

1                   **Global simulations of multi-frequency HF signal**  
2                   **absorption for direct observation of middle atmosphere**  
3                   **temperature and composition**

4                   **Alireza Mahmoudian<sup>1</sup>, Michael J Kosch<sup>2,3,4</sup>, Reza Mohammadi Baghaei<sup>1</sup>**

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9                   **Key Points:**

- 10                   • First study on a new concept of a multi-frequency HF beacon for the direct mea-  
11                   surement of D-region absorption is presented.  
12                   • Full physics-based model of HF radio absorption in the upper atmosphere is de-  
13                   veloped.  
14                   • A machine learning model is developed and the capability of the model in esti-  
15                   mation of D and E-region constituents is examined.

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## Abstract

This paper presents the first numerical study on a new concept for the direct measurement of D-region absorption in the HF band. Numerical simulations based on the Appleton–Hartree and Garrett equations of refractive index are presented. Electron temperature as a result of HF radio pumping of the ionosphere is included in the calculations using proper numerical formulation. Both O- and X-mode polarizations are taken into consideration. A global map of HF absorption in the northern hemisphere is calculated. Detailed calculations of HF radio wave absorption as it propagates through the lower atmosphere are presented. The effect of several parameters on the amount of absorption is calculated. The best frequencies to be used for the purpose of this study are discussed. A machine learning model is developed and the capability of the model in estimation of D and E-region constituents includes  $N_2$ ,  $O$ ,  $O_2$ , as well as  $T$  and  $N_e$  is examined. Such a technique can also lead to global mapping of HF absorption and improve OTHR (over-the-horizon-radar) performance.

## 1 Introduction

The lower atmosphere has a great impact on the propagation of high-frequency radio waves. These effects could result in the distortion of HF signal and may limit the applications of this frequency band specifically for remote sensing applications. Remote sensing of the ionosphere and ionospheric tomography has been an area of great interest among space physicists. The satellite-based ionospheric tomography using flying beacons as well as satellite radio signal transmission and ground reception has been studied over the past several decades. There are ground-based instruments such as riometers, radio receivers that continuously monitor the power received from extraterrestrial radio sources (Little and Leinbach, 1959), which are designed to measure D-region absorption. While using a higher operating frequency for riometers (VHF band) may reduce the sensitivity to the absorption, these signals must pass through the ionosphere, they are subject to varying attenuation due to changes in the electron density.

The first use of beacons on rockets for measuring ionospheric parameters was carried out by Seddon (1953). The first mission had the continuous wave (CW) radio transmissions from the rocket at HF frequencies (4.27 MHz or 7.75 MHz and their 6th harmonic). Further details on ionospheric layer profiling using rocket beacon signals are described by Jackson (1954), Friedman (1959), Maeda (1970), Evans (1977), Smith and Gilchrist (1984), and Bernhardt et al. (1993).

The Naval Research Laboratory (NRL) has developed a Coherent Electromagnetic Radio Tomography (CERTO) beacon with available frequencies of VHF (150 MHz) and UHF (400 MHz) in collaboration with the Air Force Research Laboratory (AFRL). The developed CERTO beacon has been used on Communications/Navigation Outage Forecast System (C/NOFS) satellite (de La Beaujardie’re et al., 2004) to register low-latitude scintillations recorded by the AFRL Scintillation Decision Aid (SCINDA) network of ground receivers (Groves et al., 1997; Caton et al., 2004; Bernhardt et al., 1998). Bernhardt et al. (1993) and Bernhardt and Huba (1993) developed numerical simulations, known as computerized ionospheric tomography (CIT), which can build the reconstructive imaging of F-region irregularities.

The heating of the ionospheric plasma by modulated high-frequency waves leads to physical processes with a wide range of scales due to elevated  $T_e/T_i$  (electron to ion temperature). Artificial modulation of D-region plasma to diagnose dusty plasma region associated with polar mesospheric summer echoes had been an area of research over the past two decades (Havnes, 2004; Scales, 2004; Scales and Mahmoudian 2016; Mahmoudian et al., 2020). The polar mesospheric summer echoes (PMSE) are strong radar echoes associated with polar mesospheric clouds (PMC), which are natural ice/dust layers in the polar region in the altitude range of 80 to 90 km and are widely believed to be a direct

67 manifestation of global warming. A remote sensing technique has been developed to study  
 68 this region during radio modulation by high-power radio waves and multi-frequency radar  
 69 observations. Our recent work has shown that the modified ionospheric condition due  
 70 to radio wave heating of the ionosphere can lead to the enhanced absorption of the ra-  
 71 dio waves in the ionosphere. The estimated D-region absorption at 8 MHz has been stud-  
 72 ied to measure the PMSE (Senior et al., 2011; 2016).

73 The idea of implementing a multi-frequency radio beacon in low Earth orbit (LEO)  
 74 has been used for many years to study ionospheric irregularities through the scintilla-  
 75 tion information of the passing signal through the ionosphere using GPS signals. The  
 76 present work describes the general idea of developing a multi-frequency HF beacon to  
 77 be used for measuring ionospheric and upper atmosphere parameters. The basic idea is  
 78 to develop the first multi-frequency high-frequency (HF) beacon to fly on a CubeSat in  
 79 order to obtain the first direct measurement of D-region parameters. Other applications  
 80 of Iran's first CubeSat mission with multi-frequency HF beacon, REEIMA: Radio Ex-  
 81 plorer for Earth, Ionosphere, Mesosphere, and Atmosphere, to measure ionospheric, meso-  
 82 spheric, and atmospheric parameters are introduced. Specifically, the frequency selec-  
 83 tion for the HF beacon by including a detailed computational model of HF absorption  
 84 in the D-region is considered. The absorption model is capable of calculating the loss  
 85 rate associated with vibrational and rotational cooling of electrons due to the presence  
 86 of neutral atoms. The ionospheric model including the Appleton-Hartree and Garrett  
 87 equations of refractive index are considered. The model is also designed to calculate the  
 88 absorption and enhanced electron temperature in the presence of modulated mesospheric  
 89 conditions by high-power HF radio waves. A machine learning model is developed and  
 90 the capability of the model in estimation of D and E-region constituents includes  $N_2$ ,  
 91  $O$ ,  $O_2$ , as well as  $T$  and  $N_e$  is introduced. The discussion on remote sensing of mesospheric  
 92 parameters based on the simulation results is provided.

## 93 2 Computational Model

94 The model used in this study includes a general expression of electron tempera-  
 95 ture enhancement in the presence of transmitted HF radio wave from the ground. This  
 96 expression can be written as follow which includes the time variation of electron tem-  
 97 perature

$$\frac{3}{2}k_B N_e \frac{dT_e}{dt} = -2S(t) \frac{\omega}{c} \text{Im}\mu(N_e, T_e) - N_e L(T_e, T_n) \quad (1)$$

98 where  $k_B$  is Boltzmann's constant,  $N_e$ ,  $T_e$  are the electron number density and tem-  
 99 perature,  $\omega$  is the disturbing wave angular frequency,  $c$  is the free space speed of light,  
 100  $m$  is the complex refractive index of the disturbing wave and  $L(T_e, T_n)$  is the electron  
 101 energy loss function where  $T_n$  is the neutral gas temperature.  $O_2$ ,  $N_2$ , and  $O$  neutral gases  
 102 are considered in this work. The first term on the right represents the energy gained by  
 103 electrons due to the absorption of the disturbing wave. This equation includes the time  
 104 varying power flux  $S(t)$ , which modifies the background electron temperature at the in-  
 105 teraction region. The model is set up such that the ordinary Appleton-Hartree formula  
 106 and generalized refractive index of Garrett (1985) can be implemented in the calcula-  
 107 tions. One of the main features that make this model distinct in comparison with other  
 108 time dependent heating models is the inclusion of self-absorption of high-power HF heat-  
 109 ing wave. One of the practical ways to measure the absorption coefficient is to send a  
 110 low power HF pulse and high-power HF heating wave in an alternative sequences. The  
 111 high-power HF pulse modifies the background electron density and temperature in the  
 112 D-region and enhances the absorption coefficient. Therefore, such experimental set up  
 113 can be used to measure the natural absorption in the absence of heating signal as well  
 114 as in the modified background mesospheric conditions.

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## 2.1 Refractive Index

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The electromagnetic wave propagation in different materials and environment is mostly governed by refractive index of the region. The Appleton–Hartree equation of refractive index, which can be written in the following form, can describe the propagation of electromagnetic waves in the cold and magnetized plasma:

$$\mu^2 = 1 - \frac{X}{1 - Iz \frac{\frac{1}{2}Y^2 \sin^2 \theta}{1-X-iZ} \pm \frac{1}{1-X-iZ} \left( \frac{1}{4}Y^4 \sin^4 \theta + Y^2 \cos^2 \theta (1-X-iZ) \right)} \quad (2)$$

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where  $X = (\omega_0^2)/\omega^2$ ,  $Y = \omega_{ce}/\omega$ ,  $Z = \nu/\omega$ ,  $\omega$  denotes the frequency of the propagation signal,  $\omega_0$  is the electron plasma frequency,  $\Omega_{ce}$  is the electron gyro-frequency,  $\theta$  represents the angle between the ambient magnetic field vector and the wave vector, and  $\nu$  is the electron neutral collision frequency. It should be noted that these parameters vary with altitude. As can be seen from this expression, the radio wave propagation in the ionosphere and the presence of cold magnetized plasma will depend on the direction of propagation concerning the background magnetic field, transmission frequency as well as background ionospheric parameters. It should be noted that the generalized refractive index of Garrett (1985, 1991) has also been implemented in the model. It has been shown that the difference between Appleton–Hartree and Garrett’s refractive index is less than  $\sim 0.1$  and  $0.03$  dB/km for the frequency range 8-16 MHz and larger than 16 MHz, respectively. A comparison of the results obtained using the two models is provided in the following sections.

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## 2.2 Cooling model

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Studying the electron temperature variation in the ionosphere requires a good insight to the possible heating, cooling, energy flow processes in the natural or artificially modified conditions. The enhanced electron temperature as a result of external HF pump heating could be reduced due to the cooling of electrons by neutral particles present in this region. The cooling process is mainly due to the vibration or rotational collision of electrons with neutral particles. The cooling efficiency varies significantly with the type of neutral atoms. Considering the major neutral particles of  $N_2$ ,  $O_2$  and  $O$  in this region, the associated densities and temperature are imported from NRLMSISE-00 model. The main neutral atoms and cooling processes used in this study are the vibrational and rotational cooling of electrons by  $N_2$  and  $O_2$  atoms.

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The cooling rate (loss rate) for the  $N_2$  atom and due to rotational excitation can be written in this form (Schunk and Nagy, 1978) (SN78)

$$L = 2.9e \times 10^{-20} N_e \times N_2 (T_e - T_n) / \sqrt{T_e} \quad (3)$$

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where  $L$  is the loss rate,  $n_e$  is the electron density,  $N_2$  is the density of Nitrogen atoms,  $T_n$  is the neutral temperature,  $T_e$  is the electron temperature. The cooling rates for  $O_2$  atom using Pavlov’s expression can be written as follow (Pavlov, 1998a,b,c).

$$L = 6.9e \times 10^{-20} N_e \times O_2 (T_e - T_n) / \sqrt{T_e} \quad (4)$$

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According to Pavlov, 1998c, the  $N_2$  rotational excitation is similar to SN78 with a correction factor of 1.255, which is considered in this study. It should be noted that cooling rates based on Pavlov (1998a,b,c) are referred to P98 formulation in the text. The vibrational cooling by  $N_2$  atom can be written in the following form using the SN78 formula

$$(5) \quad f = 1.06 \times 10^4 + 7.51 \times 10^3 \times \tanh(1.10 \times 10^{-3}(T_e - 1800))$$

$$(6) \quad g = 3300 + 1.233 \times (T_e - 1000) - 2.056 \times 10^{-4} \times (T_e - 1000)(T_e - 4000)$$

$$L = 2.99e \times 10^{-18} N_e N_2 \times e^{\left(\frac{f(T_e - 2000)}{2000T_e}\right)} \left(1 - e^{\left(-\frac{g(T_e - T_n)}{(T_e T_n)}\right)}\right) \quad (7)$$

155 The vibrational cooling by  $N_2$  atom using P98 formulation can be written in the  
156 following form

$$L = N_e N_2 \left[ (1 - e^{-3353/T_{vib}}) \times \sum_{v=1}^{10} Q_{0v} (1 - e^{[v3353(T_e^{-1} - T_{vib}^{-1})]}) + \right. \\ \left. (1 - e^{-3353/T_{vib}}) e^{-3353/T_{vib}} \times \sum_{v=2}^9 Q_{1v} (1 - e^{[(v-1)3353(T_e^{-1} - T_{vib}^{-1})]}) \right] \quad (8)$$

159 where  $T_{vib}$  is assumed to be equal to  $T_n$ . The  $Q_{0v}$  and  $Q_{1v}$  (the electron energy trans-  
160 fer rates) are calculated for  $T_e > 1500$  and  $T_e \leq 1500$ , respectively, and based on co-  
161 efficients provided in Table 2 and 3 in Pavlov (1998a).

162 The vibrational cooling by  $O_2$  atom can be written in the following form Schunk  
163 and Nagy, (1978) (SN78)

$$h = 3300 - 839 \times \sin(1.91 \times 10^{-4} \times (T_e - 2700)) \quad (9)$$

$$L = 5.19e \times 10^{-19} N_e O_2 \times e^{\left(\frac{h(T_e - 700)}{700T_e}\right)} \left(1 - e^{\left(-\frac{2770(T_e - T_n)}{(T_e T_n)}\right)}\right) \quad (10)$$

164 The  $O_2$  rotational excitation from SN78 is provided in implemented using the ex-  
165 pression below

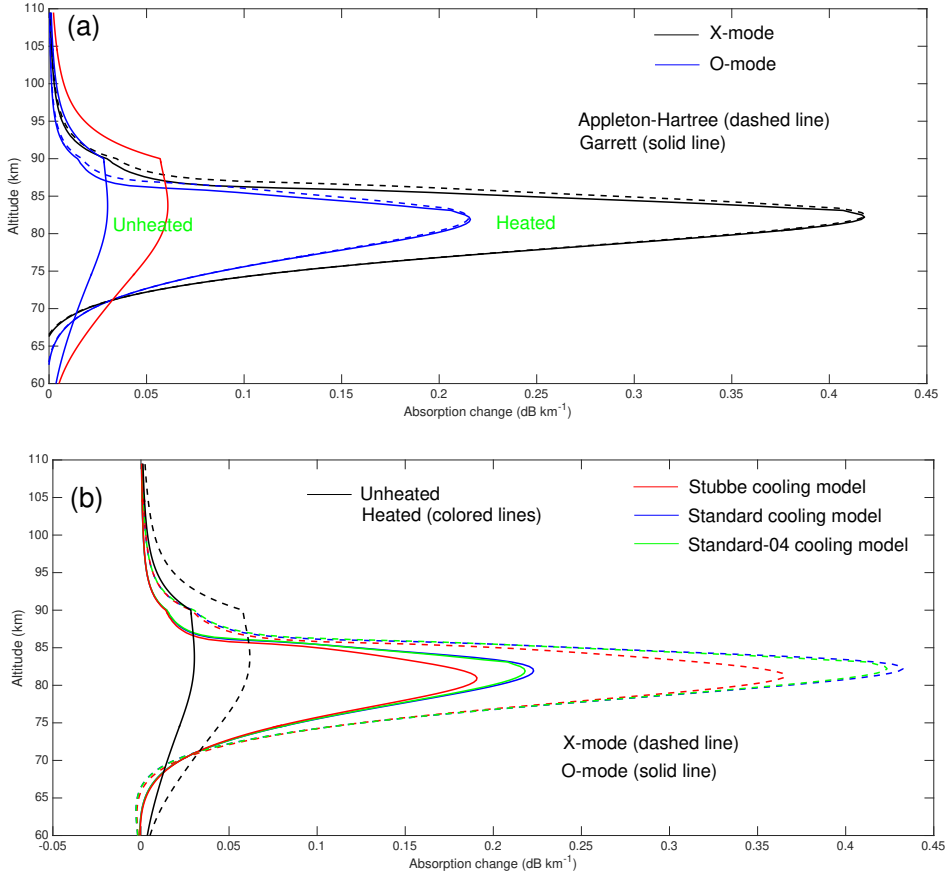
$$L = 5e \times 6.9 \times 10^{-20} \times (N_e O_2 (T_e - T_n)) / \sqrt{T_e} \quad (11)$$

166  $O_2$  rotational excitation and cooling rate from Pavlov (1998a) (P98) can be writ-  
167 ten in the following form:

$$L = 5.2e \times 10^{-21} \times \frac{N_e O_2 (T_e - T_n)}{\sqrt{T_e}} \quad (12)$$

168  $O_2$  vibrational excitation using formula based on expressions 1-5 and Table 1 in  
169 Jones et al. (2003) (J03). The calculation of  $N_2$  vibrational excitation from Campbell  
170 et al. (2004) is also considered.

171 Three scenarios are considered for the combination of the cooling rates shown in  
172 expressions (3-12). In the standard scenario,  $N_2$  vibrational and rotational excitation



**Figure 1.** a) O-mode and X-mode absorption versus altitude obtained using Appleton-Hartree formula for the refractive index assuming phase propagation parallel to the magnetic field and Garrett's generalized refractive index formula in the heated and unheated D-region. b) The effect of different cooling models on the calculated absorption amplitude in a) unheated and b) heated ionospheric condition. The transmission frequency of 8 MHz is considered.

173 and  $N_2$  rotational cooling using P98 formulation and  $O_2$  vibrational excitation using J03  
 174 formula is used. The Standard-04 cooling model based on  $N_2$  and  $O_2$  rotational exci-  
 175 tation using P98 model,  $O_2$  vibrational excitation using J03 formula, and  $N_2$  vibrational  
 176 cooling using C04 is implemented. The Subbe cooling model based on  $N_2$  and  $O_2$  rota-  
 177 tional and vibrational excitation obtained using SN78 is used. A close comparison of three  
 178 scenarios on the associated estimated HF absorption will be examined in the following  
 179 sections. As discussed, the main concept to investigate in section 2 is to evaluate avail-  
 180 able theories for cooling models to explore its impact on the absorption calculation ver-  
 181 sus altitude and at different transmission frequencies. This is a critical step to show that  
 182 model is robust for different estimations included in the cooling rates formulations. As  
 183 it will be shown later in the paper, the degree of variation in the absorption results based  
 184 on the three cooling models proposed in this paper is negligible.

### 185 3 Numerical Results

186 The numerical solution to the expression of electron temperature variation is con-  
 187 sidered to calculate the electron temperature enhancement during radio wave heating

Cooling approach	Standard	Standard 04	Stubbe
Cooling rates	N <sub>2</sub> Vibrational P98	N <sub>2</sub> Vibrational C04	N <sub>2</sub> Vibrational SN78
	N <sub>2</sub> Rotational P98	N <sub>2</sub> Rotational P98	N <sub>2</sub> Rotational SN78
	O <sub>2</sub> Vibrational J03	O <sub>2</sub> Vibrational J03	O <sub>2</sub> Vibrational SN78
	O <sub>2</sub> Rotational P98	O <sub>2</sub> Rotational P98	O <sub>2</sub> Rotational SN78

Table 1: The cooling rates used in this study based on the combination of rates for vibrational and rotational N<sub>2</sub> and O<sub>2</sub> using SN78 (Schunk and Nagy, 1978), P98 (Pavlov, 1998a), J03 (Jones et al., 2003) and C04 (Campbell et al., 2004) calculations.

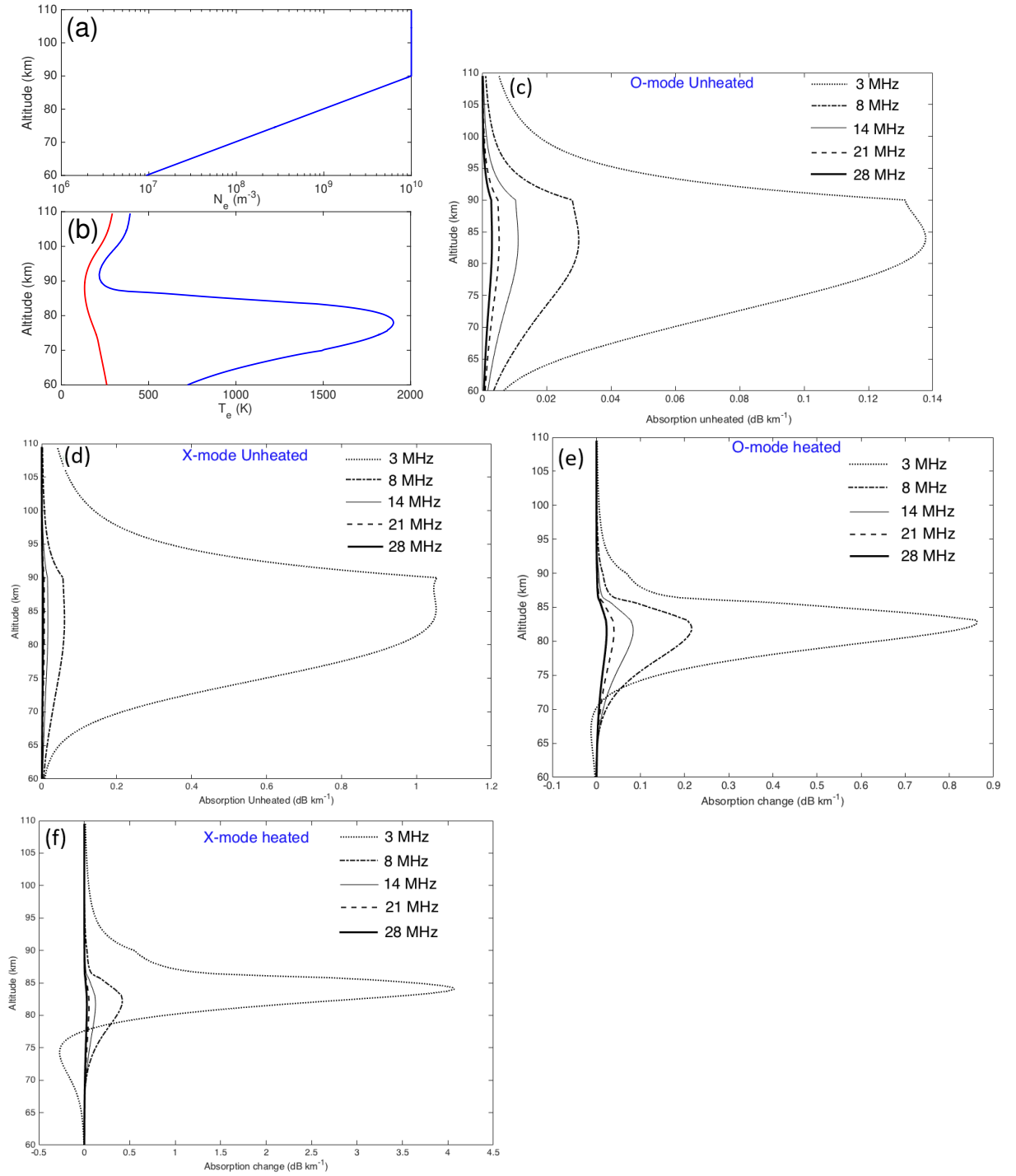
188 of the ionosphere as well as the modified electron-neutral collision frequency. The amount  
 189 of signal absorption as a function of electron temperature can be obtained from

$$S_{out}(t) = \left( \frac{z}{z + \Delta z} \right)^2 S(t) + 2S(t)\Delta z \frac{\omega}{c} Im\mu(N_e, T_e) \quad (13)$$

190 In this approach the region of interest can be divided to small sections with a thick-  
 191 ness of  $\Delta z$ . The input power is  $S(t)$  and the output power at the end of each section and  
 192 including the absorbed signal is shown by  $S_{out}(t)$ . The value of output power at each  
 193 altitude is calculated with a delay to include the absorption in the lower layers.

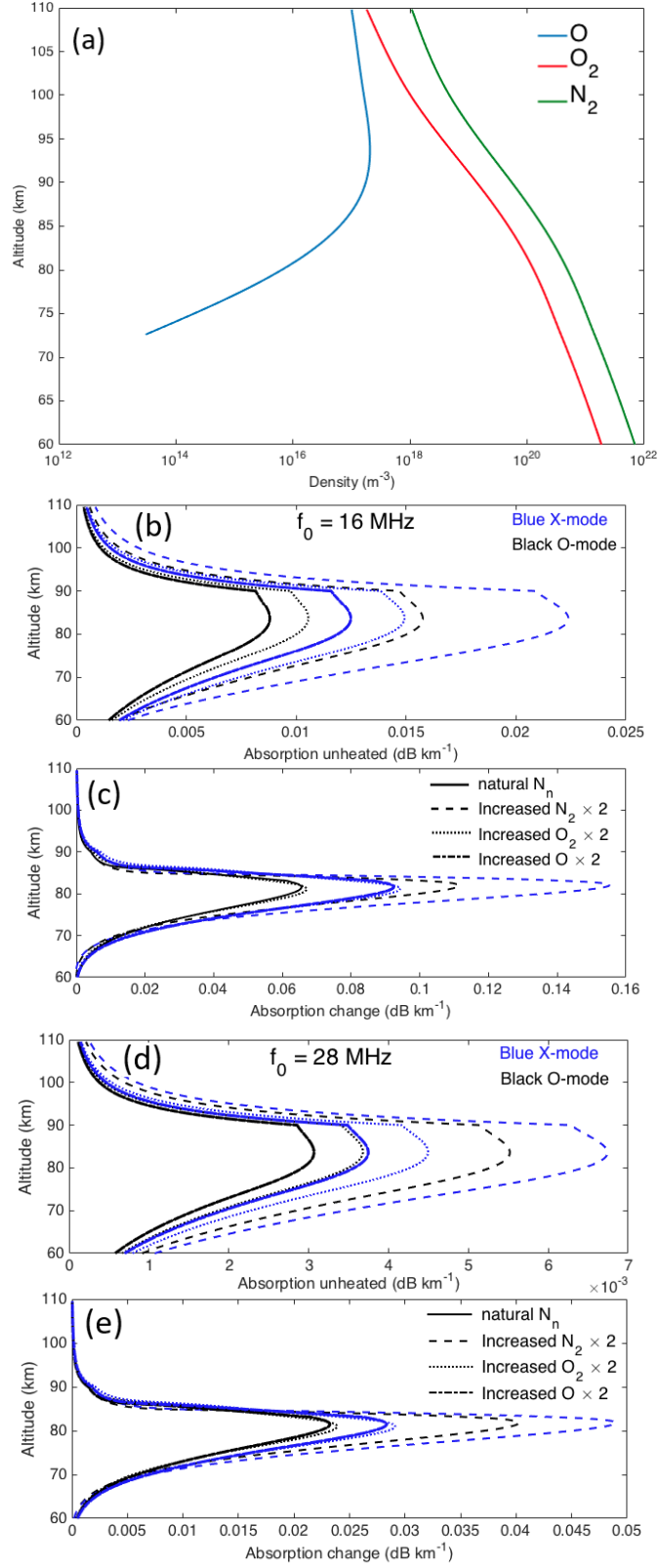
194 Several parameters are used in this study such as the polarization of the transmit-  
 195 ted signal (O- and X-mode) and for transmission frequency in the range of 2 MHz to 30  
 196 MHz in the natural and artificially modified ionospheric conditions. The main goal of  
 197 this study is to determine the characteristics of the HF signal within the HF band to de-  
 198 velop a remote sensing technique to measure absorption in the D-region. This approach  
 199 and the selected frequencies will be used to design the future CubeSat mission (REEIMA:  
 200 Radio Explorer for Earth, Ionosphere, Mesosphere, and Atmosphere). The main idea is  
 201 to design a multi-frequency HF beacon to fly on first Iran's CubeSat and to measure iono-  
 202 spheric, mesospheric, and atmospheric parameters. The basic changes of passing the sig-  
 203 nal through the ionosphere including absorption, scattering, diffraction, amplitude and  
 204 phase scintillation, Faraday rotation, spectral Doppler shift will be used to determine  
 205 the basic parameters of the ionosphere and mesosphere. The described REEIMA Cube-  
 206 Sat mission is designed to be used for other applications such as gravity wave charac-  
 207 terization. The review of the mission and applications are beyond the scope of the cur-  
 208 rent paper and will be discussed in more detail in the following papers.

209 Figure 1 presents the comparison of the HF absorption profile at 8 MHz for results  
 210 obtained using Garrett and AH refractive indices. Figures 1a and b present the absorp-  
 211 tion profile in the unheated and heated (elevated  $T_e/T_i$ ) conditions. Blue and black lines  
 212 present the O- and X-mode results. The main difference between the results obtained  
 213 using Garrett and AH formula of refractive index appears near the maximum amplitude.  
 214 The difference in predicted values of total absorption is similar for both ionospheric con-  
 215 ditions (and at each transmission frequency). Total HF absorption associated with the  
 216 results presented in Figure 1, is provided in Table 2. According to Table 2, the differ-  
 217 ence between the estimated total absorption is of the order of 0.032 dB (0.07 dB) for the  
 218 O-mode (X-mode) in the unheated condition. The difference between the estimated total  
 219 absorption of 0.24 dB (0.42 dB) for the O-mode (X-mode) in the heated condition  
 220 is predicted. The results show that the refractive index model used in the calculations  
 221 has a minimum effect on the technique proposed in this paper. Moreover, implement-  
 222 ing HF absorption measurements at multi-frequency in heated and unheated conditions  
 223 (within a short period to have a similar ionospheric condition) would result in the cal-  
 224 ibration of the instrument and accurate determination of ionospheric constituents.

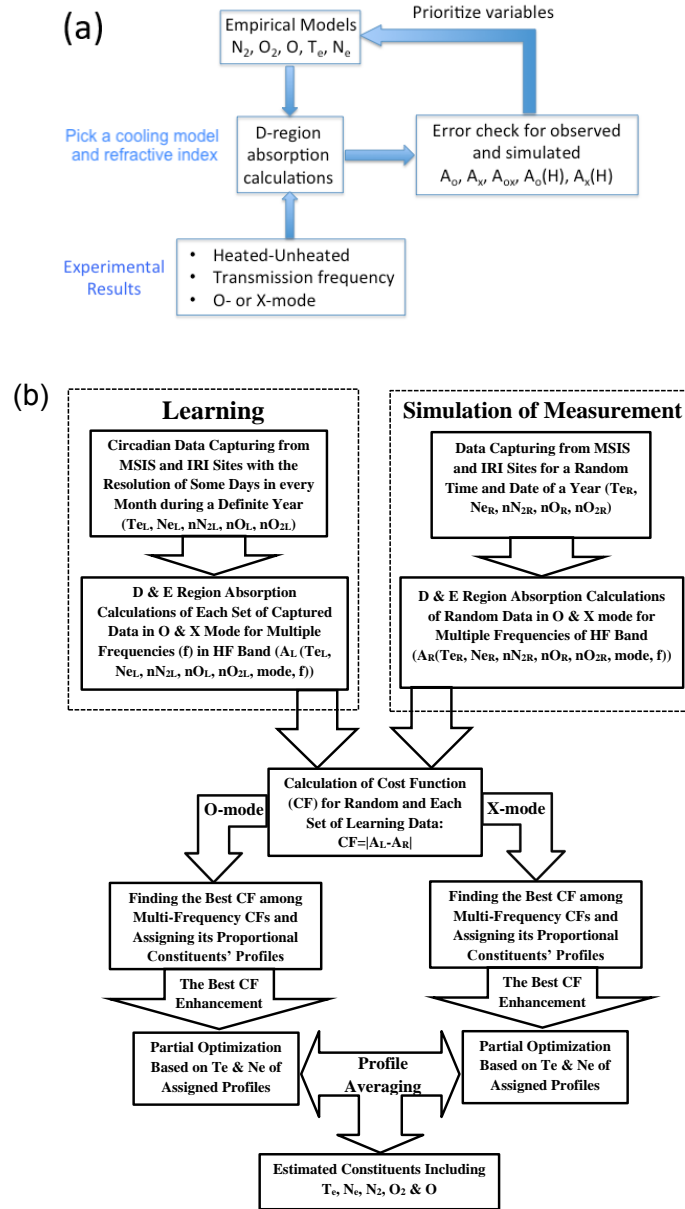


**Figure 2.** a) Typical background electron density profile, b) electron temperature profile, c, d) Absorption for the unheated mesospheric condition and differential absorption ( $dB km^{-1}$ ) with respect to the heated ionosphere for transmission frequencies ( $f_0$ ) of 2, 8, 16, 24, 28 MHz for O-mode and X-mode, e, f) Similar to c,d for X-mode.





**Figure 3.** a) Background neutral densities derived from MSIS model b,c) The variation of the absorption at 16 MHz and d,e) 28 MHz with varying neutral densities of  $N_2$ ,  $O_2$ ,  $O$ . The unheated ionospheric plasma conditions are shown in panels b and d. Panels c and e represent the results corresponding to artificially heated ionospheric plasma.



**Figure 4.** a) Data assimilation diagram b) developed machine learning (ML) procedure

	Absorption O-mode	Absorption X-mode	Phase O-mode	Phase X-mode
<b>Garrett's generalized refractive index formula</b>				
Unheated	0.422	0.825	4173.755	4173.267
Heated	2.175	3.816	4173.861	4173.501
<b>Appleton-Hartree formula for the refractive index</b>				
Unheated	0.454	0.894	4173.753	4173.264
Heated	2.421	4.238	4173.871	4173.529

Table 2: Total HF absorption associated with the results presented in Figure 1. The calculations are made using Garrett and AH formula of refractive index. Unheated and artificially modulated ionosphere using HF radio waves are considered.

	Absorption O-mode	Absorption X-mode	Phase O-mode	Phase X-mode
<b>3 MHz</b>				
Unheated	1.917	11.582	1563.127	1559.207
Heated	7.609	24.044	1563.744	1562.733
<b>8 MHz</b>				
Unheated	0.454	0.894	4173.753	4173.264
Heated	2.421	4.238	4173.871	4173.529
<b>14 MHz</b>				
Unheated	0.173	0.256	7305.399	7305.241
Heated	1.034	1.474	7305.434	7305.298
<b>21 MHz</b>				
Unheated	0.082	0.107	10958.680	10958.610
Heated	0.519	0.666	10958.693	10958.628
<b>28 MHz</b>				
Unheated	0.048	0.059	14611.855	14611.816
Heated	0.310	0.375	14611.861	14611.824

Table 3: Total absorption and phase variation of the passing HF signal through the natural and artificially modified mesospheric condition by high-power HF heating wave for the O- and X-mode signals at  $f_0 = 2, 8, 16, 24,$  and  $28$  MHz.

225 Detailed investigation of cooling rate models presented in Table 1, is provided in  
 226 Figure 2. O-mode and X-mode absorption versus altitude obtained using Appleton-Hartree  
 227 formula for the refractive index assuming phase propagation parallel to the magnetic field  
 228 and Garrett's generalized refractive index formula in the heated and unheated D-region.  
 229 The transmission frequency of 8 MHz is considered. Three cooling models associated with  
 230  $N_2$  and  $O_2$  vibrational and rotational cooling are used. The calculated HF absorption  
 231 profile associated with Stubbe, Standard, and Standard-04 cooling models are presented  
 232 in green, blue, and black colors, respectively. According to Figure 2a, no change in HF  
 233 absorption profile is seen for different cooling models used in this paper. Both O- and  
 234 X-mode absorption profiles at 8 MHz are consistent with various cooling rates included.  
 235 In heated plasma conditions, the HF absorption profiles show a small discrepancy as shown  
 236 in Figure 2b. The difference between the predicted absorption profile using Standard and  
 237 Standard-04 cooling models is negligible for both O- and X-modes. Considering the possi-  
 238 bility of conducting HF absorption measurement in a short amount of time (to probe  
 239 the region of D- and E-regions) in heated and unheated ionospheric conditions, the best  
 240 model to fit the observations can be determined.

Typical:

8 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
1.74	2.691	0.403	1.624
16 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
0.619	0.841	0.052	0.274
24 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
0.311	0.386	0.015	0.09

N2\*2

8 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
2.116	3.281	0.672	1.837
16 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
0.964	1.281	0.097	0.414
24 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
0.441	0.541	0.028	0.128

Ne\*2

8 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
2.498	4.026	0.882	2.183
16 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
0.932	1.256	0.112	0.436
24 MHz			
$\Delta A_o(\text{H-UH})$	$\Delta A_x(\text{H-UH})$	$\Delta A_{ox}(\text{UH})$	$\Delta A_{ox}(\text{H})$
0.425	0.523	0.032	0.13

Table 4: Detailed study of electron density  $N_e$  and  $N_2$  (as dominant neutral constituent) on associated total absorption at 8, 16 and 24 MHz. H and UH denote heated and unheated ionospheric conditions, respectively. The OX subscript corresponds to difference in O- and X-mode absorptions. The absorption calculation associated with increased  $N_2$  and  $N_e$  by a factor of 2 is presented.

241 Typical variation of HF absorption profile versus altitude is studied in Figure 3.  
 242 The electron density profile is assumed to increase linearly from 60 to 90 km ( $10^7$  to  $10^{10}$   
 243  $\text{m}^{-3}$ ). Constant electron density of  $10^{10} \text{ m}^{-3}$  is considered for altitude range of 90 to  
 244 110 km. The region is zoomed in in comparison with previous figures to show the de-  
 245 tailed variation of absorption profiles. Hf frequencies of 3, 8, 14, 21, and 28 MHz are used.  
 246 A typical electron temperature profile of Figure 3b is used. A substantial reduction in  
 247 maximum absorption amplitude is seen as the frequency decreased from 8 MHz to 3 MHz.  
 248 The figures show that the maximum absorption is mainly limited to the altitude range  
 249 of 75 to 95 km. This altitude range increases for the X-mode. Results associated with  
 250 X-mode reveal larger variation concerning higher frequencies used in this study. Another  
 251 interesting change observed in Figures 3d and e is the negative effect of HF pump heat-  
 252 ing (increased  $T_e/T_i$ ) on absorption profile at low frequencies and altitudes below 75 km.  
 253 A more detailed study of the results is provided in Table 3 based on the measurable pa-  
 254 rameters in the actual experiment.

255 Table 3 represents the total absorption of O-mode and X-mode in the unheated and  
 256 heated D-region as well as the total phase variation of the propagating signal at 3, 8, 16,  
 257 24, and 28 MHz. The absorption amplitude in dB per kilometer ( $\text{dB km}^{-1}$ ) is shown for  
 258 different altitudes from 60 to 110 km. According to this Figure, the maximum absorp-  
 259 tion is 0.23, 0.03, 0.0086, and 0.004  $\text{dB km}^{-1}$ , as the frequency changes from 3 to 28 MHz.  
 260 These values for the X-mode is 12, 0.06, 0.0122, 0.0036, and 0.004  $\text{dB km}^{-1}$  for trans-  
 261 mission frequencies of 3, 8, 16, 24 (and 28) MHz, respectively. The close comparison of  
 262 the absorption value for the O-mode and X-mode shows that this technique along with  
 263 5-implemented frequencies has a unique signature and can be used to determine the meso-  
 264 spheric parameters based on the amount of absorption. Considering the predictable scale  
 265 of absorption at the selected frequency bands, the received signal on the ground can also  
 266 be corrected to the original amplitude. The corrected signal then can be used for other  
 267 applications such as deriving the scintillation parameters to determine ionospheric ir-  
 268 regularities. This is the subject of another paper that explains the applications of the  
 269 proposed HF beacon in studying gravity waves and ionospheric tomography.

### 270 3.1 Neutral Density

271 Another parameter that has been investigated in this study is the effect of neutral  
 272 density variation on the absorption at different transmission frequencies, in the artifi-  
 273 cially modified condition by high-power radio waves as well as natural D-region condi-  
 274 tion, and O- and X-mode signals. The electron-neutral collision frequency is directly re-  
 275 lated to the neutral densities as shown in the following expression (Schunk and Nagy,  
 276 1978)

$$\nu_{en} = 2.33 \times 10^{-17} N_2 (1 - 1.21 e^{-4} T_e) T_e + 1.82 \times 10^{-16} O_2 (1 + 3.6 e^{-2} \sqrt{T_e}) \sqrt{T_e} + 8.9 \times 10^{-17} O (1 + 5.7 e^{-4} T_e) \sqrt{T_e} \quad (14)$$

277 Figure 4 represents the neutral densities of  $N_2$ ,  $O_2$ , and  $O$  atoms obtained from the  
 278 MSIS model. The variation of absorption amplitude per kilometer for transmission fre-  
 279 quencies of 16 MHz and 28 MHz as a result of neutral density increase by a factor of 2  
 280 is investigated. Figures show the variation of O- (blue lines) and X-mode (black lines)  
 281 absorption ( $\text{dB km}^{-1}$ ) as the neutral densities of  $N_2$ ,  $O_2$ , and  $O$  are increased. Accord-  
 282 ing to this figure, a change of  $O_2$  density by a factor of 2 makes a negligible impact on  
 283 the absorption profile in the heated ionospheric plasma.  $N_2$  increase produces maximum  
 284 absorption change by a factor of  $\sim 1.8$  in both O- and X-modes. In unheated ionospheric  
 285 conditions,  $O_2$  and  $N_2$  increase by a factor of 2 produce a notable change in the ab-  
 286 sorption profile versus altitude. Both frequencies show a similar trend for heated and un-  
 287 heated ionospheric plasma. According to Figure 4 in the unheated condition and trans-  
 288 mission frequency of 16 MHz, the maximum absorption amplitude changes from 0.0087

289 in the natural condition to 0.0105 and 0.0157 dB km<sup>-1</sup>, for the enhanced O<sub>2</sub> and N<sub>2</sub>,  
 290 respectively. It should be noted that an increase of O density by a factor of 2 does not  
 291 affect the absorption amplitude with respect to the natural condition. A similar trend  
 292 with a smaller variation has been observed for the X-mode at 16 MHz. The main fea-  
 293 ture observed in the results presented in Figure 4 is that the unheated ionospheric condi-  
 294 tion shows a distinct behavior for increased background N<sub>2</sub>, O<sub>2</sub>, and O densities. Such  
 295 a distinct effect is essential for the concept proposed in the following section. Moreover,  
 296 while elevated electron temperature will add additional information regarding the ab-  
 297 sorption change at different frequencies it alone can't provide the measurement of atmo-  
 298 spheric constituents as promised in this paper. As mentioned in the text, more exper-  
 299 imental observations are required to determine the best cooling model for estimation of  
 300 HF absorption in the modified ionospheric conditions using high-power HF radio-waves.  
 301 Then, the absorption models and proposed technique in section 5 can be implemented  
 302 for possible D- and E-region measurements.

303 It should be noted that the technique introduced in this paper uses total absorp-  
 304 tion at each frequency and for different modes produced over the altitude range of 60  
 305 to 130 km. Therefore, a combination of experiments in both natural and heated iono-  
 306 spheric conditions could help to resolve unexpected atmospheric conditions.

### 307 3.2 Electron Density

308 The previous work by Senior et al. (2010) has investigated the effect of the elec-  
 309 tron density variation on the absorption parameter. The results have shown that max-  
 310 imum error produced in the absorption value as the electron density changes by a fac-  
 311 tor of 2 is of the order of 30 percent. Therefore, implementing more frequencies can elim-  
 312 inate such errors. Using other measurement sources for electron density such as Total  
 313 Electron Content (TEC) with ground GPS receivers or Ionosonde observations of elec-  
 314 tron density profile would result in a detailed calculation of D-region absorption. On the  
 315 other hand, eliminating the absorption effects in order to study the induced by plasma  
 316 irregularities on the signal path as well as associated ionospheric phenomena such as scin-  
 317 tillation, gravity waves, plasma instabilities are the advantages of the proposed mission  
 318 with multi-frequency transmission and reception in the HF band. The phase data and  
 319 Faraday rotation measurements at 5 frequencies could also lead to a more accurate mea-  
 320 surement of TEC and make this approach self-consistent with other techniques discussed  
 321 above.

322 The variation of absorption over the entire D-region for the O-mode in the heated  
 323 (H) and natural (UH) ionosphere ( $\Delta A_{OH-UH}$ ), X-mode ( $\Delta A_{XH-UH}$ ), as well as differ-  
 324 ential absorption of O- and X-modes in the heated ionosphere ( $\Delta A_{OX H}$ ) and unheated  
 325 (UH) ionospheric conditions ( $\Delta A_{OX UH}$ ) for transmission frequencies of 8, 16, and 24  
 326 MHz are shown in Table 4. The main purpose of the proposed mission (so-called REEMA)  
 327 is to measure the total absorption of the signal and also derive the ionospheric param-  
 328 eters. Extracting the neutral densities such as N<sub>2</sub>, electron density, and temperature vari-  
 329 ation during radio wave heating of the ionosphere.

## 330 4 Machine Learning

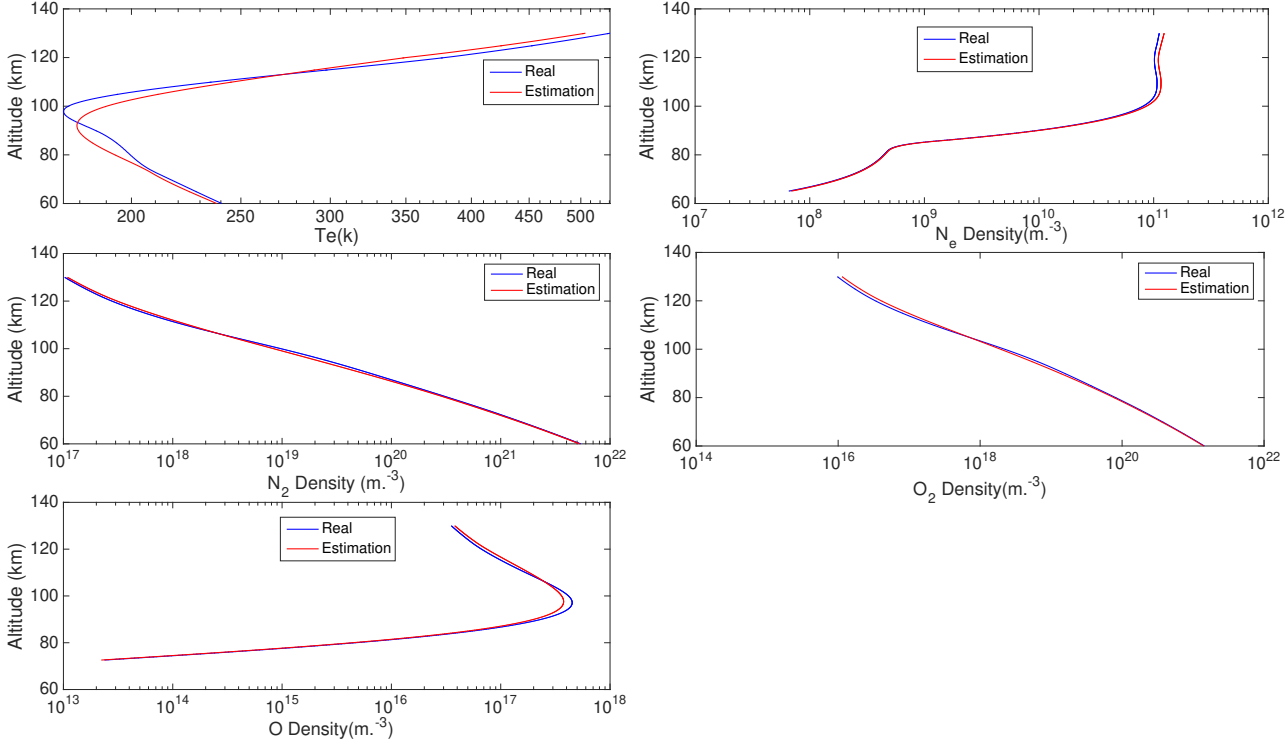
331 The possibility of HF absorption observations on the ground using simultaneous  
 332 multi-frequency observation to determine background parameters in the D- and E-regions  
 333 are explored in this section. Specifically, total absorption of radio signal passing through  
 334 lower ionospheric region along with the model simulation results of total absorption are  
 335 incorporated to determine middle atmosphere temperature and composition. This in-  
 336 cludes both constituent and temperature observations that have a wide application in  
 337 studying the physics and chemistry of the middle and upper atmosphere. A machine learn-  
 338 ing is developed to achieve this goal. A year of data of neutral atmosphere from MSIS

339 model and electron density from IRI model are used to educate the model. The selected  
 340 data from 2019 are imported for the detailed absorption calculations using the model  
 341 described in sections 2 and 3. Then two days from 2018 and 2020 are selected to vali-  
 342 date the model performance and accuracy.

343 The results for two days in a year before and after the trained database is used.  
 344 Figures 6 and 7 show the machine learning results associated with 2018-2-5 and 2020-  
 345 2-2, respectively. The diagram shown in Figure 5a represents the main idea of data as-  
 346 simulation presented in this paper. A simplified version of the model has been developed  
 347 to examine the main idea of the paper. A machine learning approach is adopted to eval-  
 348 uate the performance of the introduced technique to determine the atmospheric constituents,  
 349 electron density, and neutral temperature in the mesosphere. To achieve this goal, the  
 350 algorithm is implemented by a collection of neutral density data through the MSIS model  
 351 as well as electron density profile in the altitude range of 60 to 130 km. The data is col-  
 352 lected every other day with hourly time resolution. A flow chart describing the ML ap-  
 353 proach is shown in Figure 5b. The total HF absorption at 5 frequencies (4, 8, 12, 16, and  
 354 20 MHz) are used to run the ML model and estimate the D and E-region constituents  
 355 including  $N_2$ ,  $O$ ,  $O_2$ , as well as  $T$  and  $N_e$ . It should be noted that only total absorption  
 356 data are incorporated in the ML model and the phase data due to possible uncertainty  
 357 in the actual observation is excluded.

358 The machine learning (ML) procedure has been exploited to find the unknown con-  
 359 stituents of D-layer according to the direct measurements of signal absorption for mul-  
 360 tiple frequencies in the HF band (4, 8, 12, 16, 20, and 24 MHz) and two modes (O and  
 361 X). The procedure flowchart is illustrated in Figure 5b. It can be seen that for learning  
 362 process, the data of  $T_e$ ,  $N_e$  and  $N_2$ ,  $O_2$ , and  $O$  were captured from IRI and MSIS mod-  
 363 els (TeL, NeL, nN2L, nOL, nO2L), respectively for altitude of 60-130 Km with 0.1 km  
 364 resolution. The time resolution of them was every hour with the cardiac cycle for some  
 365 days in every month of the year 2019. After capturing these learning data, their propor-  
 366 tional absorptions in O and X-mode for multiple frequencies ( $f$ ) in HF band (AL (TeL,  
 367 NeL, nN2L, nOL, nO2L, mode,  $f$ )) were calculated according to the general form of Appleton-  
 368 Hartree dispersion formula. In these calculations, the effect of the earth's magnetic field  
 369 in all sampling altitudes and the collision frequency averaged over the electron velocity  
 370 distribution function were considered. Thus, it can be said that the collision frequency  
 371 has been defined as an effective electron-neutral collision frequency as a function of the  
 372 contributions for several different neutral species (Schunk and Nagy, 2009). To simulate  
 373 the measurement setup, data capturing from MSIS and IRI sites have been done for a  
 374 random time and date of a year (TeR, NeR, nN2R, nOR, nO2R), then the same absorp-  
 375 tion calculation process as the learning step was handled for them AR(TeR, NeR, nN2R,  
 376 nOR, nO2R, mode,  $f$ ). The cost function (CF) was defined based on the absolute value  
 377 of differences between the absorption of randomly sampled data and one of the learn-  
 378 ing data for multiple frequencies and two modes ( $CF = |AL - AR|$ ). Hereafter, the  
 379 CFs were processed in two distinct ways according to modes. In each of them, the best  
 380 (minimum) CF was found among the cost functions of multiple frequencies. After that,  
 381 the proportional constituents' profiles according to the best CF were assigned for the es-  
 382 timated one. In the next step, to enhance the best CF, i.e. to minimize much more, par-  
 383 tial optimization based on Te Ne variations of assigned profiles has been done. Ultimately,  
 384 the final estimated constituents' profiles were obtained by averaging two optimized con-  
 385 stituents' profiles found at O/X mode process state.

386 The results for two days in a year before and after the trained database is used.  
 387 The machine learning results are obtained in unperturbed ionospheric conditions. The  
 388 days and hours selected to examine the ML results are out of the dates used to learn the  
 389 model. As can be seen the error in the prediction of neutral densities including  $N_2$ ,  $O$ ,  
 390 and  $O_2$  is negligible. The neutral temperature profile shows agreement with the data.  
 391 The altitude profile of estimated electron density matches well the original data used to



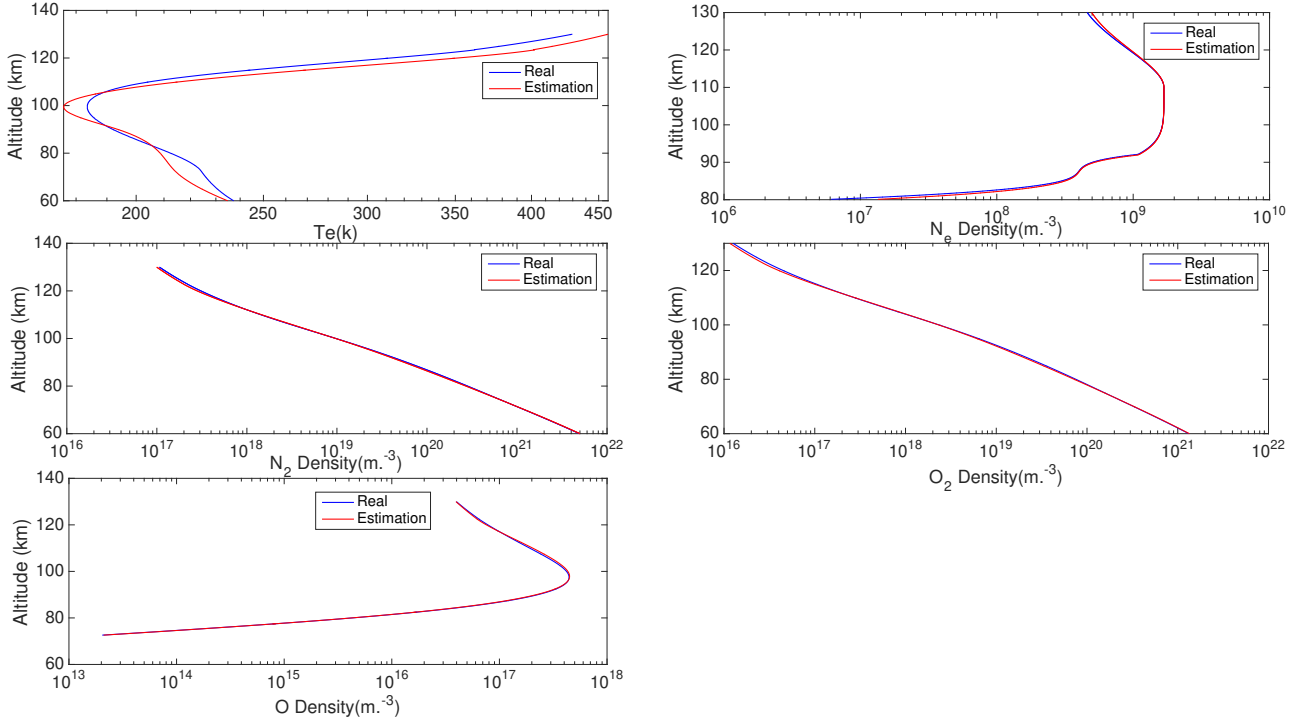
**Figure 5.** Machine learning (ML) results for 2018-2-5. The red line denoted the estimated results from ML model and blue line are the data obtained for the same date and time associated with the simulations.

392 calculate the respected total HF absorption at the selected frequencies. It should be noted  
 393 that implementing the HF modulation in the on and off period will result in higher ac-  
 394 curacy.

### 395 **5 Global mapping of HF absorption in the northern hemisphere**

396 To implement the technique described in section 4, a global map of HF absorption  
 397 needs to be considered. In fact, distribution of ground HF receiver to monitor multi fre-  
 398 quency total HF absorption simultaneously requires to probe the latitude range with high  
 399 sensibility. Therefore, the global map of HF absorption at two frequencies are examined  
 400 in this section. Diurnal and monthly variation of total HF absorption in the northern  
 401 hemisphere are presented. To calculate HF absorption map in the northern hemisphere,  
 402 a fixed longitude of 51.3347° E is used. Neutral densities are obtained from MSIS model  
 403 for entire year. The data collected in latitude range 0 to 90 degrees with 1 degree res-  
 404 olution. The corresponding electron density is obtained using IRI model. The empiri-  
 405 cal data are selected for the year of 2019. The total absorption in the altitude range of  
 406 70 to 140 km is calculated. The results for global map of HF absorption for O-mode prop-  
 407 agation at 4 MHz are shown in Figure 8, respectively. The results are obtained in nat-  
 408 ural ionospheric condition without HF radio wave heating. According to Figure 8, to-  
 409 tal absorption reaches 15 dB in the altitude range of interest. The global map in entire  
 410 year shows a similar pattern with a maximum absorption extended in the latitude range  
 411 of 0 to 20 degrees. There is a small extension of high absorption rate to higher latitudes  
 412 in the summer. As a comparison, the results associated with 16 MHz is presented in Fig-  
 413 ure 9. While the global pattern of HF absorption in entire year remains similar to 4 MHz,  
 414 the maximum absorption is reduced to 0.6 dB. The absorption for the X-mode is much





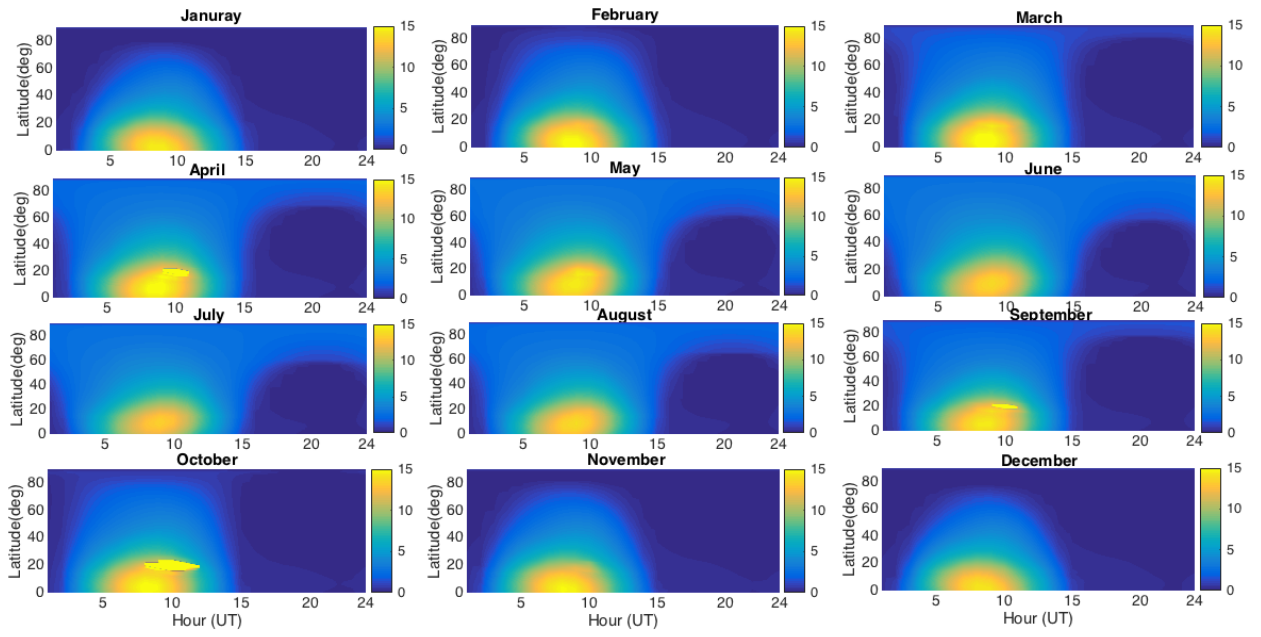
**Figure 6.** Machine learning (ML) results for 2020-2-2. The red line denoted the estimated results from ML model and blue line are the data obtained for the same date and time associated with the simulations.

415 higher in comparison with the O-mode (not shown in this paper). The results presented  
 416 in the paper can be implemented to improve OTHR observations as well as oblique ionosonde  
 417 sounding. Moreover, the technique described in section 4 appears to be practical and sen-  
 418 sitive to high latitudes.

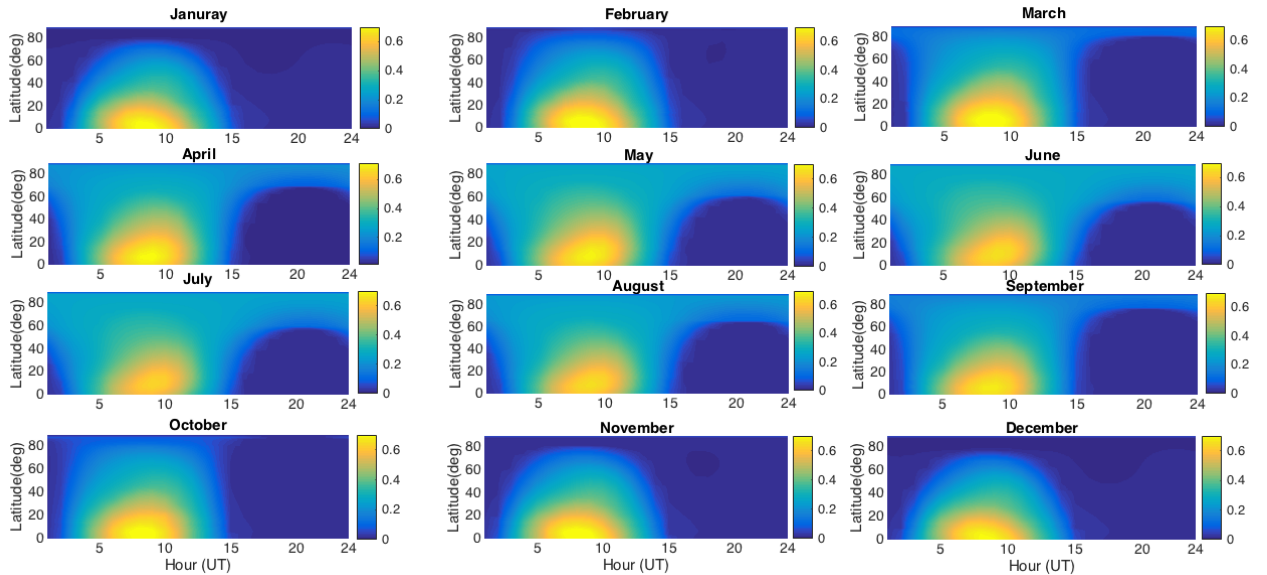
## 419 6 Summary and conclusions

420 In summary, the concept of developing a multi-frequency HF radio sounding tech-  
 421 nique for detailed measurement of the D-region absorption is investigated in this paper  
 422 for the first time. The detailed calculations of absorption amplitude ( $\text{dB km}^{-1}$ ) and total  
 423 phase variation in the natural D-region condition, as well as an artificially modified  
 424 condition by a high-power radio wave, are considered. The HF absorption profiles and  
 425 total HF absorption are calculated using the Garrett and Appleton–Hartree formula of  
 426 refractive index. It has been shown that there is a small difference in estimated HF ab-  
 427 sorption between the two models, especially in unheated ionospheric conditions. The ef-  
 428 fect of three cooling formulation associated with  $N_2$  and  $O_2$  vibrational and rotational  
 429 excitation was found to be negligible in natural ionospheric condition. Performing such  
 430 experiments in heated and unheated ionospheric plasma could be used to calibrate the  
 431 instrument and to use the best cooling model and refractive index for the artificially mod-  
 432 ulated lower ionosphere.

433 The two O-mode and X-mode transmissions along with transmission frequencies  
 434 of 2, 8, 16, 24, and 28 MHz associated with the proposed REEIMA HF beacon (Radio  
 435 Explorer for Earth, Ionosphere, Mesosphere, and Atmosphere) are used to determine the  
 436 absolute D-region absorption along with the possibility of estimating D-region param-



**Figure 7.** The global map of HF absorption in the northern hemisphere associated with the empirical data obtained using MSIS and IRI model for 2019. The HF transmission with O-mode polarization at 4 MHz is used. A fixed longitude of  $51.3347^\circ$  E is used in the calculations. The color bar shows the total absorption in dB.



**Figure 8.** Similar to Figure 7 for 16 MHz.

437 eters such as neutral densities as well as removing the absorption from the transmitted  
 438 signal. It has been shown that the variation of each background neutral density includ-  
 439 ing  $N_2$ ,  $O_2$ , and  $O$  produces a distinct effect on the absorption value of O- and X-mode  
 440 as well as different transmission frequencies. A machine learning technique is developed  
 441 based on the model simulation results using the empirical data from the MSIS and IRI  
 442 models for 2019. The accuracy of model appears to be encouraging for the estimation  
 443 of the background temperature and neutral density profiles. Moreover, Therefore, the  
 444 proposed technique can eliminate the effect of electron density variation and the possi-  
 445 ble error in OTHR measurement by using temporal evolution of HF absorption. It should  
 446 be noted that previous studies have shown that riometers may overestimate the absorp-  
 447 tion by a factor of 2 in the modified D-region conditions by high-power radio waves de-  
 448 spite changes in electron density and heater parameters.

449 Moreover, the global map of HF absorption in the northern hemisphere associated  
 450 with two transmission frequencies of 4 and 16 MHz are presented. It has been shown that  
 451 diurnal and seasonal variation of global HF absorption is pronounced enough for such  
 452 a technique to be implemented globally with a distributed network of HF receivers on  
 453 the ground. The mission can be implemented to improve OTHR and SuperDARN ob-  
 454 servations in the both hemispheres.

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 457 this paper is available through <https://github.com/alirezam1983/JGR-SPACE-PHYSICS.git>.

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