by the water displacement method according to EN 12390-7 [54] as shown in
136 Eq.(1)

$$137 \rho = \frac{m\rho_w}{m_a - m_w} \quad (1)$$

138 where, m is the mass of oven-dried specimen, in kg; ρ_w is the water density, taken as
139 1000kg/m^3 ; m_a is the specimen mass in air, in kg; m_w is the apparent mass of the immersed
140 specimen, in kg.

141 2.3.2 Compressive test

142 The densities were measured on a 28-day cured cube specimen using the water displacement
143 method. The measurement of elastic modulus and compressive strength of the ULHDCC
144 were performed on $\Phi 100 \times 200$ cylinders by 300 tones MTS machine with the loading rate
145 of 1 mm/min, according to ASTM C39 [55] and ASTM C109 [56], respectively. Before the
146 test, both ends of the cylindrical sample were smeared with plaster for leveling. At least three
147 samples of each mixture were prepared for testing. Fig. 2. shows the typical setup of the
148 elastic modulus and compression tests.



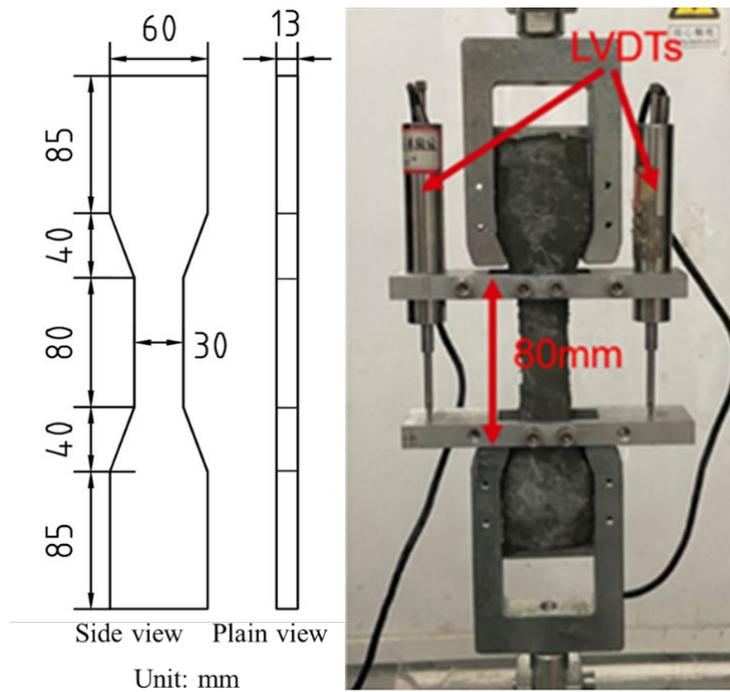
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Fig. 2. Setup for the elastic modulus and compressive test.

151 2.3.3 Uniaxial tensile test

152 The dog-bone shaped specimens were used to conducted to perform the uniaxial tensile test
153 according to JSCE standards [57]. Fig. 3 shows the test setup for uniaxial tensile and
154 dimensions of the dog-bone specimen. At least four dog-bone shaped specimens were
155 prepared for each mix proportion to obtain 28-day tensile properties of the ULHDCC. The
156 tensile test was conducted with a loading rate of 0.2mm/min. Linear variable differential
157 transducers (LVDTs) were used to record the full range of stress-strain curves of the
158 ULHDCC. The gauge length is 80 mm.



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160

Fig. 3. Test setup for tensile test and dimensions of dog-bone specimen.

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2.3.4 Three-point bending test

162

Typical three-point bending tests were conducted on notched beams of

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40mm(W)×40mm(H)×160mm(L) to evaluate the fracture toughness of the matrix. The test

164

setup and specimen size are shown in Fig. 4. The beams were prepared according to the

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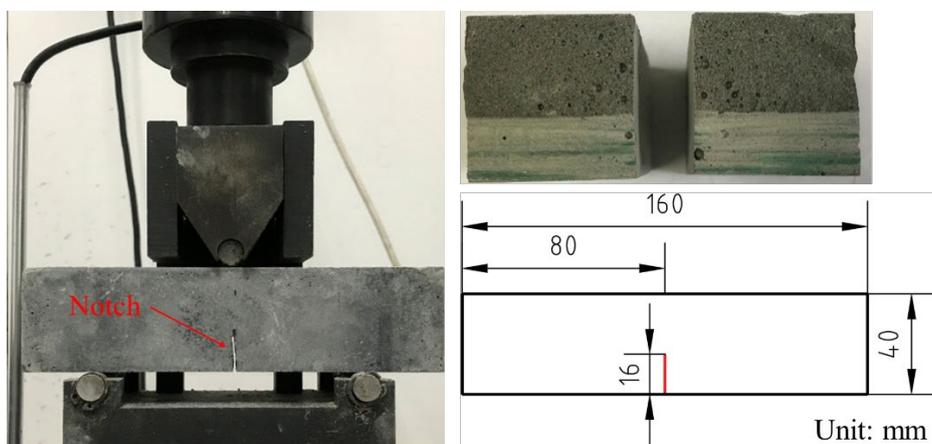
mixture design as shown in Table 3 without fiber added. A pre-notch of 16mm in depth was

166

cut at the mid-span. The notch depth/beam height ratio was set to 0.4. The loading rate was

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set to 0.1mm/min.



168

169

Fig. 4. Three-point bending test setup and dimension of notched beam.

170 2.3.5 Single-crack tensile test

171 A single-crack tensile test was carried out to obtain the relationship ($\sigma - \delta$ curve) between
172 the bridging stress (σ) and the crack opening (δ) of the ULHDCC. Before the test, a cut of
173 smaller than 1mm in width was prepared in the middle of the dog-bone shaped specimen by
174 a saw to generate a single crack and prevent the creation of additional cracks inside or outside
175 the cut, as shown in Fig. 5. Ideally, when a tensile load is applied, a crack should appear at
176 the notch. Fig. 5 shows the dimensions of the specimen and the test setup for single-crack
177 tension. In the test, an extensometer with a gauge length of 5 mm was attached to collect the
178 change of the crack opening.

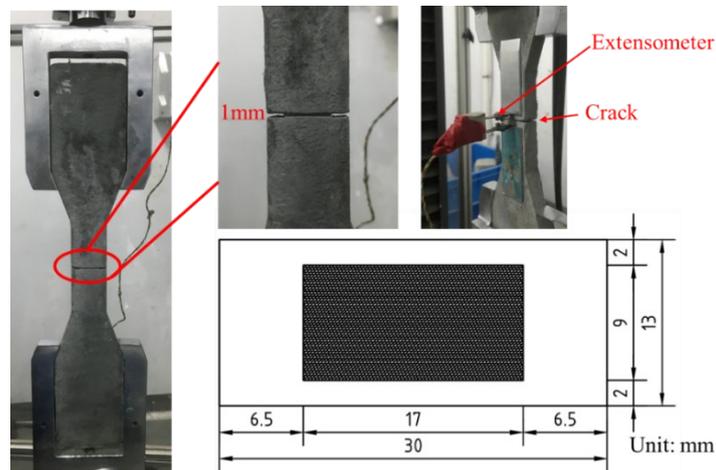


Fig. 5. Single-crack tensile test setup and specimen dimension.

181 2.3.6 SEM and MIP test

182 The morphology of the specimen was studied using the Environmental Scanning Electron
183 Microscope (ESEM) images taken by a Quanta™ TM250 SEM. ESEM can examine different
184 content of water phase without damage [58]. The ESEM operated at 10 kV, at a pressure of
185 10 Pa using a spot size of 3.0. The ESEM specimens were prepared by removing small pieces
186 on the fractured surface from the dog-bone specimen after uniaxial tension test.

187 The Poremaster-60 Mercury Intrusion Porosimetry (MIP) was utilized to evaluate the total
188 porosity and pore structure of the cement composites. The composite samples were cured for

189 28 days before they were broken into small pieces and immersed in absolute ethanol to
190 terminate further hydration. The samples were then dried in a vacuum oven for 48 hours at a
191 temperature of 60°C.

192 3. Experimental Results and Discussions

193 3.1. Density and workability

194 Figure. 6 shows the flow table test for the composites. For ULCC-0.7, R425-5-0.7, R250-5-
195 0.7 and R150-5-0.7, the average flow diameters of each group are 185mm, 195mm, 200mm
196 and 197mm, respectively. Although rubber particles negatively affect the fluidity of the fresh
197 ULHDCC [47], after adding the appropriate amount of superplasticizer, all ULHDCC have
198 excellent workability with a slump flow of about 185-200mm. The density of the ULHDCC
199 is between 1295 kg/m³ and 1332kg/m³, which is less than 1950 kg/m³ as required by the
200 Chinese standard JGJ 51-2002 for lightweight concrete [1]. Among them, the density of the
201 mixture with rubber powder is significantly lower than that without rubber powder. One of
202 the reasons is that the more pores, due to the agglomeration effect and the hydrophobicity of
203 rubber powders, are produced in the cement matrix in the process of mixing.



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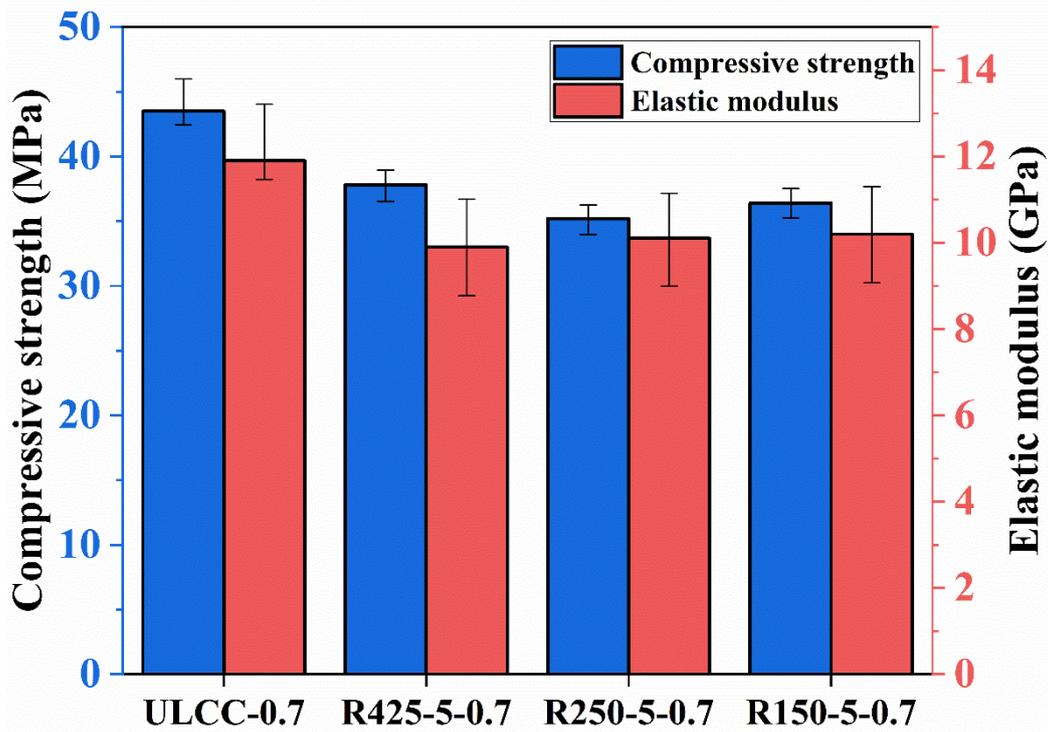
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Fig. 6. Slump flow test for ULHDCC.

206 3.2. Compressive strength and elastic modulus

207 Fig. 7 shows the compressive strength and elastic modulus of ULHDCC. Compared with
208 ULCC-0.7, the compressive strengths of R425-5-0.7, R250-5-0.7 and R150-5-0.7 are
209 reduced by 13.1%, 19.1% and 16.3%, respectively while the elastic modulus decreased by

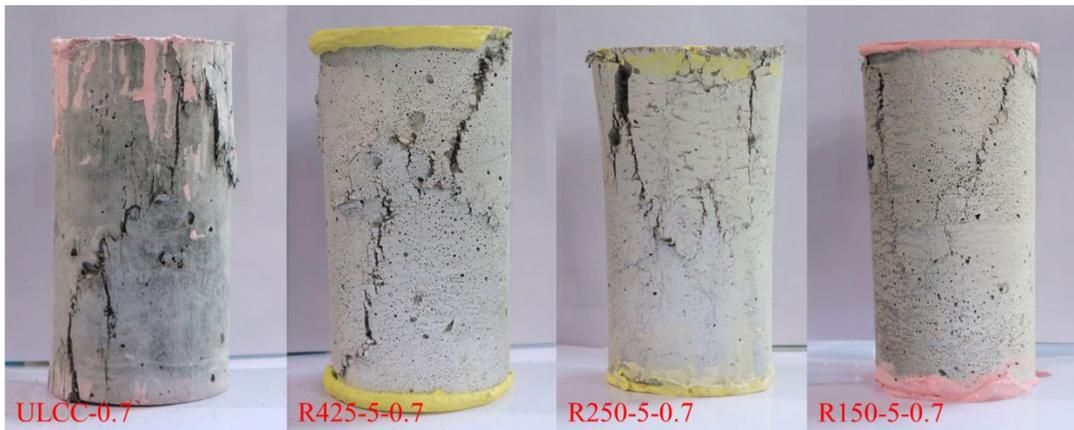
210 16.8%, 15.1% and 14.3%, respectively. After adding rubber powder in the composites both
211 reduced the compressive strength and elastic modulus. However, it appears that particle size
212 of the rubber powder has no obvious effect on the strength and elastic modulus at 5% rubber
213 powder admixture. This may depend on the larger dosage of rubber powder addition. A
214 rubber particle has a hydrophobic surface [39] that results in a weak point between the rubber
215 particle and the surrounding cement-based inorganic materials. This will inevitably lead to
216 lower bonding strength of ITZ and finally weaken the compressive strength of the mixture
217 [47]. In addition, the elastic modulus of rubber is obviously lower than that of concrete.
218 Rubber powder deforms slowly under quasi-static load and disperses in cement-based
219 materials as weak points. When the cenospheres in ULCC are replaced by rubber powder
220 with lower elastic modulus, the modulus of the ULCC also decreases gradually. Fig. 8 shows
221 the failure modes of ULHDCC after the compression test. Due to the lower elastic modulus
222 and larger deformation of rubber, in the compression test, the specimens with rubber powder
223 only had a few cracks on the surface without obvious falling debris, while the specimens
224 without rubber powder were severely crushed and spalled with loud crushing noise. The
225 addition of fiber can prevent the concrete from breaking and spalling. When the specimen is
226 compressed, the concrete matrix is stretched in the transverse direction. The bridging effect
227 of fibers holds the matrix in the cement-based material together, thereby effectively
228 improving the integrity of the specimen.



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Fig. 7. Compressive strength and elastic modulus of ULHDCC.



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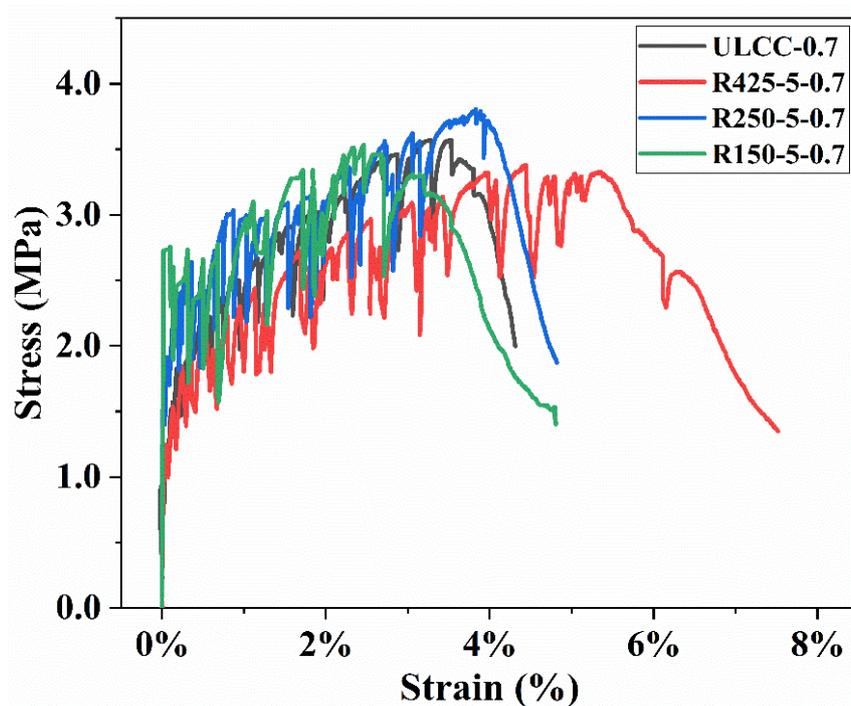
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Fig. 8. Failure modes of ULHDCC samples.

233 3.3. Tensile ductility

234 Figure 9 shows the typical tensile stress-strain curves of the ULHDCC. It can be seen from
 235 the figure that all mix design exhibits excellent strain-hardening behavior. The tensile
 236 strength of each group is about 3.5 MPa, and the strain is more than 3%. Since the strain
 237 capacity is related to the number of cracks and the average crack width, the crack mode is
 238 critical for ECC. The crack mode of the ULHDCC is shown in Figure 10, while Table 4 lists

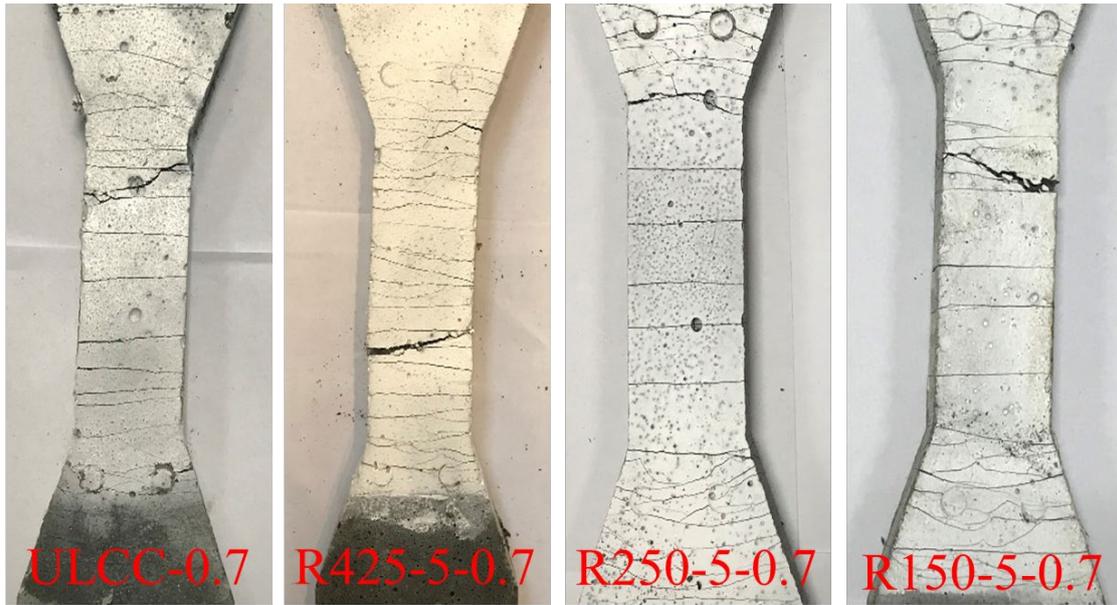
239 the number of cracks and average crack widths. The crack number was only measured
240 within the gauge length (80mm) of the specimen. The average crack width was determined
241 by averaging the measured crack width. The results show that each group of the mixture
242 shows a multi-crack failure mode. R425-5-0.7 has the largest crack number of 23 and has
243 the smallest average crack width of 185um, which are smaller than the cracks in other
244 mixtures. The spacing between adjacent cracks is smaller and closer to the saturated crack
245 state, which improve the strain capacity. The stress-strain curves show that the ULHDCC
246 exhibits superior tensile properties. Figure 11 shows the effect of fiber content on the tensile
247 strain capacity of ULHDCC and conventional ECCs. The strain capacity of the R425-5-0.7
248 group is higher than 5% with only 0.7% fibers. Compared with conventional ECCs,
249 ULHDCC developed in this study has reduced the fiber content by 65% but remaining a
250 tensile strain of higher than 3%. The tensile strain even exceeds that of ECCs with 2% fibers.
251 Therefore, the ULHDCC is a novel cost-effective material with superior mechanical
252 properties.



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Fig. 9. Tensile stress-strain curve of ULHDCC.



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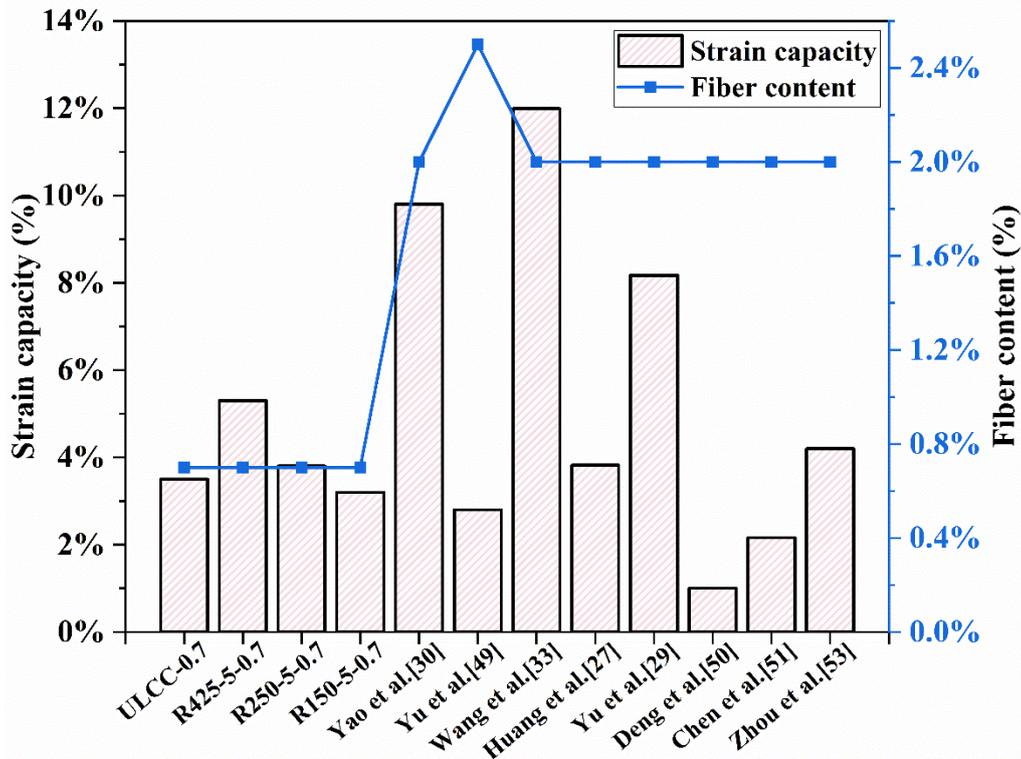
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Fig. 10. Failure morphology after tensile test.

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Table 4. Crack pattern of ULHDCC mixtures.

Item	ULCC-0.7	R425-5-0.7	R250-5-0.7	R150-5-0.7
Number of cracks	12±2	23±3	11±2	10±2
Average crack width (um)	234	185	278	253



258

259

Fig. 11. Fiber content vs. strain capacity of different ECCs.

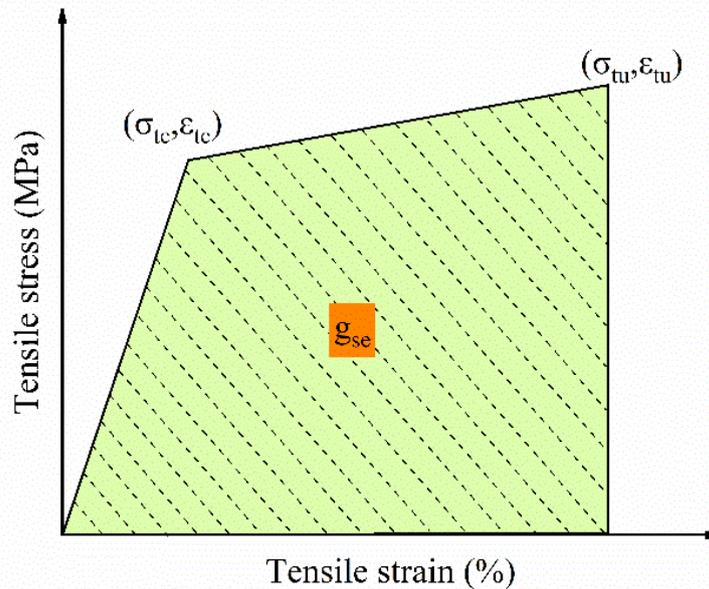
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Figure 12 shows a typical tensile stress-strain relation for ULHDCC [30]. Five key

261

σ_{tc}), first-cracking strain (ε_{tc}), peak stress (σ_{tu}),

262 ε_{tu}), and strain energy (g_{se}), can be used to represent the strain hardening
 263 behavior of ULHDCC. The first-cracking stress σ_{ic} represents the turning point from the
 264 linear elastic part to the strain hardening part of the curve. The value of first-cracking stress
 265 σ_{ic} is determined from the starting point of the strain hardening branch of the curve. The
 266 strain energy g_{se} is defined as the area enclosed the stress-strain curve up to the peak stress
 267 and the two coordinate axes. The details of the above five parameters are summarized in
 268 Table 5.



269
 270 **Fig. 12. Typical stress-strain relationship of high ductility composite [30].**

271 **Table 5. Summary of the average tensile parameters of ULHDCC**

MIX ID	First-cracking stress σ_{ic} (MPa)	First-cracking strain ε_{ic} (%)	Peak stress σ_{tu} (MPa)	Strain capacity ε_{tu} (%)	Energy dissipation capacity g_{se} ($\text{kJ} \cdot \text{m}^{-3}$)
ULCC-0.7	0.95	0.019	3.57	3.506	97
R425-5-0.7	1.25	0.040	3.38	5.330	139
R250-5-0.7	1.65	0.028	3.81	3.829	116
R150-5-0.7	2.72	0.019	3.53	3.161	92

272 **Note: These data were obtained from the average value of three samples.**

273 **As shown in Table 5, a decrease of rubber particle size leads to a decrease of first-cracking**
 274 **strain, strain capacity and strain energy, while an increase of first-cracking stress.** The initial
 275 cracking stress increases from 0.95MPa (ULCC-0.7) to **2.72MPa** (R150-5-0.7). The first-
 276 cracking strength is partly related to the size of voids of the matrix. It is because the particle

277 size of rubber powder (150um and 250um) is with the same scale of cenospheres, which can
278 fill the voids and improve the microstructure of the matrix. However, a higher first-cracking
279 strength indicates that a relatively higher external energy is needed to generate new cracks,
280 which leads to a lower pseudo strain-hardening index (PSH) [59]. The detailed description
281 of PSH will be discussed in Section 3.4. The tensile strength of the ULHDCC is around 3.38
282 to 3.81MPa as shown in Table 5. The tensile strength is determined by the fiber bridging
283 capacity, which is further affected by the fiber properties and fiber/matrix interface. The
284 decrease in tensile strength of R425-5-0.7 mixture may be due to the incorporation of larger
285 size of rubber powder. The addition of rubber has created more air voids and increased the
286 porosity of the matrix, resulting in the decrease of bond between fibers and matrix [39]. The
287 results also show that the strain energy increases with the addition of rubber powder, and
288 R425-5-0.7 has the highest strain energy among these mixtures.

289 3.4. Strain hardening interpretation of ULHDCC with low fiber content

290 In this study, cenospheres were used as fine aggregate in the ULHDCC to achieve high
291 strength and low density. The strain hardening behavior of the ULHDCC was improved by
292 adding 0.7% PE fibers and replacing 5% rubber powder of different particle size. To verify
293 and explain the strain hardening behavior of the ULHDCC with low fiber content, the
294 micromechanics-based theoretical model [60, 61] is introduced. The model requires that ECC
295 should meet two criteria, namely strength and energy criteria, to achieve the strain hardening
296 behavior. The strength criterion requires that the initiating crack strength σ_{fc} must be less
297 than the maximum bridging stress σ_0 . The energy criterion requires that the energy needed
298 for the crack propagation in matrix J_{ip} must be lower than J'_b (complementary energy) to
299 promote crack development and generate multiple cracks. Figure 13 shows the bridging stress
300 σ versus crack opening δ , J_{ip} and J'_b are calculated based on Eqs. (2) - (3)[61].

301 $J_{tip} \leq J_b' \equiv \sigma_0 \delta_0 - \int_0^{\delta_0} \sigma(\delta) d\delta$ (2)

302 $J_{tip} \cong K_m^2 / E_m$ (3)

303 where σ_0 and δ_0 are the fiber bridging stress and the corresponding crack opening. E_m is the
 304 elastic modulus of the matrix. K_m is the fracture toughness, while K_m is obtained by three-
 305 point bending tests on notched beams, following Eq. (4)[62].

306
$$K_m = \frac{1.5 \left(F_Q + \frac{mg}{2} \times 10^{-2} \right) \times 10^{-3} \times S \times a_0^{1/2}}{th^2} f(a)$$
 (4)

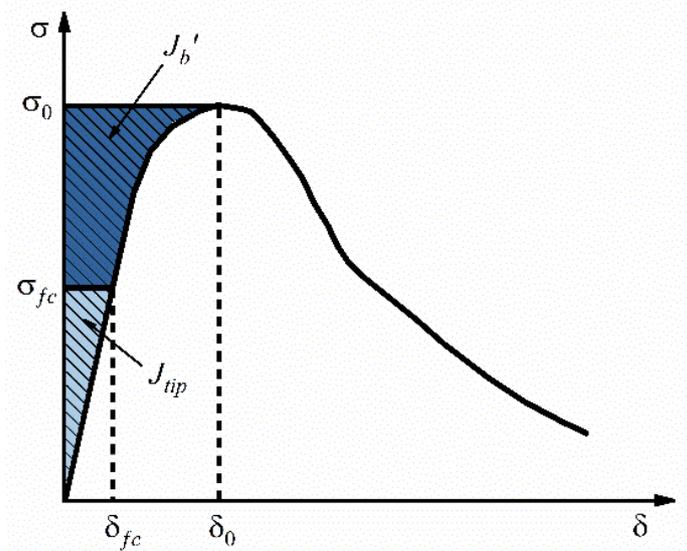
307 where

308
$$f(a) = \frac{1.99 - a(1-a)(2.15 - 3.99a + 2.7a^2)}{(1+2a)(1-a)^{3/2}}$$
 (5)

309 and

310
$$a = \frac{a_0}{h}$$
 (6)

311 where F_Q is the peak load in the three-point bending test; m is the specimen mass; g is the
 312 gravitational acceleration; t is the beam width; h is the thickness of the beam, S is the
 313 clear span of the beam; a_0 is the depth of the internal notch; and $f(a)$ is the shape parameter
 314 of the beam.



316 **Fig. 13. Typical bridging stress-crack opening curve for composite.**

317 To achieve saturated PSH, Kanda and Li [63] proposed two performance index, namely,
 318 stress and energy performance index as presented in Eqs. (7-8) to represent the strain
 319 hardening behavior.

$$320 \quad PSH_{(strength)} = \sigma_0 / \sigma_{fc} \quad (7)$$

$$321 \quad PSH_{(energy)} = J'_b / J_{tip} \quad (8)$$

322 Based on extensive experimental verification and theoretical analysis, the following
 323 recommendations were proposed for materials of high strain-hardening performance

$$324 \quad PSH_{(strength)} > 1.2 \text{ and } PSH_{(energy)} > 3 \text{ [63]} \quad (9)$$

$$325 \quad PSH_{(strength)} > 1.3 \text{ and } PSH_{(energy)} > 2.7 \text{ [64]} \quad (10)$$

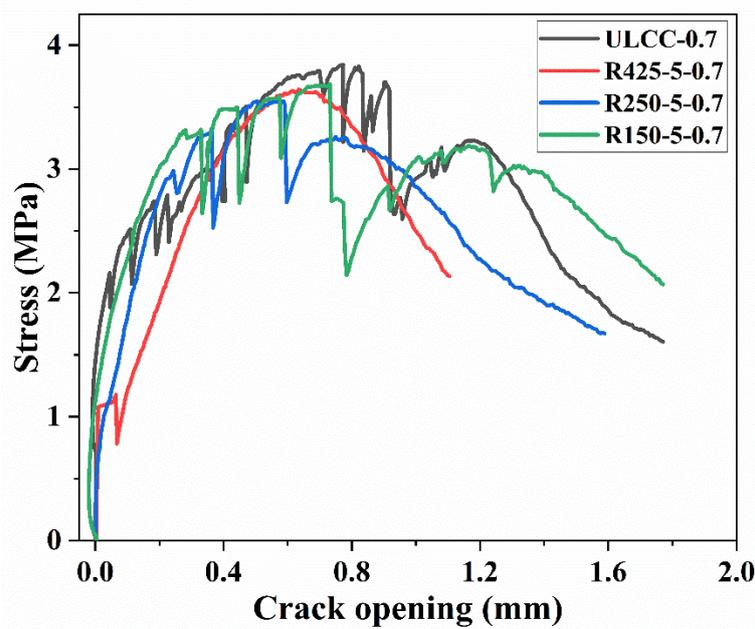
$$326 \quad PSH_{(strength)} > 1.5 \text{ and } PSH_{(energy)} > 3 \text{ [65]} \quad (11)$$

327 In this study, the target value of PSH indeies were set as $PSH_{(strength)} = \sigma_0 / \sigma_{fc} > 1.3$ and

328 $PSH_{(energy)} = J'_b / J_{tip} > 3$ to determine the high strain-hardening properties of the ULHDCC.

329 From the single-crack tensile test on the notched beam, the stress-crack opening curves is
 330 shown in Fig. 14. **The peak stress reduced by the addition of rubber powder, while the effect**
 331 **of rubber particle size on the peak stress is marginal.** Table 6 presents the relationship

332 σ_0 and the crack-opening δ_0 . The values of $PSH_{(strength)}$ are
 333 calculated by Eq. (7) and presented in Table 6. The $PSH_{(strength)}$ of all the mixtures are larger
 334 than 1.3. It can be seen from Table 6 that after adding rubber powder, the first crack stress,
 335 σ_{fc} , increases and the maximum fiber bridging capacity, σ_0 , decreases, leading to the
 336 decrease of the $PSH_{(strength)}$ (Eq. (7)). A larger $PSH_{(strength)}$ is an indication of saturated
 337 multiple cracking. Compared with different size of rubber powder, the mix design with
 338 particle size of 425um has highest $PSH_{(strength)}$. The mixtures using finer particle size all have
 339 lower $PSH_{(strength)}$, which indicates that use of very fine rubber powder is not always
 340 beneficial. Use of less fine powder also save grinding energy.



341
 342 **Fig. 14. Uniaxial tensile stress–crack opening curves of ULHDCC.**

343 **Table 6. Test results of single-crack tensile test.**

MIX ID	σ_0 (MPa)	δ_0 (mm)	σ_{fc} (MPa)	$PSH_{(strength)}$
ULCC-0.7	3.83	0.82	0.95	4.03
R425-5-0.7	3.62	0.64	1.25	2.90
R250-5-0.7	3.57	0.59	1.65	2.16
R150-5-0.7	3.65	0.72	2.72	1.35

344
 345 Table 7 presents the fracture toughness of cement composites based on three-point bending

346 K_m , the complementary energy J'_b and
 347 the crack tip energy J_{tip}

348 that the crack tip energy J_{tip} and the fracture toughness K_m both decrease with the addition
 349 of rubber powder.

350 **Table 7. Fracture toughness of cement composites.**

MIX ID	$m(g)$	$F_Q(N)$	$K_m(MPa \cdot mm^{3/2})$	$J_{tip}(J/m^2)$	$J'_b(J/m^2)$	J'_b/J_{tip}
ULCC-0.7	361.0	904.3	17.745	22.49	589.93	26.23
R425-5-0.7	351.0	589.6	11.581	7.69	722.26	93.92
R250-5-0.7	344.5	591.1	10.843	5.25	481.70	91.75
R150-5-0.7	335.0	576.4	12.144	9.84	441.17	44.83

351 The pseudo-strain hardening indices, $PSH_{(energy)}$, of the ULHDCC were calculated according
 352 to Eq. (8). Fig.15 compares the $PSH_{(energy)}$ between the ULHDCC and the normal ECCs.
 353 The $PSH_{(energy)}$ values of all the mixtures are higher than the recommended value of 3.0 for
 354 the design of strain-hardening cement composites. **The $PSH_{(energy)}$ of the ULHDCC increases**
 355 **significantly when rubber powder are added.** With the increase of rubber particle size,
 356 $PSH_{(energy)}$ also increases, which is beneficial to the plasticity of the composite. The
 357 $PSH_{(energy)}$ of R425-5-0.7 group achieves the largest value of 93.9, which verifies that the
 358 R425-5-0.7 group exhibits the best strain hardening.

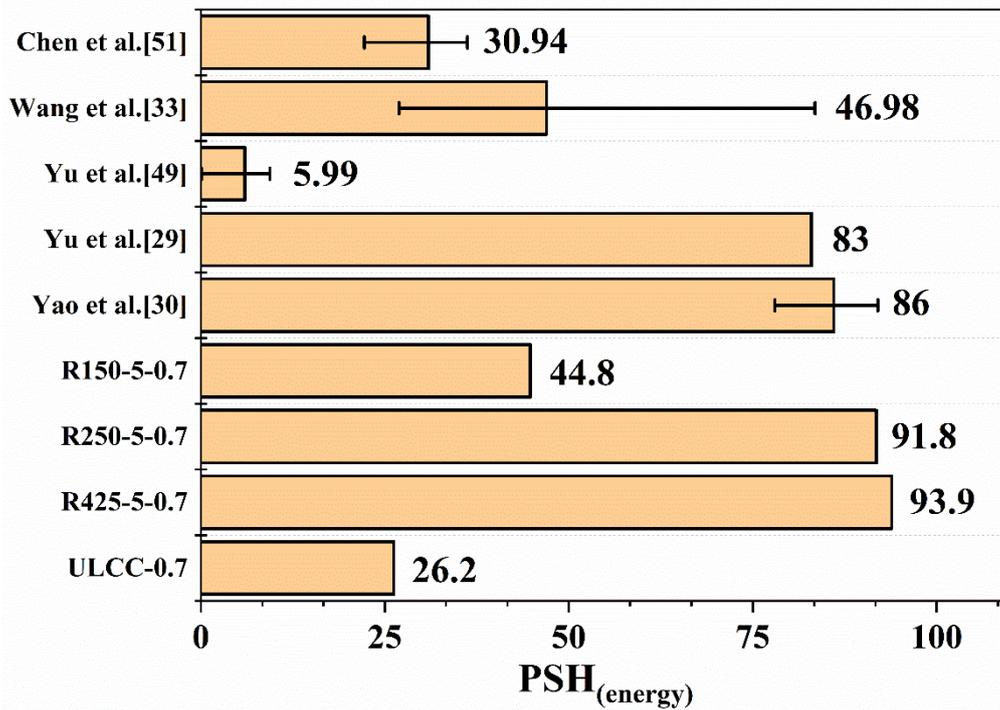
359 To ensure that ECC have more saturated microcracks and higher tensile strain capacity, it

360 J_{tip} and the
 361 complementary energy J'_b [66]. A larger strain hardening index $PSH_{(energy)} = J'_b/J_{tip}$

362
 363 fracture toughness K_m of the matrix decreases by 32% - 39%, the crack tip energy J_{tip}
 364 decreases by 56% - 77%, and the complementary energy J'_b decreases by 18%-25% (except
 365 R450-5-0.7). However, the resulting J'_b/J_{tip} ratio increases significantly. Therefore, the
 366 ULHDCC is more likely to produce new cracks under tension, which ensures the occurrence
 367 of multiple cracks. Among them, only when adding 425um rubber powder, the value of J'_b
 368 increases by 22%. Therefore, for mixture R425-5-0.7, J_{tip} decreases while J'_b increases.

369 The combined effect leads to the increase of J'_b/J_{tip} ratio, which helps the occurrence of

370 saturated multiple cracking and higher strain capacity. Compared with other ECCs, the
 371 $PSH_{(energy)}$ value of the ULHDCC with PE fiber content of 0.7% by volume can achieve
 372 93.9, leading to a sufficient margin between the crack tip energy J_{tip} and the complementary
 373 energy J'_b . The low fiber content of the ULHDCC also offers a more cost effective material
 374 than normal ECCs.

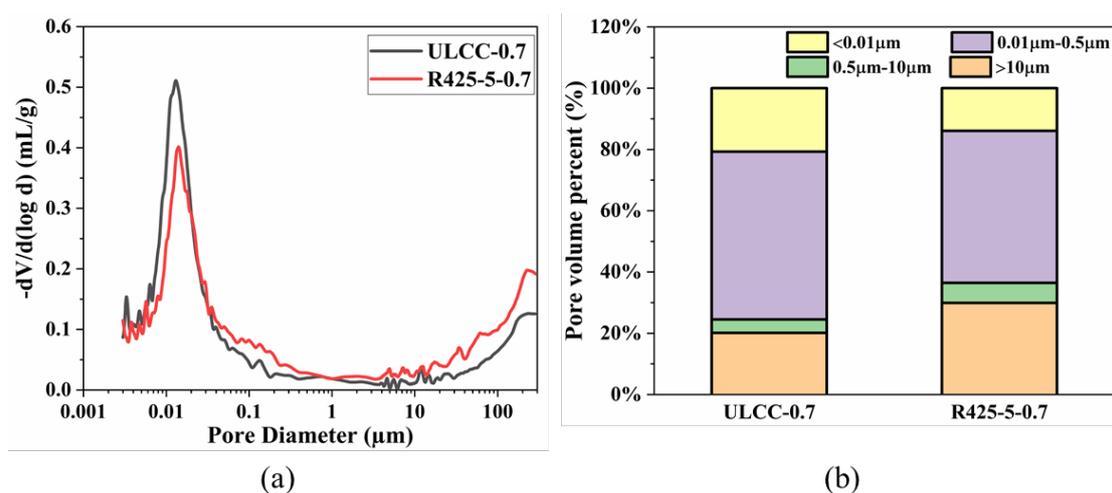


375
 376 **Fig.15. $PSH_{(energy)}$ values of different mixtures.**

377 *3.5. Pore structure*

378 Two mixtures, ULCC-0.7 and R425-5-0.7, are selected to study the pore structure of the
 379 ULHDCC. The porosities of ULCC-0.7 and R425-5-0.7 are 52.57% and 55.06%,
 380 respectively. The addition of rubber powder increases the porosity, which also reflects that
 381 the addition of rubber reduces the compressive strength of the mixture. Figure 16 illustrates
 382 the pore size distribution and pore volume distribution of the mixtures. The pore size
 383 distribution curves reflect the pore volume of different pore sizes, as shown in Figure 16(a).
 384 The characteristic peaks of the two mixtures are mainly in the range of 0.01um-0.02um. The

385 critical pore diameter can be determined by the diameter corresponding to the peak value of
 386 pore size distribution curve [67]. It is the most common diameter of interconnected pores,
 387 which maximizes penetration of chemical substances through cement matrix [68]. After
 388 adding rubber powder, the critical pore diameter increased from 0.01303 μm to 0.0141 μm .
 389 Therefore, the addition of rubber powder produces more interconnected pores in the cement
 390 matrix, which also leads to the increase of porosity. Based on the pore size, the pores in the
 391 composite are divided into gel pores ($< 0.01\mu\text{m}$), medium capillary pores ($0.01\mu\text{m}-0.5\mu\text{m}$),
 392 large capillary pores ($0.5\mu\text{m} -10\mu\text{m}$) and macro-pores ($>10 \mu\text{m}$) [69]. Figure 16(b) shows
 393 the pore volume fraction of the two mixtures. The pore diameters are divided into four
 394 categories as described above. Both samples have the largest proportion of medium
 395 capillary pores, accounting for about 50%. After adding rubber powder, the proportions of
 396 gel and medium capillary pores decrease by 6.78% and 5.16%, respectively. The proportion
 397 of large capillary pores increases by 2.17%, and the proportion of macro-pores increases by
 398 9.78%. The proportion of small pores in the mixture decreases, while the proportion of large
 399 pores increases. The average pore size increases from 0.01699 μm to 0.02410 μm , leading to
 400 a higher porosity.



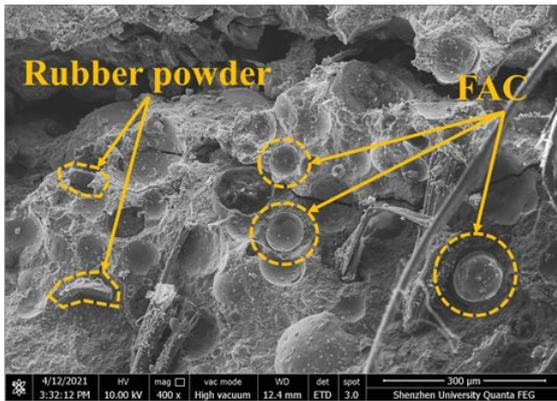
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Fig. 16. Pore structure of ULHDCC (a) pore size distribution curves, (b) pore volume distribution.

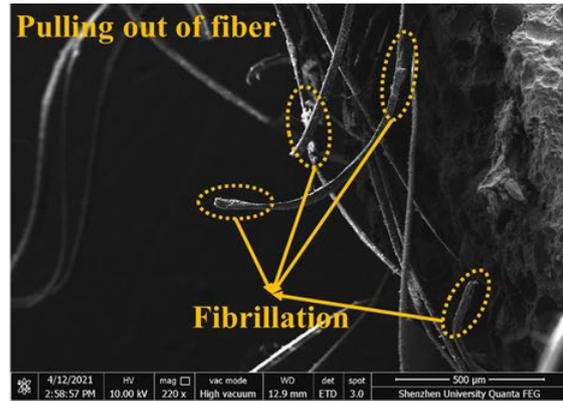
404 *3.6 ESEM of ULHDCC*

405 Figure 17 shows the ESEM images of the ULHDCC at 28 days. Fig.17(a) shows that the
406 cenospheres and rubber powder are loosely distributed in the cement matrix, and ITZ is
407 formed between the aggregate and the matrix. The reason is that the cenospheres are filled
408 with inert gas and the surfaces of the rubber powders were hydrophobic. A large number of
409 air bubbles are introduced during the stirring process, forming a porous microstructure at
410 the aggregate/matrix and fiber/matrix interface, leading to an increase of porosity in the
411 composites and reductions in the matrix toughness and fiber bridging strength. In addition,
412 the spherical shape of the cenospheres plays a "ball effect" in improving the rheological
413 properties of the fresh cement paste and the fiber dispersion [71].

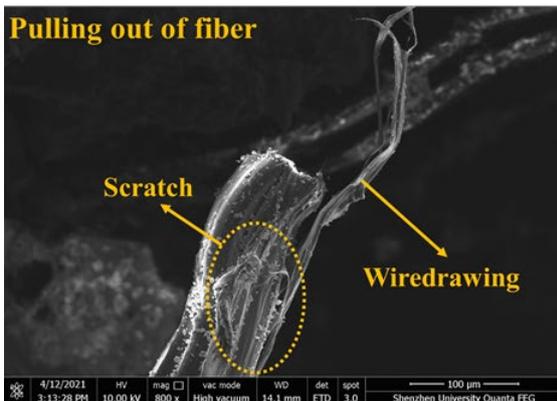
414 In Figure 17(b), numerous PE fibers are pulled out from the cement matrix. The friction
415 between the fibers and matrix causes damage and fibrillation of the fibers. There are obvious
416 scratches or even wiredrawing on the surface of the PE fibers, as shown in Fig.17(c) and
417 17(d), which reduces the effective cross-sectional area of the fibers. Figure 17(e) shows a
418 large amount of hydration products and that FAC fragments are attached to the surface of
419 the PE fibers. It can effectively increase the friction between the PE fiber and the matrix
420 during the stretching process, leading to increased bonding strength between the fiber and
421 matrix. After the PE fibers are pulled out or broken, they are obvious grooves in the cement
422 matrix, as shown in Fig. 17(f). Microcracks may develop along the interface between the
423 matrix and the cenospheres or rubber particles. There may be also some damaged
424 cenospheres or rubber powders. The ESEM image shows the configuration of the interface
425 between rubber powder/cenospheres and cement composites, as well as the typical failure
426 mode of PE fiber.



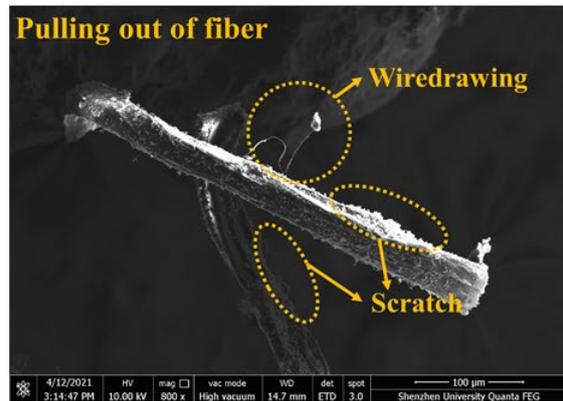
(a) Cement matrix and fine aggregate.



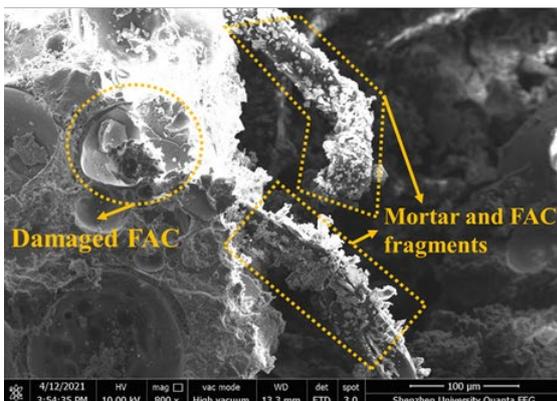
(b) Pulling out of PE fibers.



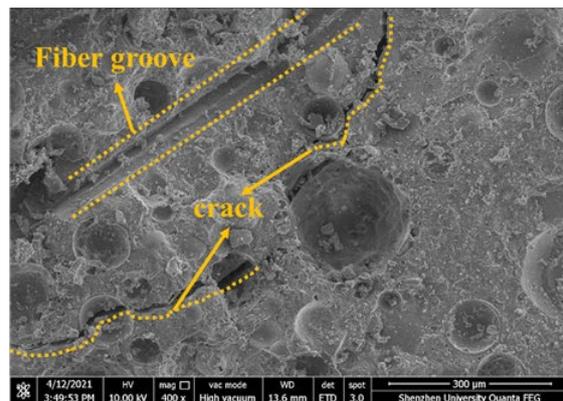
(c) Ruptured fiber.



(d) Ruptured fiber.



(e) A close-up view of the fiber surface pulled out from the matrix.



(f) Microcracks on the matrix.

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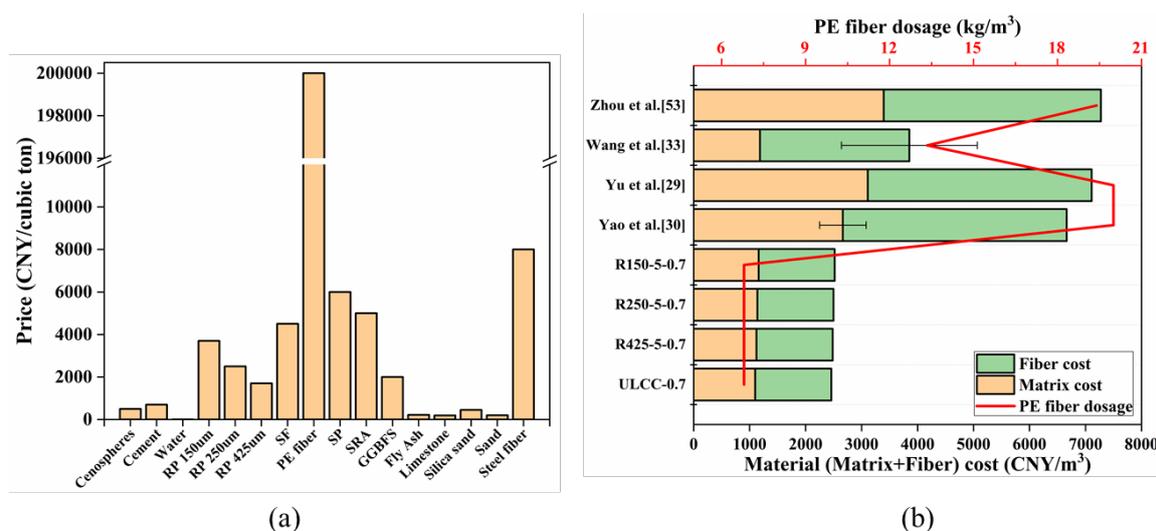
428

Fig.17 ESEM image of ULHDCC.

429 4. Economy assessment of ULHDCC

430 The contents of fibers, rubber powder and cenospheres in the ULHDCC determine the
 431 mechanical properties and also the cost of the composites. Figure 18(a) shows the unit price
 432 of the raw materials (based on Shenzhen market, China) [72]. The total cost of the four
 433 mixtures is compared with that of the conventional ECCs, as shown in Fig. 18(b). Compared
 434 with the ordinary concrete, the increased cost of ECCs mainly comes from fiber and high

435 cement content. Therefore, the volume of fiber and cement needs to be reduced to reduce the
 436 overall cost of ECCs. ULHDCC mainly reduces the cost by reducing the amount of fiber
 437 while maintaining the high ductility of ECC. The cost of fiber accounts for more than three-
 438 quarters of the total ECC cost. For comparable strain capacity and strain hardening, the
 439 required fiber content in the ULHDCC is 0.7%, which is only one half of that in conventional
 440 ECCs.



441 (a) (b)

442 **Fig. 18. Economy assessment of (a) Unit price of Constituents. (b) Comparison of**
 443 **material cost of different mixtures.**

444 5. Conclusions

445 The present study developed a novel ultra-lightweight high ductility cement composite
 446 (ULHDCC) using cenospheres, rubber particles, and PE fibers. The microstructure and
 447 mechanical properties of the ULHDCC were comprehensively investigated. The use of
 448 rubber powder and low PE fiber content resulted in excellent strain hardening and cost
 449 reduction. The main conclusions are summarized as follows:

- 450 1. ULHDCC exhibits high $PSH_{(strength)}$ index of greater than 1.3, and high $PSH_{(energy)}$ index of
 451 greater than 3.0, which ensure superior ductility of the composites. Mixture R425-5-0.7 has

452 the best strain hardening among the designed mixtures, which can be used as a guideline for
453 designers to reduce ECC fiber and material costs.

454 2. ULHDCC has a very low density but with high compressive strength of above 30 MPa,
455 which can be used for structural concrete. By adding rubber powder and 0.7% PE fiber, the
456 ULHDCC has a tensile strength of 3.5MPa with a strain capacity of more than 3%.

457 3. The addition of rubber powder to ULHDCC reduces the toughness of the cement matrix,
458 the crack tip energy and the complementary energy. The combined effect leads to the increase
459 of J'_b/J_{tip} ratio, which helps to produce saturated multiple cracking and improves strain
460 hardening.

461 4. ESEM images show initiation and propagation of micro cracks from the ITZ to the matrix.
462 The fiber morphology after being pulled out demonstrate that the ULHDCC has outstanding
463 pull-out and high strain capacity. MIP test show that the addition of rubber to the ULHDCC
464 can increase matrix porosity, critical pore diameter and average pore diameter, which
465 contributes to the decrease of the strength at the macro level.

466 5. ULHDCC is more economical than conventional ECCs. Low PE fiber content of 0.7% in
467 the ULHDCC mixture leads to a significant reduction (by about 60%) of the cost, making the
468 material a better alternative in a wider range of applications.

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473 **Data Availability Statement**

474 All data, models, and code generated or used during the study appear in the submitted article.

475 **Conflict of Interest**

476 The author confirms that there is no conflict of interest.

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