

1        **Are psychosocial interventions effective in reducing alcohol consumption during**  
2                    **pregnancy and motherhood? A systematic review and meta-analysis**

3    Author 1: Dr Katalin Ujhelyi Gomez, PhD<sup>1</sup>

4    Role: Research Associate

5    Email: [k.ujhelyi-gomez@liverpool.ac.uk](mailto:k.ujhelyi-gomez@liverpool.ac.uk)

6    ORCID#: 0000-0002-1396-9588

7

8    Author 2: Dr Laura Goodwin, PhD<sup>1,2</sup>

9    Role: Senior Lecturer

10   Email: [lg21@liverpool.ac.uk](mailto:lg21@liverpool.ac.uk)

11   ORCID#: 0000-0002-0354-7787

12

13   Author 3: Leanne Jackson, BSc<sup>1</sup>

14   Role: PhD Research Candidate/Demonstrator

15   Email: [Leanne.jackson@liverpool.ac.uk](mailto:Leanne.jackson@liverpool.ac.uk)

16   ORCID#: 0000-0003-4491-1802

17

18   Author 4: Dr Andrew Jones, PhD<sup>1,2</sup>

19   Role: Senior Lecturer

20   Email: [A.J.Jones@liverpool.ac.uk](mailto:A.J.Jones@liverpool.ac.uk)

21   ORCID#: 0000-0001-5951-889X

22

23   Author 5: Dr Anna Chisholm, CPsychol<sup>1</sup>

24   Role: Lecturer

25   Email: [Anna.Chisholm@liverpool.ac.uk](mailto:Anna.Chisholm@liverpool.ac.uk)

26   ORCID#: 0000-0002-2054-7340

27

28   **Corresponding author**

29   Author 6: Dr Abigail K Rose, PhD<sup>1, 2</sup>

30   Email: [abirose@liverpool.ac.uk](mailto:abirose@liverpool.ac.uk)

MATERNAL ALCOHOL INTERVENTIONS

31 ORCID#: 0000-0003-3267-7318

32

33 <sup>1</sup> Department: Department of Psychological Sciences

34 University/Institution: University of Liverpool

35 Town/City: Liverpool

36 Country: United Kingdom

37

38 <sup>2</sup>Liverpool Centre for Alcohol Research

39 University/Institution: University of Liverpool

40 Town/City: Liverpool

41 Country: United Kingdom

42

43

44

45 *Running head: Maternal alcohol interventions*

46 *Word count: 5500*

47 *Declarations of competing interest: None*

48

49

50

51

52

53

54

55

56

57

58

59

60

61 **Abstract**

62 **Background and aims** Alcohol use by pregnant and parenting women can have serious and  
63 long-lasting consequences for both the mother and offspring. We reviewed the evidence for  
64 psychosocial interventions to reduce maternal drinking.

65 **Design:** Literature searches of PsycINFO, PubMed, and Scopus identified randomised  
66 controlled trials of interventions with an aim of reduced drinking or abstinence in mothers or  
67 pregnant women. **Setting:** Interventions were delivered in healthcare settings and homes.  
68 **Participants:** Pregnant women and mothers with dependent children. **Interventions:**  
69 Psychosocial interventions were compared with usual care or no intervention. **Measurements:**  
70 The Revised Cochrane Risk-of-Bias Tool for Randomised Trials was used for quality  
71 assessments. Narrative synthesis summarised the findings of the studies with a subset of trials  
72 eligible for random-effects meta-analysis. General and alcohol-specific behaviour change  
73 techniques (BCTs) were identified to investigate potential mechanism of change.

74 **Results:** 24 studies were included (20 pregnancy, four motherhood). Due to quality of  
75 reporting, data from only six pregnancy and four motherhood studies could be pooled. A  
76 significant treatment effect was revealed by the meta-analyses of pregnancy studies regarding  
77 abstinence (OR = 2.31, 95% CI = 1.61, 3.32; P < 0.001) and motherhood studies regarding a  
78 reduction in drinking (SMD = -0.20, 95% CI = -0.38, -0.02; P = 0.03). Narrative synthesis of  
79 the remaining trials yielded inconsistent results regarding intervention effectiveness. A wide  
80 range of BCTs were employed, present in both effective and ineffective interventions. The  
81 most commonly used general and alcohol-specific BCTs included information about  
82 consequences, social support, goal setting, and action planning. **Conclusions:** In pregnant  
83 women identified as consuming alcohol, psychosocial interventions appear to increase  
84 abstinence rates compared with usual care or no intervention. Similarly, such interventions  
85 appear to lead to a reduction in alcohol consumption in mothers with dependent children. It is  
86 unclear which BCTs are contributing to these effects. Conclusions from RCTs are only  
87 meaningful if the behavioural outcome, population, setting, intervention, and comparator are  
88 clearly reported. An important barrier when it comes to identifying effective BCTs is a  
89 widespread failure to provide enough information in study reports.

90

91 **Keywords** Behaviour change, randomised controlled trials, pregnancy, motherhood,  
92 postpartum, maternal drinking, abstinence, reduction, alcohol reduction interventions.

## 93 **Introduction**

94 Prenatal alcohol use is the dominant preventable cause of birth defects and intellectual  
95 disabilities (1). As a safe amount of alcohol consumption during pregnancy is unknown, the  
96 most recent government recommendation for the UK (2), and most other countries (1), is  
97 abstinence. Yet, the UK has one of the highest rates of reported alcohol use during pregnancy  
98 and highest levels of Foetal Alcohol Spectrum Disorders (FASD) globally (3).

99 Due to the direct and significant effects of prenatal alcohol exposure on the offspring, the focus  
100 of policy and research remains primarily on drinking during pregnancy (4). However, evidence  
101 shows that alcohol use spanning early to later motherhood is also a significant public health  
102 concern, one that can directly and indirectly damage the mother and child's health and well-  
103 being even at non-dependent level (5). Parental drinking can negatively impact the child-  
104 rearing environment (e.g.(6)), and maternal drinking in particular can increase physical (7) and  
105 psychological (e.g. (8)) harm in the child, damage the mother-child relationship (e.g. (9)), and  
106 increase the risk of alcohol-related problems later in life (e.g. (10)). Therefore, it is critical to  
107 develop appropriate alcohol interventions and support for pregnant women and mothers to help  
108 reduce these harms.

109 Research demonstrates that pregnancy and the transition to motherhood, once considered a  
110 protecting factor against drinking (11), no longer have a lasting impact on alcohol consumption  
111 (12). Within the UK, the Avon Longitudinal Study of Parents and Children found that 16.4%  
112 of mothers reported drinking alcohol on a daily basis (13). Other cohorts have shown that any  
113 protective factor against alcohol use has diminished by 12 months postpartum (12). Another  
114 report estimated that up to 1.3m children were affected by parental alcohol problems in England  
115 (14). This suggests a growing need for alcohol interventions which are effective during  
116 pregnancy and motherhood to help prevent longer-term consequences.

117 Understanding active components of treatment/mechanisms of change may enhance the  
118 development of effective treatments or aid in the identification of what treatments work best  
119 for different populations (15). The BCT Taxonomy v1 (BCTTv1), a cross-domain,  
120 hierarchically structured classification, has identified 93 distinct general Behaviour Change  
121 Techniques (BCTs; the smallest active components of a behaviour change intervention) (16),  
122 and separate categorisation has been made of 42 alcohol-specific BCTs (17). Although certain  
123 BCTs are associated with effectively reducing alcohol consumption (e.g. 'prompting self-  
124 recording' (17), 'provision of normative feedback' (18), 'providing feedback on performance',

125 ‘review of goals’, ‘prompting commitment’ (18)), this evidence comes from non-maternal  
126 populations. During pregnancy, Fergie and colleagues (19) identified 13 potentially effective  
127 BCTs for the reduction of alcohol use, five of which were classified as highly effective: ‘action  
128 planning’, ‘behavioural contract’, ‘prompts/cues’, ‘self-talk’, and ‘offer/direct toward  
129 appropriate written material’.

130 Although systematic reviews have looked at interventions for illicit substance use specifically  
131 in mothers (e.g.(20)), there are no reviews on the effectiveness of alcohol interventions. Given  
132 the direct and indirect impact of drinking during pregnancy and motherhood, we argue that  
133 research on maternal drinking needs to cover this wider time period. This review is unique in  
134 its aims to provide a comprehensive review, highlighting the effectiveness of alcohol  
135 interventions for pregnant women and mothers and identifying potentially appropriate BCTs  
136 in reducing maternal alcohol consumption by reviewing randomised controlled trials (RCTs)  
137 with active or inactive controls. We also examine how the more developed field of research  
138 concerning alcohol use during pregnancy may guide future research on drinking during  
139 motherhood. We aimed to address the following questions: 1) What type of interventions have  
140 been used to reduce drinking during pregnancy and motherhood? 2) Are these interventions  
141 effective? 3) What BCTs are used in effective interventions?

142

## 143 **Methods**

### 144 *Protocol and registration*

145 Conducted and reported according to PRISMA guidelines (21, 22), the present review was pre-  
146 registered at the International Prospective Register of Ongoing Systematic Reviews  
147 (PROSPERO; (23)). Registration ID number: CRD42019132035.

### 148 *Information sources and search strategy*

149 The initial literature search of the electronic databases PsycINFO (via EBSCO Host), PubMed,  
150 and Scopus was conducted in May, 2019 and updated in February 2020, to identify RCTs  
151 assessing effectiveness of interventions aimed at reduced alcohol use or abstinence in pregnant  
152 women or mothers. To cover potential synonyms for the terms used, databases’ own “MeSH”  
153 terms, Thesaurus, or subject headings were used to choose the key terms. Using the Boolean  
154 operators AND/OR, population terms were combined with behaviour terms and treatment  
155 terms and were adjusted to each database (Table 1).

156 *Insert Table 1*

157

### 158 ***Eligibility criteria***

159 The search was limited to peer-reviewed journals without time restriction. Only RCTs  
160 comparing the effectiveness of an alcohol intervention against a control group, with pre-  
161 (baseline) and post-drinking outcomes, were included. The review focused only on  
162 interventions that targeted alcohol use with an alcohol-related outcome measured and reported  
163 (even if polysubstance use was present). For maternal characteristics, studies could include  
164 pregnant women and mothers with children of dependent age ( $\leq 18$  years) (see Supplemental  
165 document Table 1 (ST1) for full eligibility criteria).

### 166 ***Study selection and data extraction***

167 KUG performed the database searches, and KUG and LJ screened titles, abstracts, and full texts  
168 independently. Full texts were acquired for papers eligible for inclusion. The PRISMA flow  
169 diagram (Figure 1) demonstrates the article search process. Reference lists of included studies  
170 were searched by KUG and LJ. Agreement statistics were calculated for full-text screening.  
171 Inter-rater agreement was 80.7%, with Cohen's  $k=0.524$ , indicating moderate agreement (24).  
172 The following study characteristics were extracted by KUG and reviewed by LJ: bibliographic  
173 details (authors, year), sample size(s), PICOS, and follow-up period. Resolution for any  
174 discrepancies were provided by AR. Additionally, the following data characteristics were  
175 considered for the meta-analysis: type of data (binary, continuous), time frame of measuring  
176 outcome, outcome measured (abstinence, reduction in alcohol consumption), baseline alcohol  
177 intake, age, intervention type, and whether a significant difference was found between  
178 treatment arms.

179

### 180 ***Quality assessment for risk of bias***

181 Quality assessment of the included studies was performed by KUG and reviewed by LJ using  
182 the Revised Cochrane Risk-of-Bias Tool for Randomised Trials (RoB2; (25)) and the RoB2  
183 tool for cluster randomized parallel group trials (26) addressing five domains. AR reviewed the  
184 assessment of a sub-set of the studies. There were no disagreements.

### 185 ***Data analysis***

186 For inclusion in the meta-analyses, we required summary statistics (mean, standard deviation)  
187 for frequency and quantity of drinking following intervention for treatment and control groups.  
188 Corresponding authors were contacted for missing data and provided a period of one month to  
189 respond (reminders were sent). Following receipt of additional data from some authors (27,  
190 28), six trials were sufficiently similar to combine (i.e. outcome (abstinence for pregnancy,  
191 reduction for motherhood), comparable timeframe, baseline alcohol use). In line with  
192 government guidelines (abstinence recommended during pregnancy and no more than 14 units  
193 a week for the general population), these outcomes were deemed practical for the purposes of  
194 the meta-analyses (see ST2 and ST4 for details).

195 A narrative synthesis enabled the integration and summary of the results, and a qualitative  
196 content analysis (inductive in approach) examined the process evaluation of included RCTs.  
197 Content analysis was performed by KUG via (1) familiarisation with process evaluation  
198 descriptions within each article, (2) highlighting relevant text and memo writing to capture  
199 authors' views on factors likely to have influenced RCT efficacy, (3) grouping reoccurring  
200 process evaluation factors into defined categories, and (4) labelling defined categories.  
201 Credibility of the overall coding structure was enhanced by returning to the data and ensuring  
202 that the categories represent the data as a whole (29). AC additionally reviewed the analysis  
203 process and categorisation to increase trustworthiness (30).

204 Results of studies with sufficiently similar data to calculate a common estimate were pooled in  
205 a random-effect meta-analysis conducted in RevMan version 5.3 (31) (data are available here:  
206 <https://osf.io/cteug/>). For rates of abstinence, odds ratios were calculated using the total number  
207 of abstinent participants at follow-up and the total number of participants randomized to that  
208 intervention/control group. A common timeframe used was three months follow-up for  
209 abstinence in pregnancy and six-month for alcohol reduction in motherhood. For continuous  
210 measurements of reduction in alcohol consumption, we computed the standardised mean  
211 difference (SMD:  $\text{Intervention}^{\text{MEAN}} - \text{Control}^{\text{MEAN}} / \text{Pooled SD}$ ) to correct for differences in  
212 scales and standardise the results.

213 One study (32) investigated the effects of two interventions (health counselling and computer  
214 tailoring) compared to the same control group, therefore, it was added twice. To partially  
215 remove the unit-analysis-error this may lead to (55), both the events and total number of  
216 participants were divided.

217 I<sup>2</sup> statistics of heterogeneity were calculated (33). A heterogeneity of 0-40% represents low,  
218 30-60% moderate, 50-90% substantial, and 75-100% high variability in effect sizes (34).

### 219 *Identification of BCTs and theory*

220 The BCTTv1 (93 general BCTs) (16) was employed with the 42 alcohol reduction specific  
221 BCTs (17) to identify BCT content. Although there is overlap between the two taxonomies,  
222 they were identified and reported separately, enabling the identification of BCTs with less  
223 specific descriptions (a common issue in reports). Prior to coding BCTs, coders completed  
224 online training in BCT identification (35). Authors were contacted for additional intervention  
225 material to aid BCT identification. KUG identified text in the reports of included studies,  
226 previously conducted cited studies, and intervention manuals/additional materials. AR, AC and  
227 LJ checked accuracy of BCTs in randomly selected subsets of trials. We collected BCTs and  
228 considered them potentially useful for inclusion in future interventions if 1) the primary  
229 analysis revealed statistically significant differences at the 5% level between treatment arms in  
230 favour of the intervention group, 2) there was detection of apparent benefits of the intervention  
231 at some level (e.g. if the intervention benefitted those with higher level drinking).

232 Reports were screened for incorporation and description of theory relevant to the intervention  
233 methods used. KUG evaluated the incorporation of theory into the design and implementation  
234 of the interventions through a four-item coding continuum (informed by theory, theory applied,  
235 testing theory, building/creating theory (36)). Due to the evidence-based theoretical  
236 background of motivational approaches and CBT, studies that used these techniques were  
237 classified into the category of ‘informed by theory’ despite failing to report this. AR and LJ  
238 checked accuracy of identified theory use in randomly selected subsets of trials.

239

## 240 **Results**

### 241 *Study selection*

242 8390 papers were identified through database searching and two papers through other sources.  
243 Of these, 1306 duplicates were removed. Following title and abstract screening, 6972 were  
244 eliminated. Full texts of 114 articles were assessed of which 90 were excluded (data on  
245 excluded papers are available here: (data are available here: <https://osf.io/cteug/>). Twenty-four

246 trials were included in the narrative synthesis, 10 of which were analysed through two meta-  
247 analyses (six pregnancy, four motherhood; see Figure 1).

248 *Insert Figure 1*

249

250 ***Characteristics of pregnancy studies*** (see Table 2 and ST2 for full characteristics)

251 Most studies were conducted in the USA and published between 2005-2019, with four  
252 published between 1982-1999. Sixteen trials (37-52) were individual RCTs, and four were  
253 cluster trials (27, 32, 53, 54). A total of 8467 participants were involved with a wide range of  
254 study samples between 41 and 2235 participants, covering low levels of alcohol consumption  
255 (e.g. 1 standard drink of alcohol p/week during pregnancy (32)) to heavier/problematic  
256 drinking. Most participants were aged 18-37 years. Ethnicity of participants differed  
257 considerably across the studies. The studies measured outcomes at different time periods  
258 between 2 weeks and 60 months. All studies employed self-report measures, and one trial used  
259 an additional segmental hair analysis (48). Six pregnancy studies provided sufficiently similar  
260 data to be pooled in a meta-analysis in terms of baseline alcohol intake, intervention outcome,  
261 comparable timeframe (32, 47-51).

262 Our aim to determine the types of interventions used to reduce maternal drinking highlighted  
263 a wide range of approaches. The majority, 12 trials, investigated the effectiveness of brief  
264 interventions (BIs) (27, 38-43, 45, 48, 49, 52, 53). Eight of these were underpinned by  
265 motivational approaches (40-43, 45, 48, 49, 52), one by social learning theory (27), and three  
266 by self-determination theory (42, 43, 49) (see ST3 for theory identification in studies). Other  
267 studies investigated the effectiveness of home visits (37, 54), public health intervention (47),  
268 ultrasound feedback (44), cognitive behavioural self-help intervention (50), health counselling  
269 and computer tailoring (32), information and advice provision (46), and motivational  
270 enhancement therapy coupled with cognitive behaviour therapy (CBT) (51). Three of the  
271 interventions were technologically delivered (32, 45, 49). Seven studies reported both  
272 reduction and abstinence outcomes (27, 32, 45, 49, 50, 52, 54), five focused on abstinence (37,  
273 40, 47, 48, 51), and eight on reduction (38, 39, 41-44, 46, 53). Eleven studies utilised inactive  
274 controls (treatment as usual or no intervention) and nine used active controls (assessment only,  
275 providing information/education/advice/referral, or comparison interventions).

276 *Insert Table 2*

277

278 ***Characteristics of motherhood studies*** (see Table 3 and ST4 for full characteristics)

279 All were individual RCTs (28, 55-57) conducted in the USA in 2008 and onwards. The total  
280 number of participants recruited was 536 mothers with dependent aged children residing with  
281 the mother. The study samples ranged between 60-235. Participants in one study had substance  
282 use disorder (28), two involved high risk drinkers (55, 57), and one recruited problem drinkers  
283 (56). With the exception of one study (55), which recruited a diverse sample, all studies  
284 included mothers of low socioeconomic status with a majority of black ethnicity. Participants  
285 were aged 18-41 years. The timeframe for measuring outcomes covered periods between three  
286 and 18 months using self-report measures. All interventions were informed by theory (ST 3)  
287 and targeted a reduction in drinking through different approaches. Types of interventions used  
288 were an ecologically-based treatment (comprising housing services, case management and  
289 counselling (28)), BI (55), computer-delivered screening and BI (57), and social-cognitive  
290 behavioural intervention (56). Control conditions were usual care or no intervention, with one  
291 study employing an active control group (56). All trials reported sufficient data for inclusion  
292 into meta-analysis.

293 *Insert Table 3*

294

295 ***Risk of bias assessment***

296 The assessment of methodological quality based on Cochrane's RoB2 (25), revealed poor  
297 quality of included studies for both pregnant and child-rearing populations. Although studies  
298 varied across quality measures, there was an overall high risk of bias primarily due to a lack of  
299 blinding, objective measures, and pre-specified analysis plans. When considering the quality  
300 of the evidence, it should be noted that the poor outcomes may be partly driven by factors  
301 common to psychological intervention studies (e.g. difficulties with blinding or the use of  
302 subjective measures) (for a full breakdown of trial quality, see Table 4).

303 *Insert Table 4*

304

305 ***Intervention effectiveness in pregnancy***

306 Six of the 20 pregnancy trials were appropriate for meta-analysis with one of these studies (32)  
307 partially supporting intervention effectiveness. Of the remaining 14 studies, ten provided  
308 inconsistent findings in terms of BI effectiveness in pregnant women and four evaluated other  
309 types of interventions (37, 44, 46, 54). Below is a more detailed explanation of these studies.

310 Marais and colleagues (2011) found that drinking was reduced in the BI intervention group  
311 compared with the assessment only (AO) group, and another found that those allocated to a BI  
312 group were five times more likely to be abstinent by the third trimester relative to AO (27).  
313 The remaining studies found no significant overall treatment effect of BIs over control.  
314 However, when investigating further, three trials (38, 40, 41) revealed some beneficial  
315 intervention effects, e.g. benefits were seen in heavier drinking participants. One trial (54)  
316 investigated home visits by ‘paraprofessionals’ (i.e. mentor mothers). The three remaining  
317 RCTs were over 20 years old and used a variety of intervention types: professional home visits  
318 to provide health education (37); high versus low feedback ultrasound (44); and written  
319 information coupled with physician advice and a video (46). None of these studies found a  
320 significant effect on drinking during pregnancy.

### 321 *Intervention effectiveness in motherhood*

322 Fleming et al (2008) demonstrated intervention effectiveness using a multiple session BI for  
323 high-risk drinking, whereas a single-session BI (57) was ineffective. This is consistent with  
324 findings in favour of multiple sessions versus a single session in pregnancy (27, 41, 48, 52, 53)  
325 but contradictory to some findings that single-session interventions may work better for heavy  
326 drinking pregnant women (38, 40). Additionally, a ‘control’ single-session BI reduced alcohol  
327 consumption to a similar level compared to an ‘active’ cognitive-behaviour intervention based  
328 on CBT and motivational approaches (56). One trial included substance use counselling for  
329 homeless mothers while focusing on the impact of housing on substance use and found this  
330 intervention effective (28).

### 331 *Factors impacting intervention effectiveness*

332 The content analysis of the process evaluations within individual RCTs identified five  
333 categories reflecting factors that may have impacted the effectiveness of the interventions,  
334 resulting in conflicting findings.

335 *Level of alcohol use:* The level of alcohol risk and consumption varied among studies (see  
336 Table 2). Motivational approaches and BI were found to reduce drinking in those with highest

337 drinking levels only (38, 40) in line with previous findings that these approaches work best  
338 with heavy drinkers who do not necessarily satisfy criteria for dependence (58). Additionally,  
339 low levels of alcohol use or high rates of abstinence at baseline leave little room to demonstrate  
340 intervention effect (42, 43, 52, 54).

341 *Readiness to change:* Low consumption level may be due to the strong motivating effect of  
342 pregnancy to change health-related behaviours (27, 43, 52), and the fact that motivated women  
343 are more likely to participate in an intervention (38). Motivational interviewing (MI) may be  
344 most effective with people who are less motivated, more resistant to change, and who are not  
345 ready to set goals. This raises concerns regarding the relevance of traditional motivational  
346 approaches with pregnant women, as they are often highly motivated to change and set  
347 abstinence goals (49).

348 *Intervention dosage:* Six of the ten studies used single-session MI or BIs (38-40, 42, 43, 45)  
349 and four tested multiple sessions (27, 41, 52, 53). Although, single-session interventions can  
350 be effective in heavy drinkers (38, 40, 58), there is no clear evidence specific to pregnant  
351 women. Indeed, multiple sessions may be more effective (27, 41, 53), especially for lower  
352 drinking populations (42, 43) due to the repetition of the message (48).

353 *Underreporting:* It is well-established that self-reported alcohol use can be misleading (59),  
354 especially in heavy drinking populations(60). In maternal groups, underreporting may be  
355 driven by social desirability bias (45, 52), recall bias (48), mistrust within clinical settings (53),  
356 and fear of consequences (43). Self-report measures may not, therefore, be adequate to identify  
357 those needing interventions and/or the effectiveness of interventions. Some studies used  
358 objective biomarkers in order to overcome the bias from self-reports of alcohol use (54) and  
359 contextual influences on its collection, such as hair segment analysis. A high level of  
360 underreporting in self-report measures was found compared to the more objective hair segment  
361 analysis (48).

362 *Contamination of intervention:* Eight studies found reduction in drinking irrespective of  
363 condition (27, 38-42, 45, 53). Women in control groups may have reduced their drinking due  
364 to the assessment alone or recognition of pregnancy (42, 43, 45, 52). Finally, if intervention  
365 provision and other study processes involve the same professional provider, qualities and  
366 learned behaviours may cross over the two conditions (43).

367

368 ***Meta-analyses***369 *Abstinence in pregnancy*

370 Abstinence data were available for six trials investigating the effects of alcohol reduction  
371 interventions, versus control, on abstinence during pregnancy. The studies randomised a total  
372 of 1031 participants and reported data for abstinence on 682 participants. The odds of achieving  
373 abstinence were 2.31 times higher in the intervention groups compared with control groups  
374 (OR = 2.31, 95% CI = 1.61, 3.32; Z = 4.54, P < 0.001, I<sup>2</sup> = 0%). See Figure 2.

375 *Insert Figure 2*

376

377 *Alcohol reduction in motherhood*

378 Four RCTs investigated the effectiveness of an alcohol reduction intervention on decreasing  
379 consumption in motherhood. A total of 536 participants were randomised at baseline and data  
380 for frequency of drinking days were reported for 487 participants. The test of overall effect  
381 revealed a small but statistically significant difference in favour of the intervention groups (k  
382 = 4; SMD = -0.20, 95% CI = -0.38, -0.02; Z = 2.15, P = 0.03, I<sup>2</sup> = 0%). See figure 3.

383 *Insert Figure 3*

384

385 ***Identification of BCTs***

386 The final aim of the review was to identify BCTs used in effective interventions. Additional  
387 materials were made available by five authors (27, 28, 49, 50, 57). The interventions included  
388 both general and alcohol specific BCTs with some overlap among the classifications. These  
389 were identified and reported separately. One study (44) used low versus high feedback  
390 ultrasound as an intervention without reporting any BCTs.

391 *Pregnancy studies* (see ST5 for all BCTs identified and frequency of use and ST6 for unutilised  
392 BCTs): Out of the possible 93 general (16) and 42 alcohol-specific BCTs (17), a total of 36  
393 general BCTs and 28 alcohol-specific BCTs were identified in 19 pregnancy studies. The most  
394 commonly used general BCTs were 3.1 'Social support (unspecified)', 5.1 Information about  
395 health consequences', 1.2 'Problem solving', 1.1 Goal setting (behaviour)', and 1.4 'Action  
396 planning'. The most commonly used alcohol-specific BCTs were 1. Provide information on  
397 consequences...', 14. Facilitate goal setting', 26. 'Advice on/facilitate social support', 15.

398 *'Facilitate action planning/help identify relapse triggers'*, and 21. *'Facilitate barrier*  
 399 *identification and problem solving'*.

400 *Motherhood studies* (see ST7 for all BCTs identified and frequency of use): Twenty-seven  
 401 general BCTs and 22 alcohol-specific BCTs were identified in the four motherhood trials. 1.1  
 402 *'Goal setting (behaviour)'*, 3.1 *'Social support (unspecified)'*, and 14. *'Facilitate goal setting'*  
 403 were used in all four studies, while 1.2 *'Problem solving'*, 6.2 *'Social comparison'*, 1. *Provide*  
 404 *information on consequences...'*, 4. *Provide normative information...'*, 5. *'Provide feedback on*  
 405 *performance'*, 19. *'Facilitate relapse prevention and coping'*, and 26. *'Advice on/facilitate use*  
 406 *of social support'* were identified in three of the studies.

407

408 *BCTs in effective interventions for pregnant women and mothers*

409 To identify BCTs with potential to reduce maternal alcohol use, 'effective' interventions were  
 410 classified into two groups: effective (when the primary analysis reached statistical significance)  
 411 and partially effective (when only secondary analysis reached significance or the hypothesis  
 412 was partially supported. Table 5 provides details on these interventions and included BCTs.  
 413 Some trials stated that interventions/BCTS were tailored to pregnancy and motherhood (e.g.  
 414 Information about health consequences (55)). However, many intervention descriptions were  
 415 brief, making the relevance of some BCTs to this population unclear (e.g. (56)).

416 Two pregnancy studies (27, 53) demonstrated intervention effectiveness. However, due to  
 417 limited information, BCT identification in the study by Marais and colleagues (2011) was  
 418 restricted. Additional material was received from O'Connor and Whaley (2007) aiding BCT  
 419 identification. Two other studies found that their interventions appeared to be beneficial for  
 420 reducing alcohol consumption in high level drinkers only (38, 40), one study (41) found  
 421 reduction at 12-month follow-up but not in the active study phase, and one study (32) found  
 422 their computer-based intervention partially effective. Across these six studies, a wide range of  
 423 BCTs were employed but most frequent were: 3.1 *'Social support'*, 5.1 *'Information about*  
 424 *health consequences'*, 1.1 *'Goal setting'*, 1.2 *'Problem solving'*, 8.2 *'Behavioural substitution'*,  
 425 26. *'Advice on/facilitate use of social support'*, 1. *'Provide information on consequences of*  
 426 *excessive alcohol consumption...'*, 5. *'Provide feedback on performance'*, 14. *'Facilitate goal*  
 427 *setting'*, and *'17. Behaviour substitution'*.

428 Two of the motherhood studies (28, 32, 55) demonstrated intervention effectiveness  
429 independently. Both applied 1.1. 'Goal setting', '3.1 Social support (unspecified)', 5.1  
430 'Information about health consequences', 1. 'Provide info on consequences of excessive  
431 alcohol consumption...', and '14. Facilitate goal setting'.

432 *Insert Table 5*

433

## 434 **Discussion**

435 Using meta-analyses and a narrative synthesis, we sought to identify whether behaviour change  
436 interventions were effective in reducing maternal alcohol consumption (pregnancy or  
437 motherhood). Meta-analyses of pregnancy and motherhood RCTs revealed an overall  
438 significant effect in favour of the intervention groups in achieving abstinence and reduced  
439 drinking, respectively.

440 Several reviews, with different inclusion criteria, have been conducted focusing on drinking  
441 during pregnancy and all highlight that limited evidence exists regarding intervention  
442 effectiveness (1, 61-65). This is despite the fact that pregnancy is a critical period of  
443 intervention for alcohol reduction/abstinence due to women's motivation to have a healthy  
444 baby (1). The present review echoes this conclusion. Although a meta-analysis revealed overall  
445 intervention effectiveness, this only included six trials. Further, only two of the remaining 14  
446 studies, without meta-analysis data, found significant differences in favour of the intervention.  
447 Research targeting alcohol use in motherhood is scarce. Although intervention effectiveness in  
448 mothers was demonstrated in our meta-analysis, both the number of studies included and the  
449 effect found was small. There was also no consistency across the interventions assessed,  
450 therefore these findings should be interpreted with caution. While brief alcohol interventions  
451 have been found effective in primary healthcare (63, 66), women in general, and with pregnant  
452 women in particular (67), it is not possible to draw a definite conclusion with regard to  
453 pregnancy or motherhood based on the evidence identified by this review.

454 In line with the literature (e.g. (66)), the findings of this review suggest that BIs may be more  
455 beneficial for heavier drinkers (38, 40), although signposting those dependent on alcohol to  
456 specialist services has been emphasised (66). Such findings may be the result of difficulties  
457 with demonstrating intervention success with lower level drinkers (67), attributable to high  
458 initial motivation by women to have a healthy pregnancy, and reactivity to the therapeutic

459 elements of screening and assessment (27, 42, 43, 52, 63). Previous research reveals a weak  
460 link between dosage of intervention and outcome (66). Despite a positive tendency for single-  
461 session BIs to influence heavy drinking (38, 40), and a proposition that multiple sessions have  
462 more potential for lower level drinking (27, 41-43, 53), the optimal length and frequency of  
463 BIs remain unclear (63). Further investigation is necessary into factors such as sample  
464 characteristics, type of BI, or mandate to treatment.

465 Previous research has identified some BCTs (e.g. self-monitoring) as effective in reducing  
466 alcohol use, including at moderate consumption levels (18). Yet few of the maternal  
467 interventions included these (50, 55). Evidently, more research is needed to identify effective  
468 maternal alcohol interventions and their active components. We would encourage using the  
469 more extensive BCT evidence in the pregnancy smoking literature which identifies providing  
470 incentives (68, 69), social support (e.g. from partner), and reducing negative emotions (70), to  
471 guide future work. For instance, pregnancy (71) and motherhood (72) can be a stressful time  
472 and alcohol can be used as a coping strategy (e.g. (73)). Yet ‘reducing negative emotions’ was  
473 only found in two pregnancy (37, 50) and two motherhood interventions (28, 56). This BCT  
474 could be utilised more to increase the effectiveness of interventions.

475 There is room to better incorporate and test theory in the design and assessment of maternal  
476 alcohol interventions (74). We would also encourage researching mode of delivery, as delivery  
477 and process-related factors may account for more variance than the BCT model. For instance,  
478 there has been an increase in interventions delivered digitally (75), but these tend to target easy-  
479 to-reach-populations while disregarding vulnerable groups, such as pregnant women (75). Only  
480 one study used this mode of delivery, and it successfully reduced alcohol consumption among  
481 pregnant women compared to control (32). It is possible that an online platform could help  
482 overcome underreporting of stigmatised behaviours (e.g. alcohol use), reach women who are  
483 not motivated to change, target lower drinking levels, improve efficiency in busy clinical  
484 settings, and take advantage of its flexibility (e.g. ease of implementation and alteration) (32,  
485 45, 49, 57). Cost-effectiveness is another encouraging factor (76).

486 It is important to note discrepancies between our syntheses and that of previous reviews in this  
487 area (19, 64, 77). Our approach was more stringent - in accordance with good research practice,  
488 we based effectiveness on the study’s primary analysis (78). Discrepancies may also have  
489 arisen due to unclear reporting (e.g. (40)). Without transparent presentation of results and  
490 greater specificity of intervention composition, it was not possible to determine what BCTs

491 may be beneficial for maternal alcohol reduction. An examination of overlapping BCTs used  
492 in effective/partially effective interventions did not produce robust recommendations. For  
493 example, the most frequently occurring BCTs in effective studies (e.g. goal setting) were also  
494 the most common in non-effective interventions.

495 We identified substantially more research focused on drinking during pregnancy relative to  
496 motherhood, a reflection of the direct harm drinking can have on the foetus (e.g. FASD). In  
497 the UK, only two RCTs were conducted with pregnant women 30 years ago (44, 46) and no  
498 RCTs with mothers. The lack of diversity in study samples suggest that mothers of higher  
499 socioeconomic status with subthreshold drinking may be overlooked. Pregnancy research  
500 highlights essential consideration of level of drinking, readiness to change, risk of taking up  
501 old, unhealthy behavioural habits, and appropriate motivators to stop drinking after pregnancy.

502 Limitations of this review are mainly associated with the available evidence base. The low  
503 number of studies limited our ability to assess publication bias and perform sensitivity analysis  
504 and meta-regression. Once a stronger evidence base is established, meta-regression could be  
505 used to determine whether any individual BCT or a combination of BCTs are associated with  
506 intervention effectiveness. For instance, there is some evidence from nonmaternal populations  
507 that control theory congruent BCTs (goal setting, self-monitoring, feedback, review goals, and  
508 action planning) work effectively when combined (79). Findings should be viewed while  
509 reflecting on the considerable bias detected in studies. However, the relevance of current  
510 quality assessment tools should be reconsidered, as psychological trials differ from medical  
511 studies in many aspects that might influence quality assessment (78). We employed the latest  
512 risk of bias measure recommended by Cochrane (RoB2) (25). However, its reliability in the  
513 context of assessing RCTs of psychological therapies is questioned (80), and more work is  
514 needed to determine whether the RoB2 is appropriate for psychology-related trials.  
515 Nevertheless, future RCTs should implement appropriate blinding procedures, the use of more  
516 objective measures, the importance of clear, systematic reporting, and the reporting of  
517 sufficient meta-analysis data.

518 For a number of reasons, the data summarised in the narrative synthesis do not provide  
519 sufficient evidence to determine the effectiveness of pregnancy alcohol interventions. These  
520 include the variety of interventions used, differences in drinking levels, frequency of  
521 intervention sessions, and population diversity (e.g. socioeconomic characteristics). Although  
522 the meta-analysis demonstrated intervention effectiveness in motherhood, both the number of

523 studies included and the pooled effect size were small, and the interventions varied in terms of  
524 population type and intervention approach. Therefore these findings should be interpreted with  
525 caution. Importantly, further attention is urgently needed to cover this time period neglected  
526 by research to prevent returning to previous or increased drinking levels while parenting (12)  
527 and the direct and indirect effects of non-dependent drinking (5). Research also needs to  
528 consider the complex interaction of psychosocial and physical-health factors that accompany  
529 problematic drinking behaviour and influence engagement in and efficacy of treatment. Finally,  
530 growing evidence shows that gender and the unique characteristics associated with a culture or  
531 group has an impact of treatment effectiveness (81). We argue that future research designed to  
532 reduce alcohol harm associated with maternal drinking should be tailored to the constraints,  
533 needs, and issues relevant to pregnant women and mothers.

534 The number of effective studies and lack of information in reports posed a barrier to identifying  
535 beneficial BCTs. In order to be able to understand and evaluate behaviour change interventions,  
536 there is a need for clearer reporting of the active components of interventions. Although it needs  
537 further improvement, the behaviour change technique taxonomy version 1 (BCTTv1; (16)) is  
538 a reliable tool to identify such intervention components and should be used by those reporting  
539 the content of their interventions (82). Future studies may choose to identify barriers and  
540 facilitators of stopping maternal drinking which could be mapped onto the Theoretical  
541 Domains Framework (83) to support identification of potentially effective maternal-specific  
542 BCTs. This is a strategy that has been found valuable in pregnancy smoking cessation (70) and  
543 may strengthen future interventions.

544 Reasons for and consequences of drinking, patterns of drinking, stigma, and likelihood of  
545 seeking help can differ across ethnicity (84). Therefore, interventions should take into account  
546 ethnic and cultural factors to enhance effectiveness (81, 85). Participant ethnicity differed in  
547 the current pregnancy RCTs, yet the majority of these failed to identify whether these factors  
548 were considered and none described how treatment was tailored. This is a further limitation in  
549 the current evidence base (86). Additionally, there was a high percentage of black and Hispanic  
550 women, therefore generalizability of the results to other ethnic groups may be unreliable.

## 551 **Conclusion**

552 Generally, research that evaluates the effectiveness of maternal alcohol reduction interventions  
553 involve primarily pregnant women and only few trials focus on motherhood. Brief  
554 interventions and motivational approaches show the most promise to change alcohol related

555 behaviour in pregnancy, but further investigation is warranted to establish their effectiveness  
556 both for pregnant and parenting mothers. Identification of maternal-specific BCTs requires  
557 better empirical evidence. Given the importance of helping non-dependent mothers drink  
558 within recommended guidelines, digital interventions might be a suitable and cost-effective  
559 approach which future research can establish. It is critical to recognise that the existing  
560 evidence base for what is an important public health issue is insufficient. There needs to be a  
561 fundamental change towards better quality and well-reported trials of interventions that are  
562 guided by appropriate behaviour change theories and employ effective BCTs. This could help  
563 overcome barriers and target facilitators of drinking within the relevant recommended  
564 guidelines during pregnancy, as well as in motherhood - a neglected time period in alcohol  
565 research.

566

#### 567 **Funding**

568 The work was funded by the Liverpool Clinical Commissioning Group, grant number: 16506.

569 **Data statement:** Data is stored on OpenScienceFramework (<https://osf.io/cteug/>) (87)

570

571

572

573

574

575

576

577

578

579

580

581

582 **References**

- 583 1. Thibaut F, Chagraoui A, Buckley L, Gressier F, Labad J, Lamy S, et al. WFSBP (\*) and IAWMH  
584 (\*\*\*) Guidelines for the treatment of alcohol use disorders in pregnant women. *World J Biol*  
585 *Psychiatry*. 2019;20(1):17-50.
- 586 2. Schölin L, Watson, J., Dyson, J., and Smith. L. Alcohol guidelines for pregnant women:  
587 Barriers and enablers for midwives to deliver advice. London: Institute of Alcohol Studies; 2019.
- 588 3. Popova S, Lange S, Probst C, Gmel G, Rehm J. Estimation of national, regional, and global  
589 prevalence of alcohol use during pregnancy and fetal alcohol syndrome: a systematic review and  
590 meta-analysis. *The Lancet Global Health*. 2017;5(3):e290-e9.
- 591 4. Alliance AF. Families First: An evidence-based approach to protecting UK families from  
592 alcohol-related harm London: The Alcohol and Families Alliance; 2018.
- 593 5. England PH. Addressing the impact of non-dependent parental substance misuse upon  
594 children: A rapid review of the evidence, impact and effective interventions. London, UK: Public  
595 Health England; 2018.
- 596 6. Johnson SE, O'Leary C, Bower C, Lawrence D, Cunningham N, Semmens J, et al. Maternal  
597 alcohol disorders and school achievement: a population cohort record linkage study in Western  
598 Australia. *Bmj Open*. 2017;7(5).
- 599 7. Bjerregaard LBL, Gerke O, Rubak S, Host A, Wagner L. Identifying parents with risky alcohol  
600 consumption habits in a paediatric unit - are screening and brief intervention appropriate methods?  
601 *Scandinavian journal of caring sciences*. 2011;25(2):383-93.
- 602 8. Dagvadorja A, Corsi, D.J., Sumya, N., Muldoon, K., Wen, S.W., Takehara, K., Mori, R., &  
603 Walker, M.C. . Prevalence and determinants of mental health problems among children in Mongolia:  
604 A population-based birth cohort study. . *Global Epidemiology*,. 2019;in press.
- 605 9. Rossen L, Hutchinson D, Wilson J, Burns L, A Olsson C, Allsop S, et al. Predictors of postnatal  
606 mother-infant bonding: the role of antenatal bonding, maternal substance use and mental health.  
607 *Archives of Women's Mental Health*. 2016;19(4):609-22.
- 608 10. Yap MBH, Cheong TWK, Zaravinos-Tsakos F, Lubman DI, Jorm AF. Modifiable parenting  
609 factors associated with adolescent alcohol misuse: a systematic review and meta-analysis of  
610 longitudinal studies. *Addiction*. 2017;112(7):1142-62.
- 611 11. Laborde ND, Mair C. Alcohol Use Patterns Among Postpartum Women. *Maternal and Child*  
612 *Health Journal*. 2012;16(9):1810-9.
- 613 12. Borschmann R, Becker D, Spry E, Youssef GJ, Olsson CA, Hutchinson DM, et al. Alcohol and  
614 parenthood: An integrative analysis of the effects of transition to parenthood in three Australasian  
615 cohorts. *Drug and Alcohol Dependence*. 2019;197:326-34.
- 616 13. Heron J, Maughan B, Dick DM, Kendler KS, Lewis G, Macleod J, et al. Conduct problem  
617 trajectories and alcohol use and misuse in mid to late adolescence. *Drug and alcohol dependence*.  
618 2013;133(1):100-7.
- 619 14. Plant M. The alcohol harm reduction strategy for England. *BMJ (Clinical research ed)*.  
620 2004;328(7445):905-6.
- 621 15. Michie S, Johnston M, Francis J, Hardeman W, Eccles M. From Theory to Intervention:  
622 Mapping Theoretically Derived Behavioural Determinants to Behaviour Change Techniques. *Applied*  
623 *Psychology An International Review*. 2008;57(4):660-80.
- 624 16. Michie S, Richardson M, Johnston M, Abraham C, Francis J, Hardeman W, et al. The Behavior  
625 Change Technique Taxonomy (v1) of 93 Hierarchically Clustered Techniques: Building an  
626 International Consensus for the Reporting of Behavior Change Interventions. *Annals of Behavioral*  
627 *Medicine*. 2013;46(1):81-95.
- 628 17. Michie S, Whittington C, Hamoudi Z, Zarnani F, Tober G, West R. Identification of behaviour  
629 change techniques to reduce excessive alcohol consumption. *Addiction*. 2012;107(8):1431-40.
- 630 18. Black N, Mullan B, Sharpe L. Computer-delivered interventions for reducing alcohol  
631 consumption: meta-analysis and meta-regression using behaviour change techniques and theory.  
632 *Health Psychol Rev*. 2016;10(3):341-57.

## MATERNAL ALCOHOL INTERVENTIONS

- 633 19. Fergie L, Campbell KA, Coleman-Haynes T, Ussher M, Cooper S, Coleman T. Identifying  
634 Effective Behavior Change Techniques for Alcohol and Illicit Substance Use During Pregnancy: A  
635 Systematic Review. *Annals of Behavioral Medicine*. 2019;53(8):769-81.
- 636 20. Hatzis D, Dawe S, Harnett P, Barlow J. Quality of Caregiving in Mothers With Illicit Substance  
637 Use: A Systematic Review and Meta-analysis. *Substance Abuse: Research and Treatment*.  
638 2017;11:1178221817694038.
- 639 21. Liberati A, Altman DG, Tetzlaff J, Mulrow C, Gøtzsche PC, Ioannidis JPA, et al. The PRISMA  
640 statement for reporting systematic reviews and meta-analyses of studies that evaluate health care  
641 interventions: explanation and elaboration. *PLoS medicine*. 2009;6(7):e1000100.
- 642 22. Moher D, Liberati A, Tetzlaff J, Altman DG. Preferred reporting items for systematic reviews  
643 and meta-analyses: the PRISMA statement. *PLoS medicine*. 2009;6(7):e1000097.
- 644 23. Booth A, Clarke M, Dooley G, Gherzi D, Moher D, Petticrew M, et al. The nuts and bolts of  
645 PROSPERO: an international prospective register of systematic reviews. *Systematic reviews*.  
646 2012;1(1):2.
- 647 24. McHugh ML. Interrater reliability: the kappa statistic. *Biochemia Medica*. 2012;22(3):276-82.
- 648 25. Sterne JAC, Savović J, Page MJ, Elbers RG, Blencowe NS, Boutron I, et al. RoB 2: a revised tool  
649 for assessing risk of bias in randomised trials. *BMJ*. 2019;366:l4898.
- 650 26. Eldridge S, Campbell, M., Campbell, M., Drahota, A., Giraudeau, B., Higgins, J., ... Seigfried, N.  
651 . New cochrane risk of bias tool for cluster randomised tools. *Trials*. 2017;18(S1):230 [O106].
- 652 27. O'Connor MJ, Whaley SE. Brief intervention for alcohol use by pregnant women. *Am J Public*  
653 *Health*. 2007;97(2):252-8.
- 654 28. Slesnick N, Erdem G. Efficacy of ecologically-based treatment with substance-abusing  
655 homeless mothers: substance use and housing outcomes. *J Subst Abuse Treat*. 2013;45(5):416-25.
- 656 29. Thomas E, Magilvy JK. Qualitative rigor or research validity in qualitative research. *J Spec*  
657 *Pediatr Nurs*. 2011;16(2):151-5.
- 658 30. Elo S, Kääriäinen M, Kanste O, Pölkki T, Utriainen K, Kyngäs H. Qualitative Content Analysis:A  
659 Focus on Trustworthiness. *SAGE Open*. 2014;4(1):2158244014522633.
- 660 31. Review Manager (RevMan) [Computer program]. Version 5.3. . Copenhagen: The Nordic  
661 Cochrane Centre, The Cochrane Collaboration; 2014.
- 662 32. van der Wulp NY, Hoving C, Eijmael K, Candel MJ, van Dalen W, De Vries H. Reducing alcohol  
663 use during pregnancy via health counseling by midwives and internet-based computer-tailored  
664 feedback: a cluster randomized trial. *Journal of medical Internet research*. 2014;16(12):e274.
- 665 33. Higgins JPT, Thompson SG, Deeks JJ, Altman DG. Measuring inconsistency in meta-analyses.  
666 *BMJ*. 2003;327(7414):557-60.
- 667 34. Deeks JJ, Higgins, J.P.T. Altman, D.G. (editors). Chapter 9: Analysing data and undertaking  
668 meta-analysis. . *Cochrane Handbook for Systematic Reviews of Interventions* version 5.20 2017.
- 669 35. BCTTv1 Online Training. [Internet]. 2011 [cited 20th August 2019]. Available from:  
670 <https://www.bct-taxonomy.com/>.
- 671 36. Painter JE, Borba CPC, Hynes M, Mays D, Glanz K. The use of theory in health behavior  
672 research from 2000 to 2005: A systematic review. *Annals of Behavioral Medicine*. 2008;35(3):358-62.
- 673 37. Belizán J, Barros F, Langer A, Farnot U, Victora C, Villar J. Impact of health education during  
674 pregnancy on behavior and utilization of health resources. *American Journal of Obstetrics and*  
675 *Gynecology*. 1995;173(3 PART 1):894-9.
- 676 38. Chang G, McNamara TK, Orav EJ, Koby D, Lavigne A, Ludman B, et al. Brief intervention for  
677 prenatal alcohol use: a randomized trial. *Obstet Gynecol*. 2005;105(5 Pt 1):991-8.
- 678 39. Chang G, Wilkins-Haug L, Berman S, Goetz MA. Brief intervention for alcohol use in  
679 pregnancy: a randomized trial. *Addiction*. 1999;94(10):1499-508.
- 680 40. Handmaker NS, Miller WR, Manicke M. Findings of a pilot study of motivational interviewing  
681 with pregnant drinkers. *J Stud Alcohol*. 1999;60(2):285-7.

## MATERNAL ALCOHOL INTERVENTIONS

- 682 41. Osterman R, Lewis D, Winhusen T. Efficacy of motivational enhancement therapy to  
683 decrease alcohol and illicit-drug use in pregnant substance users reporting baseline alcohol use. *J*  
684 *Subst Abuse Treat.* 2017;77:150-5.
- 685 42. Osterman RL, Carle AC, Ammerman RT, Gates D. Single-session motivational intervention to  
686 decrease alcohol use during pregnancy. *J Subst Abuse Treat.* 2014;47(1):10-9.
- 687 43. Osterman RL, Dyehouse J. Effects of a motivational interviewing intervention to decrease  
688 prenatal alcohol use. *Western journal of nursing research.* 2012;34(4):434-54.
- 689 44. Reading AE, Campbell S, Cox DN, Sledmere CM. Health Beliefs and Health-Care Behavior in  
690 Pregnancy. *Psychological Medicine.* 1982;12(2):379-83.
- 691 45. Tzilos GK, Sokol RJ, Ondersma SJ. A randomized phase I trial of a brief computer-delivered  
692 intervention for alcohol use during pregnancy. *J Womens Health (Larchmt).* 2011;20(10):1517-24.
- 693 46. Waterson EJ, Murray-Lyon IM. Preventing fetal alcohol effects; a trial of three methods of  
694 giving information in the antenatal clinic. *Health Educ Res.* 1990;5(1):53-61.
- 695 47. Crawford-Williams F, Fielder A, Mikocka-Walus A, Esterman A, Steen M. A public health  
696 intervention to change knowledge, attitudes and behaviour regarding alcohol consumption in  
697 pregnancy. *Evidence Based Midwifery.* 2016;14(1):4-10.
- 698 48. Joya X, Mazarico E, Ramis J, Pacifici R, Salat-Batlle J, Mortali C, et al. Segmental hair analysis  
699 to assess effectiveness of single-session motivational intervention to stop ethanol use during  
700 pregnancy. *Drug Alcohol Depend.* 2016;158:45-51.
- 701 49. Ondersma SJ, Beatty JR, Svikis DS, Strickler RC, Tzilos GK, Chang G, et al. Computer-Delivered  
702 Screening and Brief Intervention for Alcohol Use in Pregnancy: A Pilot Randomized Trial. *Alcohol Clin*  
703 *Exp Res.* 2015;39(7):1219-26.
- 704 50. Reynolds KD, Coombs DW, Lowe JB, Peterson PL, Gayoso E. Evaluation of a self-help program  
705 to reduce alcohol consumption among pregnant women. *Int J Addict.* 1995;30(4):427-43.
- 706 51. Yonkers KA, Forray A, Howell HB, Gotman N, Kershaw T, Rounsaville BJ, et al. Motivational  
707 enhancement therapy coupled with cognitive behavioral therapy versus brief advice: a randomized  
708 trial for treatment of hazardous substance use in pregnancy and after delivery. *General hospital*  
709 *psychiatry.* 2012;34(5):439-49.
- 710 52. Rubio DM, Day NL, Conigliaro J, Hanusa BH, Larkby C, McNeil M, et al. Brief motivational  
711 enhancement intervention to prevent or reduce postpartum alcohol use: a single-blinded,  
712 randomized controlled effectiveness trial. *J Subst Abuse Treat.* 2014;46(3):382-9.
- 713 53. Marais S, Jordaan E, Viljoen D, Olivier L, de Waal J, Poole C. The effect of brief interventions  
714 on the drinking behaviour of pregnant women in a high-risk rural South African community: A cluster  
715 randomised trial. *Early Child Development and Care.* 2011;181(4):463-74.
- 716 54. Rotheram-Borus MJ, Arfer KB, Christodoulou J, Comulada WS, Tubert JE, Stewart J, et al. The  
717 association of maternal alcohol use and paraprofessional home visiting with children's health: A  
718 randomized controlled trial. *Journal of Consulting and Clinical Psychology.* 2019;87(6):551-62.
- 719 55. Fleming MF, Lund MR, Wilton G, Landry M, Scheets D. The Healthy Moms Study: the efficacy  
720 of brief alcohol intervention in postpartum women. *Alcohol Clin Exp Res.* 2008;32(9):1600-6.
- 721 56. Gwadz MV, Leonard NR, Cleland CM, Riedel M, Arredondo GN, Wolfe H, et al. Behavioral  
722 interventions for HIV infected and uninfected mothers with problem drinking. *Addiction Research*  
723 *and Theory.* 2008;16(1):47-65.
- 724 57. Ondersma SJ, Svikis DS, Thacker LR, Beatty JR, Lockhart N. A randomised trial of a computer-  
725 delivered screening and brief intervention for postpartum alcohol use. *Drug Alcohol Rev.*  
726 2016;35(6):710-8.
- 727 58. Ballesteros J, González-Pinto A, Querejeta I, Ariño J. Brief interventions for hazardous  
728 drinkers delivered in primary care are equally effective in men and women. *Addiction.*  
729 2004;99(1):103-8.
- 730 59. Devaux M, Sassi F. Social disparities in hazardous alcohol use: self-report bias may lead to  
731 incorrect estimates. *European journal of public health.* 2016;26(1):129-34.

## MATERNAL ALCOHOL INTERVENTIONS

- 732 60. Boniface S, Kneale J, Shelton N. Drinking pattern is more strongly associated with under-  
733 reporting of alcohol consumption than socio-demographic factors: evidence from a mixed-methods  
734 study. *Bmc Public Health*. 2014;14.
- 735 61. Crawford-Williams F, Fielder A, Mikocka-Walus A, Esterman A. A critical review of public  
736 health interventions aimed at reducing alcohol consumption and/or increasing knowledge among  
737 pregnant women. *Drug and Alcohol Review*. 2015;34(2):154-61.
- 738 62. Lui S, Terplan M, Smith EJ. Psychosocial interventions for women enrolled in alcohol  
739 treatment during pregnancy. *Cochrane Database Syst Rev*. 2008(3):Cd006753.
- 740 63. O'Donnell A, Anderson P, Newbury-Birch D, Schulte B, Schmidt C, Reimer J, et al. The impact  
741 of brief alcohol interventions in primary healthcare: a systematic review of reviews. *Alcohol Alcohol*.  
742 2014;49(1):66-78.
- 743 64. Stade BC, Bailey C, Dzenoletas D, Sgro M, Dowswell T, Bennett D. Psychological and/or  
744 educational interventions for reducing alcohol consumption in pregnant women and women  
745 planning pregnancy. *Cochrane Database of Systematic Reviews*. 2009(2).
- 746 65. Symons M, Pedruzzi RA, Bruce K, Milne E. A systematic review of prevention interventions to  
747 reduce prenatal alcohol exposure and fetal alcohol spectrum disorder in indigenous communities.  
748 *Bmc Public Health*. 2018;18.
- 749 66. Kaner EFS, Beyer FR, Muirhead C, Campbell F, Pienaar ED, Bertholet N, et al. Effectiveness of  
750 brief alcohol interventions in primary care populations. *Cochrane Database of Systematic Reviews*.  
751 2018(2).
- 752 67. Gebara CF, Bhona FM, Ronzani TM, Lourenco LM, Noto AR. Brief intervention and decrease  
753 of alcohol consumption among women: a systematic review. *Subst Abuse Treat Prev Policy*.  
754 2013;8:31.
- 755 68. Likis FE, Andrews, J.C., Fonnesebeck, C., Hartmann, K.E., Jerome, R.N., Potter, S.A., Surawicz,  
756 T., & Mcpheeters, M.L. Smoking Cessation Interventions in Pregnancy and Postpartum Care.  
757 Rockville: Agency for Healthcare Research and Quality (AHRQ); 2014. Report No.: Evidence  
758 Report/Technology Assessment No.214
- 759 Contract No.: 14-E001-EF.
- 760 69. Lumley J, Chamberlain C, Dowswell T, Oliver S, Oakley L, Watson L. Interventions for  
761 promoting smoking cessation during pregnancy. *Cochrane Database of Systematic Reviews*. 2009(3).
- 762 70. Campbell KA, Fergie L, Coleman-Haynes T, Cooper S, Lorencatto F, Ussher M, et al. Improving  
763 Behavioral Support for Smoking Cessation in Pregnancy: What Are the Barriers to Stopping and  
764 Which Behavior Change Techniques Can Influence Them? Application of Theoretical Domains  
765 Framework. *Int J Env Res Pub He*. 2018;15(2).
- 766 71. Giardino CM, Schetter, C. D., editor *Understanding Pregnancy Anxiety: Concepts, Correlates,*  
767 *and Consequences*.2014.
- 768 72. Currie JL. A New Model of Coping for Mothers of Young Children. *Journal of Gynecology and*  
769 *Women's Health*. 2018;13(1).
- 770 73. Marlatt GA, & Gordon, J.R. . *Relapse Prevention: Maintenance Strategies in the Treatment of*  
771 *Addictive Behaviors*. . New York: Guilford Press; 1985.
- 772 74. Wilsnack SC, Wilsnack RW, Kantor LW. Focus On: Women and the Costs of Alcohol Use.  
773 *Alcohol Res-Curr Rev*. 2013;35(2):219-28.
- 774 75. Field M, Campbell, F., Hock, E., & Wong, R. Digital interventions to reduce alcohol-related  
775 harm: A rapid horizon-scanning review. London: Alcohol Change UK; 2019.
- 776 76. Kaner EFS, Beyer FR, Garnett C, Crane D, Brown J, Muirhead C, et al. Personalised digital  
777 interventions for reducing hazardous and harmful alcohol consumption in community-dwelling  
778 populations. *Cochrane Database of Systematic Reviews*. 2017(9).
- 779 77. Gilinsky A, Swanson V, Power K. Interventions delivered during antenatal care to reduce  
780 alcohol consumption during pregnancy: A systematic review. *Addict Res Theory*. 2011;19(3):235-50.
- 781 78. Andrade C. The primary outcome measure and its importance in clinical trials. *J Clin*  
782 *Psychiatry*. 2015;76(10):e1320-3.

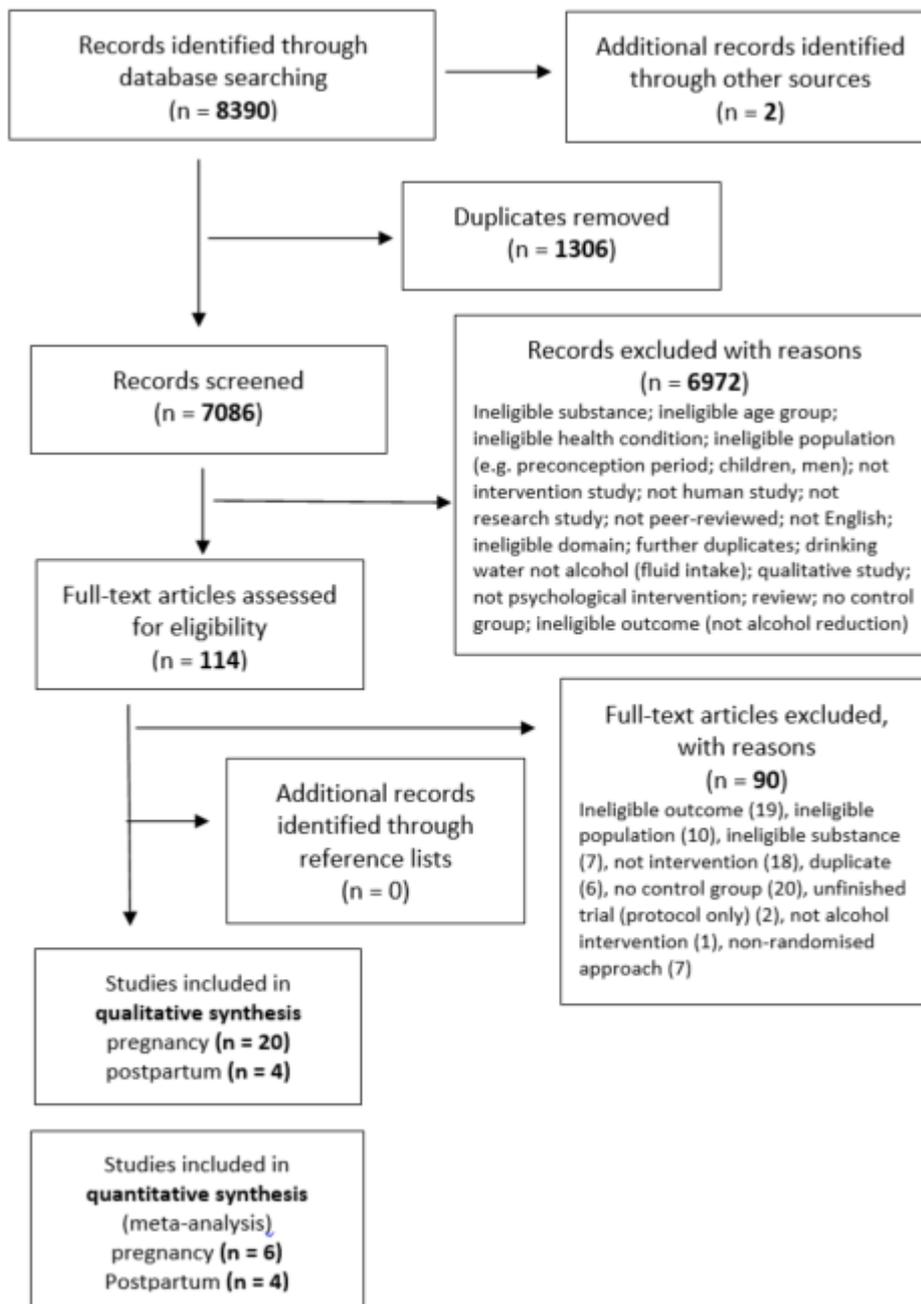
## MATERNAL ALCOHOL INTERVENTIONS

- 783 79. Garnett CV, Crane D, Brown J, Kaner EFS, Beyer FR, Muirhead CR, et al. Behavior Change  
784 Techniques Used in Digital Behavior Change Interventions to Reduce Excessive Alcohol Consumption:  
785 A Meta-regression. *Annals of Behavioral Medicine*. 2018;52(6):530-43.
- 786 80. Martins Scalabrin J, Mello MF, Swardfager W, Cogo-Moreira H. Risk of Bias in Randomized  
787 Clinical Trials on Psychological Therapies for Post-Traumatic Stress Disorder in Adults. *Chronic Stress*.  
788 2018;2:2470547018779066.
- 789 81. Zapolski TCB, Pedersen SL, McCarthy DM, Smith GT. Less drinking, yet more problems:  
790 understanding African American drinking and related problems. *Psychological bulletin*.  
791 2014;140(1):188-223.
- 792 82. Wood CE, Hardeman W, Johnston M, Francis J, Abraham C, Michie S. Reporting behaviour  
793 change interventions: do the behaviour change technique taxonomy v1, and training in its use,  
794 improve the quality of intervention descriptions? *Implementation science : IS*. 2016;11(1):84.
- 795 83. Cane J, O'Connor D, Michie S. Validation of the theoretical domains framework for use in  
796 behaviour change and implementation research. *Implementation Science*. 2012;7.
- 797 84. Rao R, Schofield P, Ashworth M. Alcohol use, socioeconomic deprivation and ethnicity in  
798 older people. *BMJ Open*. 2015;5(8):e007525.
- 799 85. McCurtis Witherspoon K, Williams Richardson A. Sisters in support together against  
800 substances (SISTAS): an alcohol abuse prevention group for Black women. *Journal of ethnicity in  
801 substance abuse*. 2006;5(3):49-60.
- 802 86. Gleeson H, Thom, B., Bayley, M., & McQuarrie, T. Rapid evidence review: Drinking problems  
803 and interventions in black and minority ethnic communities. 2019.
- 804 87. Ujhelyi Gomez K. Are behaviour change techniques effective in reducing alcohol  
805 consumption during pregnancy and motherhood? A systematic review and meta-analysis. . (2020,  
806 April 27).

807

808

## MATERNAL ALCOHOL INTERVENTIONS



810 **Figure 1. Search results and flowchart**

811

812

813

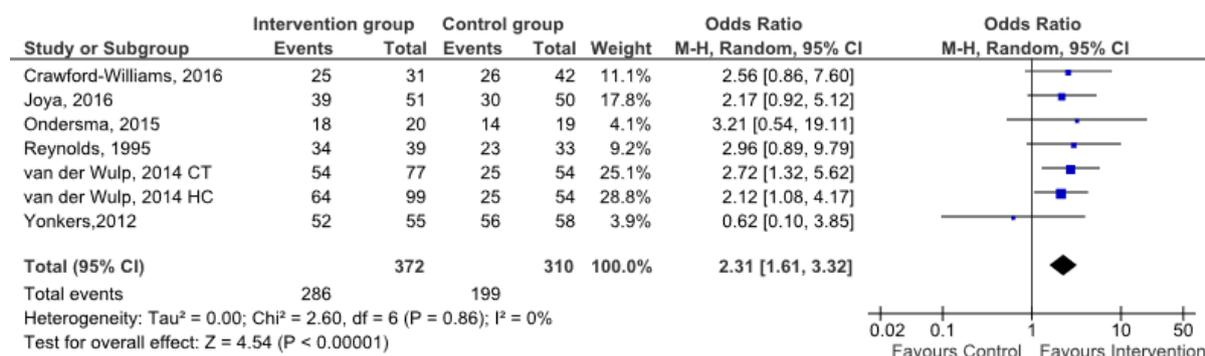
814

815

816

817

## MATERNAL ALCOHOL INTERVENTIONS



819

820 **Figure 2. Forest plot showing an advantage for intervention group over control group in**  
 821 **terms of abstinence in pregnancy.** (CT = Computer-Tailored feedback; HC = Health  
 822 Counselling).

823

824

825

826

827

828

829

830

831

832

833

834

835

836

837

838

839

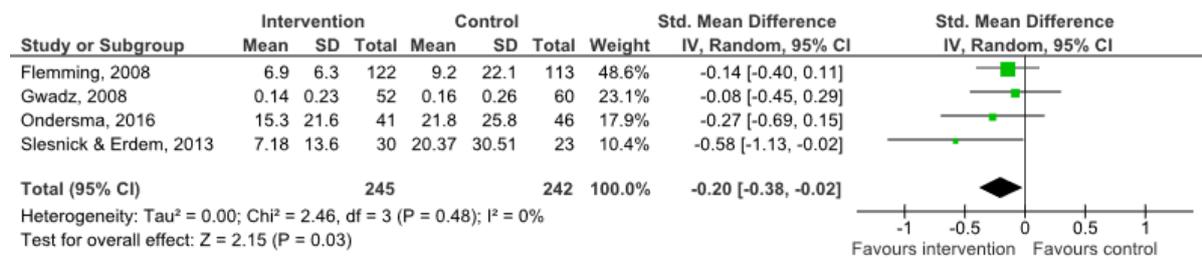
840

841

842

843

## MATERNAL ALCOHOL INTERVENTIONS



845 **Figure 3. Forest plot showing an advantage for intervention group over control group in**  
 846 **terms of alcohol reduction in motherhood when all studies included.**

847  
 848  
 849  
 850  
 851  
 852  
 853  
 854  
 855  
 856  
 857  
 858  
 859  
 860  
 861  
 862  
 863  
 864  
 865  
 866  
 867  
 868  
 869  
 870

871 **Table 1. Search terms**

---

<b>Population terms</b>	Maternal OR mother OR perinatal OR postnatal OR postpartum OR “early motherhood” OR “parenting women” OR breastfeeding OR pregnan* OR prenatal
AND	
<b>Behaviour terms</b>	Alcohol OR drinking
AND	
<b>Treatment terms</b>	interven* OR preven* OR “behavio* change” OR “behavio* modification” OR program* OR “cognitive behavio* therapy” OR counselling OR “motivational interviewing” OR psychotherapy

---

872

**Table 2. Characteristics of pregnancy studies**

Reference and country of origin	Participants Age, alcohol use, ethnic majority, week of gestation at baseline	Study design	Intervention type, delivery, and location	Comparison group	Outcomes and measures	Follow-up period	Results
1/ Belizan et al, 1995 [37] (additional information source: Villar et al, 1992; Langer et al, 1993)  Argentina	N=2235  Mean age: IG: 24.3±6.6; CG: 24.6±6.6  Alcohol disorder: 31.4%; all heavy alcohol use  100% Hispanic  Gestation (mean): 18.3 ±2.3	Individual RCT	4 home visits  by social workers, obstetrics nurses  1-2 hours  N=1115 or 1110	Routine prenatal care  N=1120	Self-report Abstinence (daily alcohol drinking)  No information on alcohol measure (interviews re health-related behaviours)	4 months (between 15-22 weeks and 36 weeks gestation)	Data analysed N=2028 (IG: 1009, CG: 1019)  No significant decrease in drinking.  No differences between groups.  No statistics reported.
2/ Chang et al, 2005 [38]  USA	N=304 Median age IG: 32 Mean age CG: 30.7  Less than 10% abstinent in the time period covered	Individual RCT	BI (single-session)  By nurse or principle investigator  Hospital  N=152	No intervention  N=152	Self-report Reduction - Frequency and quantity  TLFB	Average # of weeks studied 22 (5 months)	Data analysed N=304 (IG=152, CG=152)  No data on comparison of groups with all participants.  Significant difference between groups: BI more effective in reducing frequency of

MATERNAL ALCOHOL INTERVENTIONS

	Scored positive on T-ACE (risk drinking)						consumption among those who drank more at enrolment (b= -0.163, standard error [SE] (b) = 0.063, p<.01)
	78.6% (239) white						
	Gestation (median): 11(IG) 12(CG)						
3/ Chang et al, 1999 [39]	N=250 Mean age: 30.7±5.4 (18-43)	Individual RCT	BI Delivered by first author (Prof in psychiatry)	AO N=127	Self-report Reduction - Frequency and quantity	Average # of weeks studied 22 (5 months)	Data analysed N=247 IG and CG – no information
USA	43% drank while pregnant; 40% satisfied DSM criteria for life-time alcohol diagnoses.		Clinic and obstetric practices N=123		Addiction Severity Index; TLFB; Alcohol Craving Scale; collateral report of antepartum drinking.		Decline in antepartum drinking in both groups (IG: net decrease of 0.3 drink per drinking day; CG: net decrease of 0.4 drink per drinking day).
	Scored positive on T-ACE (risk drinking) – pre-pregnancy and prenatal						No significant difference between groups (0.7 (IG) vs 1.0 (CG) drinking episode, p=.12).
	78% (195) white						
	Gestation (mean): 16±4.6						143 participants abstinent while pregnant – less likely to drink if received BI

MATERNAL ALCOHOL INTERVENTIONS

*4/ Crowford-Williams et al, 2016 [47]  Australia	N=161  Mean age: 29.2  No alcohol disorder; no information on how many participants drank  80.7% (130) white  Gestation: 2 <sup>nd</sup> trimester	Individual RCT	Public Health Intervention: “Mocktails” – recipe booklet of non-alcoholic beverages  Self-delivered  Antenatal clinic  N=82	Standard antenatal care  N=79	Self-report Abstinence  Standard questions from the National Drug Strategy Household Survey.	4-7.5 months (16-31 weeks)	Data analysed N=96 (IG=49, CG=47). Data analysed for abstinence outcome N=73 (IG=31, CG=42)  No significant effect on changing alcohol consumption behaviour.  Although a higher % of women in the IG abstained from alcohol throughout pregnancy (IG: 80.6%; CG: 61.9%), this result did not achieve significance (1.30 (0.97–1.75), p=0.077).
5/ Handmaker et al, 1999 [40]  USA	N=42  Mean age 24 ± 5.76 years  Light to heavy drinking  53% (22) Hispanic  Gestation: not reported	Individual RCT (stratified by alcohol consumption)	MI (1 hour) – BI  Conducted by first author  Obstetric clinics  N=20	Letter about potential risk of drinking and referral to health care provider  N=22	Self-report Total alcohol consumption and abstinent days  Follow-up Drink Profile	2 months within pregnancy (unclear at what gestational age women were recruited)	Data analysed N=34 IG=16, CG=18  No difference in total alcohol consumption (F = .01, 1/31 df, p = .94) and abstinent days (F = 1.25, 1/31 df, p = .27) between groups.  For peak intoxication (BAC) level, women with high BAC levels

MATERNAL ALCOHOL INTERVENTIONS

							showed significantly greater reduction with MI than control (F = 4.46, 1/30 df, p = .043)
*6/ Joya et al, 2016 [48]	N=168 Mean age: IG: 32.3±5 CG: 29.9 ± 5.7  59% drank alcohol during pregnancy  42.3% (71) white  Gestation: all gestation periods	Individual RCT	MI (single-session)  (No mention of who delivered it)  Hospital  N=83	Single-session education group  N=85	Self-report Abstinence  Segmental hair analysis TLFB	4-6 months	Data analysed N=101 (CG=51, IG=50)  No significant increase was found.  Higher rate of abstinence in IG (75%) than CG (60%), but no differences between groups (p=.285)
7/ Marais et al, 2011 [53]	N=194 Mean age: 24  55% drank alcohol during pregnancy.  81.2% (160) black  Gestation (mean): 14.8±4.1(IG) 14.8±4.6 (CG)	Pragmatic clustered RCT	BI  By trained field workers  Clinics  N=98	Assessment only  N=96	Self-report Reduction - AUDIT	5 months (Less than 20 weeks pregnant and just before birth)	Data analysed N=179 (IG=97, CG=82)  Decline in alcohol use in both interventions (IG: 72%; CG: 41%).  Significant difference in alcohol reduction in AUDIT scores in favour of IG (IE = 1.97; SE = 0.64; p=.002)

MATERNAL ALCOHOL INTERVENTIONS

8/ O'Connor & Whaley, 2007 [27] USA	N=345 Mean age IG:28.52±5.84 Mean age CG: 27.9±6.09  Any alcohol use  TWEAK – high risk drinking  69.8% (178) Hispanic  Gestation (mean): 17.78±7.76(IG) 18.15±7.99(CG)	Clustered RCT	BI  By nutritionist  Women, infants, and children centres  N=162	Assessment only  N=183	Self-report Reduction - Frequency and quantity, and abstinence  Maximum drinks per drinking occasion	Screened at every monthly prenatal visit. 245 women were followed to 3 <sup>rd</sup> trimester.	Data analysed N=255 (IG=117, CG=138)  Significant reduction in both groups (F <sub>1,241</sub> =4.33, p<.04)  <u>Abstinence</u> : significant intervention effect - BI group 5 times more likely to be abstinent by 3 <sup>rd</sup> trimester (OR=5.39; 95% CI=1.59, 18.25, p<.04) <u>Reduction</u> : women in the BI condition reported significantly lower drinking levels across both follow up periods (F <sub>1, 183</sub> = 7.02, p < .01)
*9/ Ondersma et al, 2015 [49] USA	N=48 Age: 18-37  25% alcohol disorder; all participants drank  81.3% (39) black	Pilot individual RCT	Computer-delivered Screening and BI  Urban prenatal care clinic  N=24	Intervention focused on infant nutrition (no mention of alcohol)  N=24	Self-report Abstinence and frequency (number of drinking days)  Alcohol substest of the MINI International Neuropsychiatric Interview – 5.0	3 months (90 day period prevalence abstinence)	Data analysed N=39 (IG=20, CG=19)  No significance increase in abstinence rate.  Higher rate of abstinence and reduction in IG (90%) than CG (73.7%) but non-significant

MATERNAL ALCOHOL INTERVENTIONS

	Gestation (mean): 12.5±5.6(IG) 12.0±5.3(CG)				At follow-up only - Timeline follow- back interview		difference between groups (p=.19) No data reported on reduction
10/ Osterman & Dyehouse, 2012 [43]  USA	N=56 Mean age: 24.9  No alcohol disorder  Low level of drinking  66.7% (37) black  Gestation (mean): 20.71 (no sd reported)	Individual RCT	MI  By researcher (certified psychiatric mental health clinical nurse specialist)  Prenatal clinics  N=29	No intervention  CG=27	Self-report Reduction - Frequency (#of days drinking/week) and quantity (#of standard drinks/day)  AUDIT	4-6 weeks	Data analysed N=56 (IG=29, CG=27)  No significant differences between groups (p=.327)
11/ Osterman et al, 2014 [42]  USA	N=122 Mean age: IG: 25.27±4.67 CG: 25.55±4.98  Low level of drinking  58.2% (71) black  Gestation (mean): 23.60±8.72(IG) 23.14±8.72(CG)	Individual RCT	Single-session motivational intervention  By researcher  University Medical Centre  N=62	No intervention  N=60	Self-report Reduction - Frequency (drink days/week); quantity (drinks/day) AUDIT  drink days per week, drinks per day QDS	30 days post- baseline 30 days postpartum	Data analysed N=118 (IG=60, CG=58)  AUDIT – significant decrease in both groups (b = -1.86; z = -14.21, p b .01)  QDS - No significant change in drinking behaviour  No sign differences between groups

MATERNAL ALCOHOL INTERVENTIONS

							No further relevant statistics reported.
12/ Osterman et al, 2017 [41]	N=41 Mean age: 27.6±6.2	Individual Stratified RCT Secondary analysis of a clinical trial (Winhusen et al, 2008 – not in our search)	MET By clinicians trained by MET experts Substance abuse treatment service N=27	TAU CG=14	Self-report Reduction – frequency (days of alcohol use in the past 28 days) TLFB	Active study phase: weekly measuring for up to 4 weeks Follow up: 2 and 4 months	Data analysed N=41 (IG=27, CG=14)  <u>Active study phase:</u> decrease in both groups; non-significant treatment (X <sup>2</sup> = 1.49, df = 1, p N 0.05), time (X <sup>2</sup> = 2.63, df = 1, p N 0.05), and time and treatment X time interaction effects (X <sup>2</sup> = 2.64, df = 1, p N 0.05).  <u>12-week follow up:</u> Significant time (X <sup>2</sup> =16.76, df=1, p b 0.0001) and treatment × time interaction (X <sup>2</sup> = 13.07, df = 1, p b 0.001) effects with MET lower levels of alcohol use relative to TAU. No significant treatment effect on alcohol use days.
USA	About 25% used alcohol primarily. Ps were women entering treatment for substance use  40% (16) white  Gestation (mean): 20.6±8.9(IG) 18.7±7.7(CG)						
13/ Reading et al, 1982 [44]	N=129 Mean age IG: 24.7±4 CG: 25.1±4	Individual RCT	High feedback – ultrasound and specific visual, verbal feedback By clinician	Low feedback – examination and interview (no	Self-report Reduction Measures not specified (questionnaire re	Before and after ultrasound	Data analysed N=129 (IG=67, CG=62)  No significant difference with respect to ultrasound
UK							

MATERNAL ALCOHOL INTERVENTIONS

	69% not drinking Moderate to heavy drinking: N=8 (6.2%) 100% white Gestation: not reported (first ultrasound)		Antenatal booking clinic N=67	monitor or feedback) N=62	health beliefs and behaviour) Participants were asked if they decreased their alcohol consumption since the scan at 16-week appointment		conditions and decrease in alcohol consumption ( $\chi^2=5.5$ , $df=2$ , $p=.064$ ).
*14/ Reynolds et al, 1995 [50] USA	N=78 Mean age: 22.4 All participants drank 66.7% (52) black Gestation: all gestation periods	Individual RCT	Cognitive behavioural self-help intervention Instruction provided by an educator on how to perform the intervention Clinic N=42	Usual care N=36	Self-report Abstinence and reduction (frequency and quantity) 47-item questionnaire including alcohol consumption, (past month, how many days, how much, binge drinking) Quantity and frequency of alcohol consumption	3 months	Data analysed N=72 (IG=39, CG=33) An overall quit rate favouring the intervention group was observed (88%) compared to the CG (69%) but differences between groups only approached significance between groups ( $\chi^2(1) = 3.6$ , $p<.058$ ). No significant differences between groups for reduction ( $t(1, 63) = 1.9$ , $p<.06$ ).
15/ Rotheram-Borus et al, 2019 [54]	N=1238 Mean age: 26.4 IG: 26.5 CG: 26.3	Clustered RCT	Home visits (4 antenatal – one alcohol-related session, 4 postnatal) –	Standard care N=594	Self-report Reduction and abstinence	2 weeks to 60 months	Data analysed 2 weeks – no information 6 month N=1060

MATERNAL ALCOHOL INTERVENTIONS

USA	Occasional drinkers N=433 Problem drinkers N=266  100% black  Gestation: 3-40 weeks		BI, cognitive-behaviour change strategies  By trained mentor mothers  N=644		AUDIT		(IG=487, CG=573) 18 month N=1039 (IG=487, CG=543) 36 month N=952 (IG=497, CG=455) 60 month N=920 (IG=477, CG=443)  In general, alcohol use increased in both groups postpartum. At 5-year follow-up – IG participants are less likely to be problem drinkers but no statistical significance between groups (-.04 [-.35, .28], p=.82)  No statistics reported for pregnancy period.
16/ Rubio et al, 2014 [52]	N=330 Mean age IG: 23.5±4.04 Mean age CG: 24.1±5.40  Substantial alcohol use before pregnancy. Fewer than 35% reported any alcohol use between	Individual RCT	Brief motivational enhancement  By registered nurse or lay counsellor trained by investigators  Urban obstetric clinic  Intervention during pregnancy and postpartum  N=165	Usual care  N=165	Self-report Reduction (quantity) and abstinence  A validated instrument developed by Maternal Health Practices and Child Development Project	(Max 20 weeks of gestation)  6 weeks; 6 months, 12 months postpartum	Data analysed N=251 (IG=125, CG=126)  No pregnancy data.  <u>Postpartum:</u> Any alcohol use: non-significant intervention effect  Drinks per day: both groups increased drinks/day at each time point but neither group

MATERNAL ALCOHOL INTERVENTIONS

	recognition of pregnancy and enrolment						returned to pre-pregnancy drinking.
	53.6% (177) black						No significant differences between groups
	Gestation (mean): 9.9±4.3(IG) 9.7±3.8(CG)						
17/ Tzilos et al, 2011 [45]	N=50 Mean age: IG: 25±4.93 CG: 26.4±5.52	Individual RCT	Single-session computer-delivered BI  Prenatal care clinic	No intervention  N=23	Self-report Reduction (quantity) and abstinence (No/Any drinking),  TLFB computer-modified version over past month	1 month	Data analysed N=50 (IG=27, CG=23)  <u>Reduction:</u> Both groups reduced alcohol use (W= 25, p < 0.01, r= -0.73)  <u>Abstinence:</u> overall, 72% reported any drinking at baseline and 10% at follow-up.  No difference between conditions (p=.71).
USA	74% reported quitting alcohol use before participation – no information on level of drinking for the remaining 26% (Overall, 72% reported any drinking at baseline, and 10% reported any drinking at follow up)  82% (41) black		N=27				

MATERNAL ALCOHOL INTERVENTIONS

	Gestation (mean): 25±8.45(IG) 25.5±7.63(CG)						
*18/ van der Wulp, 2014 [32]	N=393 Mean age: 32.56±4.2	Clustered RCT	HC by midwives, N=135	Usual care N=142	Self-report Abstinence and reduction (quantity – drinks/week)	3 months (T1) 6 months (T2)	Data analysed N=176 (IG=99, CG=77)
Netherlands	No alcohol disorder; all participants drank  Ethnicity not reported  Gestation (mean): 7.87±1.96		OR  Internet-based CT, N=116  Midwife practices		Self-report Post-test drinking behaviour – “Have you had at least one sip of alcohol since the previous questionnaire		<u>Abstinence (H1):</u> <u>Time 1</u> - HC: 65%, CT: 70%, CG: 45.4% - non-significant differences (HC vs CG: p=.79; CT vs CG: p=.15) <u>Time 2:</u> HC: 72%, CT: 78%, CG: 55% - non- significant differences for HC vs CG (p=.26), and significant differences for CT vs CG: p=.04)  <u>Reduction (H2):</u> <u>Time 1</u> - HC: 0.56(0.91), CT: 0.25(0.27), CG: 0.51(0.54) – non- significant differences for HC vs CG (p=.58), CT vs CG (p=.23). <u>Time 2</u> – HC: 0.77(1.36), CT: 0.35(0.31), CG: 0.48(0.54) – non- significant differences

MATERNAL ALCOHOL INTERVENTIONS

							for HC vs CG (p=.23). Significant differences in favour of CT vs CG for respondents with average (p=.007) or 1 SD below average alcohol use pre-pregnancy. Results were non-significant for respondents with 1 SD above average (p=.57).
19/ Waterson & Murray-Lyon, 1990 [46] UK	Trial 1 N=1036 IG=559 (37% drinking) CG=477 (39% dinking)  Trial 2 N=1064 IG=500 (34%) CG=564 (34%)  No information on age  1 unit of alcohol or more per day  Ethnicity not reported  Gestation: not reported (first	Individual RCT	Trial I. – Written information + personal advice and reinforcement by doctor  Trial II. – Written information + personal advice + specially produced video  By doctor  Antenatal clinic	Same written info alone  Same written information alone	Self-report Reduction - frequency and quantity of alcohol use, frequency of binge drinking  CAGE questions	Questionnaire 1 (Q1): 7 months after intake (at first visit to clinic); Questionnaire 2 (Q2): just after delivery	Data analysed Trial 1 Q1 N=611 Trial 1 Q2 N=767 Trial 2 Q1 N=532 Trial 2 Q2 N=362  No significant differences within or between trials  No significant differences between groups.  No statistics reported.

MATERNAL ALCOHOL INTERVENTIONS

	antenatal care visit)						
*20/ Yonkers et al, 2012 [51]	N=183 Age: <20: 29 20-34: 126 35+: 13	Individual RCT	MET coupled with CBT  By trained research nurse therapists  Hospital-based reproductive health clinic	Brief advice  N=91	Self-report Abstinence  TLFB	3 months	Data analysed N=168 (IG=82, CG=86) Data analysed for abstinence outcome N=113 (IG=55, CG=58)  Substance use decreased in both groups between intake and delivery but increased again after delivery.  Treatment effects did not differ between groups (IG: 95%; CG: 97%), no p value available.
USA	Any alcohol use, intoxication: N=68 Primary alcohol use N=51  53% (89) black  Gestation: under 28 weeks at screening		N=92				

\*included in meta-analysis; N=total number of participants; IG = Intervention Group; CG = Control Group, RCT = Randomized Controlled Trial, BI = Brief Intervention, TLFB = Timeline Follow Back, AO = Assessment Only, MI = Motivational Interviewing, BAC = Blood Alcohol Concentration, AUDIT = Alcohol Use Disorder Identification Test, QDS = Quick Drinking Screen, MET = Motivational Enhancement Therapy, TAU = Treatment AS Usual, HC = Health Counselling, CT – Computer-Tailored feedback, CBT = Cognitive Behaviour Therapy.

**Table 3. Characteristics of motherhood studies**

Reference and country of origin	Participants Age, alcohol use, ethnic majority, age of children	Study design	Intervention type, delivery, and location	Comparison group	Outcomes and measures	Follow-up period	Results
1/ Fleming et al, 2008 [55]  USA	N=235 Median age: 28 (18-41+)  High risk drinking  81.7% (192) white  Age of children: 45 days postpartum	Individual RCT	Brief intervention  By trained researchers  Obstetric clinics  N=122	Usual care  N=113	Self-report Reduction - Quantity (mean # of standard drinks); frequency (mean # of drinking days); mean # of heavy drinking days (four or more drinks) in the previous 28 days  TLFB	6 months	Data analysed N=235 (IG=122, CG=113)  Significant reduction in the mean # of drinks; # of drinking days; and heavy drinking days in past 28 days  Significant differences between groups in favour of the BI group
2/ Gwadz et al, 2008 [56]  USA	N=118 Mean age: 40.9±6.1  Problem drinking  56.8% (67) black  Age of children: 11-18 years	Individual RCT	Social-cognitive behavioural intervention 14 sessions “Family First”  Trained and experienced master’s-level clinicians  Community-based organisations and hospital clinics  N=57	Single-session social/motivational intervention (Brief video intervention)  N=61	Self-report Reduction (frequency and quantity)  Computer-assisted personal interviewing; Audio-computer assisted self-interviewing	3, 6, 12, 18 months	Data analysed 3 month N=109 (IG=51, CG=58) 6 month N=112 (IG=52, CG=60) 12 month N=106 (IG=51, CG=55) 18 month N=111 (IG=52, CG=59)  A general trend of reduction in both interventions

MATERNAL ALCOHOL INTERVENTIONS

							Those with greater initial substance use maintained reduction over a longer period of time in SCBI
3/ Ondersma et al, 2016 [57]  USA	N=123 Mean age: 27.1±6  High risk drinking  87% (107) black  Age of children: during inpatient hospitalisation for childbirth.	Individual RCT	Computer-Delivered Screening and BI  Hospital  N=61	No intervention (time-control group)  N=62	Self-report Reduction – frequency (drinking days); quantity (mean drinks/week); binge episodes/week  TLFB Computer- modified version over past week and past 90 days  National Institute on Alcohol Abuse and Alcoholism – quantity/frequency and binge drinking	3 and 6 months	Data analysed 3 month N=83 (IG=41, CG=42) 6 month N=87 (IG=41, CG=46)  No significant reduction  No between-group differences were significant 7-day point prevalence abstinence
4/ Slesnick & Erdem, 2013 [28]  USA	N=60 Mean age: 26.3±6.1  Substance use disorder  75% (45) black  Age of children:	Individual pilot RCT	EBT (rental/utility assistance, case management, substance abuse counselling)  By master’s-level therapists  Homeless family shelter  N=30	TAU (housing and services)  N=30	Self-report Frequency and quantity of drug/alcohol use  The Form 90 Interview	3, 6, 9 months	Data analysed 3 month N=54 (IG=30, CG=24) 6 month N=53 (IG=30, CG=23) 9 month N=55 (IG=30, CG=25)  EBT – quicker decline in alcohol use and frequency than TAU

## MATERNAL ALCOHOL INTERVENTIONS

---

2-6 years  
Mean age:  
3.68±1.41

---

All motherhood studies were included in meta-analysis. N = total number of participants; IG = Intervention Group; CG = Control Group; SUD = Substance Use Disorder; RCT = Randomized Controlled Trial, TLFB = Timeline Follow Back, TAU = Treatment AS Usual, EBT = Ecologically-Based Treatment.

**Table 4. Assessment of risk of bias by domains and overall**

<b>Study</b>	<b>Domain 1</b> Randomization	<b>Domain 2</b> Deviations from the intended interventions (effect of assignment)	<b>Domain 3</b> Missing outcome data	<b>Domain 4</b> Outcome measurement	<b>Domain 5</b> Selection of reported results	<b>Overall risk of bias judgement</b>
<b>Pregnancy</b>						
Belizan et al, 1994 [37]	Low	Low	Low	High	Some concerns	<b>High</b>
Chang et al, 2005 [38]	Low	Low	Low	High	Some concerns	<b>High</b>
Chang et al, 1999 [39]	Some concerns	High	Low	High	Some concerns	<b>High</b>
*Crowford-Williams et al, 2016 [47]	Low	Some concerns	Low	High	Some concerns	<b>High</b>
Handmaker et al, 1999 [40]	Low	Some concerns	High	High	Some concerns	<b>High</b>
*Joya et al, 2016 [48]	Some concerns	Some concerns	Low	Low	Some concerns	<b>Some concerns</b>
Marais et al, 2011 [53]	Some concerns/Low	Some concerns	Low	High	Low	<b>High</b>
O'Connor & Whaley, 2007 [27]	Some concerns	Some concerns	Low	High	Low	<b>High</b>
*Ondersma et al, 2015 [49]	Some concerns	Some concerns	Low	High	Some concerns	<b>High</b>
Osterman & Dyehouse, 2012 [43]	Some concerns	Some concerns	High	High	Some concerns	<b>High</b>
Osterman et al, 2014 [42]	Low	Low	Low	High	Some concerns	<b>High</b>
Osterman et al, 2017 [41]	Some concerns	High	High	High	Some concerns	<b>High</b>

MATERNAL ALCOHOL INTERVENTIONS

Reading et al, 1982 [44]	Some concerns	High	High	High	Some concerns	<b>High</b>
*Reynolds et al, 1995 [50]	Low	High	Low	High	Some concerns	<b>High</b>
Rotheram-Borus et al, 2019 [54]	Low	Low	Some concerns	High	Low	<b>High</b>
Rubio et al, 2014 [52]	Low	Low	Low	High	Some concerns	<b>High</b>
Tzilos et al, 2011 [45]	Low	Low	Low	High	Some concerns	<b>High</b>
*van der Wulp, 2014 [32] (cluster)	Some concerns/High	High	High	High	Low	<b>High</b>
Waterson & Murray-Lyon, 1990 [46]	Some concerns	Some concerns	Low	High	Some concerns	<b>High</b>
*Yonkers et al, 2012 [51]	Low	High	Low	High	Some concerns	<b>High</b>
<b>Motherhood</b>						
*Fleming et al, 2008 [55]	Low	Low	Low	High	Some concerns	<b>High</b>
*Gwadz et al, 2008 [56]	Some concerns	Low	Low	High	Some concerns	<b>High</b>
*Ondersma et al, 2016 [57]	Low	Low	Low	Some concerns	Some concerns	<b>Some concerns</b>
*Slesnick & Erdem, 2013 [28]	Some concerns	High	Low	High	Some concerns	<b>High</b>

\*Studies included in meta-analysis

**Table 5. BCTs in effective/partially effective studies**

Reference	Results	General BCTs	Alcohol-specific BCTs
<b>Effective pregnancy interventions</b>			
Marais et al, 2011 [53]	Significant difference in alcohol reduction in AUDIT scores in favour of IG.	2.2 Feedback on behaviour	5. Provide feedback on performance 14. Facilitate goal setting
O'Connor and Whaley, 2007 [27]	Significant intervention effect - BI group 5 times more likely to be abstinent by 3 <sup>rd</sup> trimester	1.1 Goal setting (behaviour) 1.2 Problem solving 1.3 Goal setting (outcome) 1.4 Action planning 1.8 Behavioural contract 3.1 Social support (unspecified) 5.1 Information about health consequences 5.2 Salience of consequences 6.2 Social comparison 8.2 Behaviour substitution 8.4 Habit reversal 8.7 Graded tasks 15.1 Verbal persuasion about capability 15.4 Self-talk	1. Provide information on consequences of excessive alcohol consumption and reducing excessive alcohol consumption 3. Boost motivation and self-efficacy 4. Provide normative information about others' behaviour and experiences 14. Facilitating goal setting 15. Facilitate action planning/help identify relapse triggers 17. Behavioural substitution 21. Facilitate barrier identification and problem solving 23. Set graded tasks 26. Advice on/facilitate use of social support 29. Assess current readiness and ability to reduce excessive alcohol consumption 39. Summarise information/confirm client decisions
<b>Partially effective pregnancy interventions</b>			
Chang et al, 2005 [38]	BI was more effective in reducing frequency of consumption among heavier drinkers at enrolment. BI was also more effective for heavier drinkers when their partner was involved (social support). No information available on differences in overall reduction between groups.	1.1 Goal setting (behaviour) 1.2 Problem solving 1.8 Behavioural contract 3.2 Social support (practical) 3.3 Social support (emotional) 8.2 Behaviour substitution	14. Facilitate goal setting 17. Behaviour substitution 21. Facilitate barrier identification and problem solving 26. Advise on/facilitate use of social support 40. Elicit and answer questions

## MATERNAL ALCOHOL INTERVENTIONS

Handmaker et al, 1999 [40]	No difference in total alcohol consumption and abstinent days between groups. For peak intoxication (BAC) level, women with high BAC levels showed significantly greater reduction with MI than control.	2.2 Feedback on behaviour 3.1 Social support (unspecified) 5.1 Information about health consequences	1. Provide information on consequences of excessive alcohol consumption and reducing excessive alcohol consumption 5. Provide feedback on performance 13. Explain the importance of abrupt cessation 26. Advice on/facilitate use of social support 29. Assess current readiness and ability to reduce excessive alcohol consumption 35. Tailor interactions appropriately
Osterman et al, 2017 [41]	<u>Active study phase</u> : non-significant treatment, time and treatment X time interaction effects. <u>12-month follow up</u> : Significant time and treatment X time interaction effects with MET lower levels of alcohol use relative to TAU (IG sustained lower levels of drinking and CG returned to increased levels) No significant treatment effect on alcohol use days.	1.1 Goal setting (behaviour) 1.6 Discrepancy between current behaviour and goal 2.2 Feedback on behaviour 3.1 Social support (unspecified) 4.2 Information about antecedents 5.1 Information about health consequences 15.1 Verbal persuasion about capability	1. Provide information on consequences of excessive alcohol consumption and reducing excessive alcohol consumption 3. Boost motivation and self-efficacy 5. Provide feedback on performance 9. Conduct motivational interviewing 14. Facilitate goal setting 26. Advice on/facilitate use of social support 31. Assess current and past drinking behaviour 35. Tailor interactions appropriately 36. Build general rapport 37. Use reflective listening 39. <i>Summarise information/confirm client decisions</i>
Van der Wulp et al, 2014 [32]	<u>Internet-Based Computer-Tailored Feedback: Abstinence (H1)</u> : Intervention group stopped using alcohol more often than usual care at Time 2. <u>Reduction (H2)</u> : Significant differences only at Time 2 in favour of intervention.  (Non-significant results regarding the health counselling intervention.)	1.2 Problem solving 1.4 Action planning 3.1 Social support (unspecified) 5.1 Information about health consequences 8.2 Behaviour substitution 9.1 Credible source 12.1 Restructuring the physical environment	1. Provide information on consequences of excessive alcohol consumption and reducing excessive alcohol consumption 15. Facilitate action planning/help identify relapse triggers 17. Behaviour substitution 19. Facilitate relapse prevention and coping 22. Advice on environmental restructuring 26. Advise on/facilitate use of social support

---

		12.2 Restructuring the social environment	
<b>Effective motherhood interventions</b>			
Fleming et al, 2008 [55]	Significant differences between groups in favour of the brief intervention group	1.1 Goal setting (behaviour) 1.5 Review behaviour goal(s) 1.8 Behavioural contract 1.9 Commitment 2.2 Feedback on behaviour 2.3 Self-monitoring behaviour 3.1 Social support (unspecified) 5.1 Information about health consequences 6.2 Social comparison 9.1 Credible source 12.3 Avoidance/reducing exposure to cues for the behaviour	1. Provide information on consequences of excessive alcohol consumption and reducing excessive alcohol consumption 4. Provide normative information about others' behaviour and experiences 8. Prompt commitment from the client there and then 14. Facilitate goal setting 16. Advice on avoidance of social cues for drinking 20. Prompt self-recording
Slesnick & Erdem, 2013 [28]	Quicker decline in alcohol use and frequency in ecologically-based intervention group compared to treatment as usual	1.1 goal setting (behaviour) 1.2 Problem solving 3.1 Social support (unspecified) 4.1 Instructions on how to perform a behaviour 5.1 Information about health consequences 8.1 Behavioural practice/rehearsal 8.2 Behaviour substitution 8.4 Habit reversal 11.2 Reduce negative emotions	1. Provide information on consequences of excessive alcohol consumption and reducing excessive alcohol consumption 14. Facilitate goal setting 15. Facilitate action planning/help identify relapse triggers 17. Behaviour substitution 19. Facilitate relapse prevention and coping 21. Facilitate barrier identification and problem solving 26. Advice on/facilitate use of social support 27. Give options for additional and later support 42. General communications skills training

---

---

15.4 Self-talk

---

IG = Intervention Group, CG = Control Group, BI = Brief Intervention, BAC = Blood Alcohol Concentration, MET = Motivational Enhancement Therapy, TAU = Treatment As Usual, H = Hypothesis.