# SuperDARN observations of the two component model of ionospheric convection

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# Key Points:

- The separation of the dawn and dusk ionospheric convection cell foci is found to vary from 4 h to 22 h of magnetic local time
- When the interplanetary magnetic field (IMF) is southwards and auroral westward electrojet weak, the foci move to the dayside
- When the IMF is strongly northwards and the auroral electrojet modestly active, the foci move towards the nightside

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#### Abstract

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We use a 20 year database of Super Dual Auroral Radar Network (SuperDARN) observations to investigate the two component model of ionospheric convection. A convection pattern is included in the database if it is derived from at least 250 radar vectors and has a distribution of electric potential consistent with Dungey-cycle twin vortex flow (a negative potential peak in the dusk cell and a positive potential peak in the dawn cell). We extract the locations of the foci of the convection cells from the SuperDARN convection patterns, and compare their dependencies on the north-south component of the interplanetary magnetic field, IMF  $B_Z$ , and the SuperMAG auroral electrojet index, SML. We use these parameters to define intervals of expected dayside or nightside dominated reconnection. Our results show that, under conditions favourable for dominant dayside reconnection, the dawn and dusk foci are shifted toward the dayside and that, under conditions favourable for dominant nightside reconnection, the dawn and dusk foci are shifted toward the nightside.

## Plain Language Summary

The Earth's upper atmosphere is coupled to the near-Earth space environment – the magnetosphere – via the planet's magnetic field. This magnetic coupling drives a circulation of plasma – the electrically charged component of the atmosphere, called the ionosphere – from day to night across the poles and back again at lower latitudes. This circulation of plasma is a key component of the energy transport in the magnetosphereionosphere system. The circulation is not steady, instead changing in strength whilst expanding and contracting due to the time-dependence of the driving mechanisms. To understand these mechanisms we can model the ionospheric circulation and test the models with observations. In this paper we use a 20 year database of ionospheric radar observations of the plasma flow to test one such model – the expanding-contracting polar cap (ECPC) model – and find evidence to support its predictions of separate dayside and nightside components of the flow.

## 1 Introduction

The expanding contracting polar cap (ECPC) model of ionospheric convection (Cowley & Lockwood, 1992) dictates that transpolar flow (and hence voltage) should be excited when magnetic reconnection changes the topology of the Earth's magnetic field. Dayside reconnection, between the interplanetary magnetic field (IMF) in the solar wind and the Earth's magnetic field opens previously closed terrestrial field lines, appending newly open flux to the magnetopause, which is then carried into the polar cap by an enhanced plasma flow (e.g. Etemadi et al., 1988; Greenwald et al., 1999). Nightside reconnection, between the open field lines of the northern and southern magnetotail lobes, closes previously open field lines which are carried out of the polar cap and back to the dayside by a similar excitation of plasma flows (e.g. Grocott et al., 2002; Gordeev et al., 2011).

The ECPC model describes the basic form that the ionospheric convection cells should take during intervals of dominant dayside or nightside reconnection as illustrated in, for example, Figure 2 of Lockwood and McWilliams (2021) and as also evident in Figure 1 of this paper (discussed further below). According to the model, when dayside reconnection is dominant the foci of the twin-vortex convection cells are expected to be displaced towards the dayside and when nightside reconnection is dominant the foci of the twin-vortex convection cells are expected to be displaced towards the nightside. The aim of the present study is to determine whether this two-component model of ionospheric convection predicted by the ECPC model is apparent in the SuperDARN ionospheric convection observations. The idea that solar wind - magnetosphere coupling drives magnetospheric and ionospheric convection is not disputed. It is relatively straightforward to show that the strength of the ionospheric convection (e.g. MacDougall & Jayachandran, 2001) or transpolar voltage,  $V_{PC}$  (e.g. Boyle et al., 1997) is related to the concurrent solar wind and IMF conditions. Difficulties arise in isolating the separate contributions from dayside and, in particular, nightside reconnection. Whilst dayside reconnection rates are simple to estimate from upstream solar wind and IMF observations (e.g. Milan et al., 2012), dayside driving tends to precipitate nightside reconnection. Statistical results, such as those of Boyle et al. (1997) and larger-scale models of convection (e.g. Greenwald et al., 1996; Ruohoniemi & Greenwald, 2005; Weimer, 2005; Thomas & Shepherd, 2018) therefore tend to reveal a somewhat steady-state approximation to the response to solar wind driving.

Isolating and quantifying the nightside reconnection contribution is a particular challenge, owing in part to a difficulty in measuring the nightside reconnection rate, or estimating it from in-situ observations. In a study of transpolar voltage data from the Super Dual Auroral Radar Network (SuperDARN) Lockwood and McWilliams (2021) used the AL auroral electrojet index as a proxy for the night reconnection rate. They used hourly means to show that  $V_{PC}$  increases both for increasingly negative IMF  $B_Z$ , and increasingly negative AL index, consistent with both dayside and nightside reconnection being responsible for driving convection, as predicted by the ECPC model. A difficulty in interpreting this result arises from the fact that taking hourly averages significantly smoothes structures in the data, especially high values, since  $V_{PC}$  is not normally distributed. It is also the case that AL and IMF  $B_Z$  are not independent; intervals of strongly negative IMF  $B_Z$  correlate with intervals of enhanced AL index. Lockwood and McWilliams (2021) attempt to mitigate this by considering that  $V_{PC}$  increases with increasing strength of AL index even for a fixed value of IMF  $B_Z$ . However, this does not account for the possibility that factors additional to IMF  $B_Z$  strength may directly affect the dayside reconnection rate (e.g. Borovsky et al., 2008).

In this paper we use a 20 year archive of SuperDARN radar data to provide direct evidence for the two component model of ionospheric convection. We locate the convection cell foci in the SuperDARN observations and investigate the statistics of their location, in the context of the transpolar voltage, solar wind and geomagnetic observations. For simplicity, and in order to make direct comparisons with the findings of Lockwood and McWilliams (2021), we use IMF  $B_Z$  and the SuperMAG derived AL index (hereafter referred to as SML) as proxies for the strength of dayside and nightside reconnection. We find that significant voltages may be driven for both dayside and nightside displaced convection cell foci. The foci tend to be displaced towards the dayside when IMF  $B_Z$  is negative and SML small. They tend to be displaced towards the nightside when IMF  $B_Z$  is positive and SML modest. The dawn and dusk cell foci do not appear to respond in the same way to differing driving conditions, making the overall behaviour of the convection patterns non-trivial to interpret.

#### 2 Data Analysis

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Large-scale observations of ionospheric convection from 1999-2018 are provided by the Super Dual Auroral Radar Network (SuperDARN). SuperDARN is an international array of HF ionospheric radars located in the polar regions of both hemispheres whose fields-of-view cover much of the polar, auroral and subauroral regions. Each radar measures the line-of-sight (LOS) Doppler velocity of ionospheric plasma irregularities from which the radars scatter (Greenwald et al., 1995; Chisham et al., 2007). The radars scan through typically 16 beams (look directions) making observations at typically 75 locations along each beam at between 180 km and over 3500 km in range. The LOS velocities are derived from best fit autocorrelation functions of the backscattered radar signals. To produce large-scale convection maps, the line-of-sight velocities are median filtered at 2-min cadence onto an equal area polar grid of cell size  $\sim 110 \times 110$  km. The



**Figure 1.** A set of example ionospheric convection maps illustrating the locations of the foci of the dawn and dusk convection cells in terms of the peak values of the positive (orange-red) and negative (blue) electric potential. The maps are presented in geomagnetic longitude - local time coordinates with noon the the top and dawn to the right, from 60 degrees latitude to the pole. In panes (a,b) the foci are located on the dayside, indicative of dominant dayside reconnection. In panels (c,d) the foci are located on the nightside, indicative of dominant nightside reconnection.

latitudinal extent of the convection is determined by fitting a 'Heppner-Maynard' boundary to the gridded velocities (see Heppner & Maynard, 1987; Shepherd & Ruohoniemi, 2000). A best-fit spherical harmonic expansion of the ionospheric electric potential is then derived from the radar data (Ruohoniemi & Baker, 1998). Information from a statistical convection model (Thomas & Shepherd, 2018), parameterised by IMF conditions, is used to supplement the radar observations to ensure sufficient coverage of data for the spherical harmonic fit to converge. Full details of the convection mapping software are provided by SuperDARN Data Analysis Working Group et al. (2018) with the processing steps followed in this study being detailed in Walach et al. (2022).

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From our convection map archive we extract the magnetic latitude and magnetic local time (MLT) of the peaks of the positive and negative electric potential. We then impose a number of criteria by which we reduce the data set. We first remove any maps for which the total number of gridded radar vectors, n, is less than 250. This criterion removes maps which are more likely to be dominated by the statistical convection model used in the fitting. Similar thresholds have been employed in previous studies (e.g. Imber et al. (2013) used n > 200, Lockwood and McWilliams (2021) used n > 255, and Fogg et al. (2020) used n > 400). A sensitivity test of our results to the choice of n (not shown) suggests no significant difference when using n > 250. We then impose the condition that the MLT of the positive potential peak be less than 12 h, and that the MLT of the negative potential peak be greater than 12 h. This is done to maximise the likelihood that the positive and negative potential peaks identified correspond, respectively, to the foci of the dawn and dusk Dungey-cycle twin vortex convection cells.

The resulting data set is then further subdivided by concurrent IMF conditions and geomagnetic activity levels. For this purpose, we use IMF data provided by the Magnetic Fields Experiment (Smith et al., 1998) on board the Advanced Composition Explorer (ACE) spacecraft (Stone et al., 1998) and the SuperMAG derived auroral electrojet index (SML) (Davis & Sugiura, 1966; Newell & Gjerloev, 2011; Gjerloev, 2012). In particular, we define ranges of IMF  $B_Z$  and SML index within which we expect either dayside or nightside reconnection to be dominant. For dominant dayside reconnection we suppose that IMF  $B_Z$  will be negative, leading to open flux loading of the magnetosphere, whilst SML will be small, indicating no significant development of the nightside westward electrojet. For dominant nightside reconnection we suppose that IMF  $B_Z$ will be positive, such that open flux production is switched off (or significantly reduced) whilst SML will be large (and negative), indicative of substorm activity and associated open flux destruction in the magnetotail. The specific range of each parameter used is summarised in Table 1 and discussed in further detail in section 3, below.

Label	IMF $B_Z$	SML	Description
Dayside	$ B_Z < -2 \mathrm{nT}$	$SML > -30 \mathrm{nT}$	Open flux loading via reconnection at the dayside magnetopause
Nightside	$B_Z > 4 \mathrm{nT}$	$ -50 > SML > -100 \mathrm{nT}$	Open flux unloading via reconnection in the magnetotail

Table 1. Ranges of IMF  $B_Z$  and SML index used to filer for intervals of dominant dayside or nightside reconnection.

Example SuperDARN convection patterns from which the dawn and dusk cell foci are extracted are presented in Figure 1. Panels (a,b) are from the subset expected to cor-

respond to dominant dayside reconnection. Panels (c,d) are from the subset expected 151 to correspond to dominant nightside reconnection. It is apparent that in panels (a,b) the 152 foci are located on the dayside, whereas in panels (c,d) the foci are located on the night-153 side. This is consistent with the expectations of the ECPC model as discussed in section 1. In the next section we investigate the extent to which this dependence of the cell 155 foci locations on IMF  $B_Z$  and SML holds more generally. 156

## 3 Results

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Figure 2 presents an overview of the convection cell foci statistics. All panels are presented in magnetic latitude, magnetic local time coordinates, with a grid cell size of 1 h of local time and 5° of latitude. Overlaid on each panel for reference is a model kp=2Feldstein and Starkov (1967) oval. In panel (a) we show the full distribution of the data set which, after the filtering outlined in section 2, contains over 400,000 convection maps ( $\sim 8\%$  of the total). A wide range of cell foci locations exist, with 97% of dusk foci (and 98% of dawn foci) lying in the latitude range  $70^{\circ}-85^{\circ}$ , and 97% of dusk foci (95% of dawn foci) lying in the MLT range 14 - 22 h (2 - 10 h).

Figure 2b-c show the subsets of the data after filtering for conditions of IMF  $B_Z$ and SML index expected to yield dominant (b) dayside and (c) nightside reconnection. In panel (b) we can see that the range of foci latitudes and local times has been reduced compared to the full data set in panel (a). The foci in (b) tend to be limited to higher latitudes, indicative of a smaller polar cap. Although the distribution of foci locations spans the dusk-dawn meridian both to the dayside and nightside, there is a slight tendency towards the dayside with 56% of dawnside foci and 59% of duskside foci being located closer to noon than to midnight. In panel (c) we can see that the foci tend to be at lower latitudes than in panel (b). Although the foci local times still exhibit some spread, they are more often located on the night with 75% of dawnside foci and 79% of duskside foci located closer to midnight than to noon.

Figure 2d-g presents four examples of how the convection cell foci might exhibit a dependency on the IMF and geomagnetic activity. In each panel, the data set from panel (a) is now colour-coded to the median value in each grid cell of (d) IMF  $B_Z$ , (e) SML index, (f) IMF  $B_Y$  and (g) Sym-H index. To minimise the effect of small statistics unduly influencing the results we colour cells containing fewer than 500 values grey. Panel (d) indicates that, for the dusk cell in particular, strongly negative  $B_Z$  favours lowerlatitude, dayside convection cell foci, with positive  $B_Z$  favouring nightside foci. Any dawn cell focus dependency is less apparent. Cells close to dawn generally correspond to weakly negative  $B_Z$  and cells nearer to midnight weakly positive  $B_Z$ , but there is also a population of cells closer to noon corresponding to weakly positive  $B_Z$ . Panel (e) suggests that when SML is more strongly negative, the convection cell foci (and hence polar cap boundary) tends to be at lower latitudes. However, there is no clear tendency for more nightside located foci at more negative SML values. Panel (f) reveals an IMF  $B_Y$ -dependent rotation of the convection pattern, with positive  $B_Y$  producing a clockwise rotation (dusk foci closer to noon and dawn foci closer to midnight) and negative  $B_Y$  producing an anticlockwise rotation (dusk foci closer to midnight and dawn foci closer to noon), but with no tendency for both foci to be closer to either noon or midnight. Lastly, panel (g) shows that the foci latitude decreases with increasingly negative Sym-H, with no obvious correlation with the foci local times.

The data presented in Figure 2 are suggestive of a dependence of the foci local times on IMF and geomagnetic activity, but also of the specifics of that dependence being nontrivial. In Figure 3 we therefore explore this dependence in more detail. Figure 3a-b show, respectively, the distributions of the dawn and dusk convection cell foci local times (which we henceforth refer to as CCFLT for brevity). In each case the CCFLT data are plotted versus SML and IMF  $B_Z$ , with cells containing fewer than 30 values omitted. The



Figure 2. Distributions of the convection cell foci presented in a magnetic latitude, magnetic local time grid, with a model kp=2 Feldstein and Starkov (1967) oval shown for reference. (a) the distribution of all dusk and dawn cell foci included in the data set, (b) and (c) subsets of (a) that have been subject to filtering based on IMF Bz and SML to correspond to expected intervals of dominant reconnection on the dayside and nightside, respectively, (d) - (g) median parameters in each grid cell of panel (a): (d) IMF Bz, (e) SML, (f) IMF By, (g) Sym-H (cells containing fewer than 500 values are shaded grey).

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first thing to note is that there is an interdependence of SML and  $B_Z$ , with strongly positive  $B_Z$  only occurring for weak SML and strongly negative SML only occurring for negative  $B_Z$ . This inherently limits the possible dependencies of the CCFLT on the two parameters. At modest levels of SML there is a clear dependence of the CCFLT on  $B_Z$ . The dawn CCFLT moves from being located close to dawn for negative  $B_Z$  into the predawn sector for positive  $B_Z$ . Likewise, the dusk CCFLT moves from being located close to dusk for negative  $B_Z$  to the postdusk sector for positive  $B_Z$ . The dawn CCFLT shows very little dependence on SML. There is some indication that at the weaker end of the SMLrange the CCFLT are slightly shifted towards the dayside. This would be consistent with weak SML being indicative of a lack of nightside reconnection, but negative of about -100 nT there is little further discernible trend. The dusk CCFLT seem to respond less to changes in SML. Moving from weak SML to more strongly negative SML at a fixed weakly positive  $B_Z$  the CCFLT actually move towards the dayside. This is counter to what is expected, if increasingly negative SML was indicative of more dominant nightside reconnection.

In Figure 3c we attempt to combine the information about the dusk and dawn CCFLT into a single parameter, to quantify the extent to which the foci are closer to the dayside or nightside. We define a quantity dMLT which is the hour angle, or difference in hours of local time, between the dusk and dawn cell foci, or  $CCFLT_{dusk}-CCFLT_{dawn}$ , such that dMLT = 12 h corresponds to convection cell foci that lie along a meridian line. This might be the dawn-dusk meridian or, if the convection pattern is rotated about the pole, then one cell's focus would be displaced towards noon to the same extent that the others cell's focus was displaced towards midnight. Values of dMLT < 12 h then represent a convection pattern where the CCFLTs are offset towards the dayside, or at least, where one cell's focus is displaced towards noon to a greater extent than the other's is displaced towards midnight. Similarly, dMLT > 12 h represents a convection pattern where the CCFLTs are offset towards the nightside, or at least, where one cell's focus is displaced towards the nightside, or at least, where one cell's focus is displaced towards midnight to a greater extent than the other's is displaced towards noon.

Figure 3c shows that for the majority (67%) of negative IMF  $B_Z$  conditions, the CCFLT separation, dMLT, is less than 13 h. When SML is weak (> -30 nT) and  $B_Z$ moderately negative (e.g. < -2 nT), dMLT is less than 12 h (11.5 h median value). This informed our choice of IMF  $B_Z < -2$  nT and SML > -30 nT for our dayside reconnection filter. As SML becomes increasingly negative, up to ~ -400 nT, so  $B_Z$  must be increasingly negative for dMLT to remain less than 12 h (i.e. red colors on the left side of the plot). For SML index below ~ -400 nT, however, it appears that dMLTbecomes less sensitive to  $B_Z$ , with dMLT remaining less than 12 h for increasingly weaker  $B_Z$ . For SML index below ~ -600 nT it appears that dMLT may be less than 12 h for any value of negative  $B_Z$ . When  $B_Z$  is positive, dMLT is typically (72% of the time) greater than 12 h, with the largest values occurring for  $B_Z > 4$  nT and -50 > SML > -100 nT (14.8 h median value). This informed our choice of nightside reconnection filter. As SMLbecomes increasingly negative below ~ -200 nT, dMLT decreases.

In Figure 4 we inspect the behaviour of dMLT in more detail. In (a) we show the joint probability distribution of of  $V_{PC}$  and dMLT and in (b) we show the marginal distributions of the dMLT data subsets. The vertical dashed line in both panels marks dMLT = 12 h.  $V_{PC}$  here is derived from the SuperDARN convection maps according to  $V_{PC} = V_{max} - V_{min}$ , i.e. it is the difference between the electric potentials of the dawn and dusk foci. The distribution of  $V_{PC}$  appears to be multimodal, with major peaks at  $dMLT \sim 11$  h and  $dMLT \sim 13$  h and with a local minimum at 12 h. At the same time, the highest  $V_{PC}$  values occur for dMLT values close to 12 h. For earlier and later dMLT values, the peak  $V_{PC}$  values decrease. Dayside driving seems to be limited to a small range of dMLT, whereas nightside dMLT values occur over a wider range. In particular, a second small population of weaker  $V_{PC}$  exists at large dMLT values of  $\sim 16 - 18$  h.



Figure 3. Distributions of the convection cell foci magnetic local times plotted versus SML and IMF  $B_Z$  for (a) the dawn cell and (b) the dusk cell. (c) hours of separation of the dawn and dusk cells, dMLT. Cells containing fewer than 30 values are omitted.

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Figure 4. Probability distributions of the dawn-dusk foci separation, dMLT. The full data set from Fig.2a is shown as a black dashed line and the filtered data sets from Fig.2b and c are shown by the solid red (dayside reconnection) and blue (nightside reconnection) lines, respectively. The vertical dashed line marks 12 MLT. The vertical dotted line marks the mean dMLT = 12.8 h.

The marginal distribution of dMLT values for the full data set from Fig.2a is shown as a dashed black line in Figure 4b. This is consistent with the broad nature of the distributions of CCFLT. Shown in red is the distribution of the data subset from Fig.2b filtered for dominant dayside reconnection. The distribution is somewhat narrower, and is shifted to smaller dMLT, consistent with the cell foci being closer to the dayside. Shown in blue is the distribution of the data subset from Fig.2c filtered for dominant nightside reconnection. This distribution is still quite broad, and does overlap with the dayside distribution, but is overall shifted to larger dMLT, consistent with the cell foci being closer to the nightside.

In Figure 5 we explore whether the overlap between the dayside and nightside reconnection filtered dMLT values is reflected in the separate dawn and dusk CCFLTs, or whether individually they form discrete populations. Panel (a) presents the the full data set from Fig. 2a, with the dusk CCFLT plotted against the dawn CCFLT. This shows that, whilst the dusk cell focus is found with similar prevalence in the afternoon (47%) and evening (53%) sectors, the dawn cell focus is less often located on the dayside (35%), being more often predawn (65%). An intrinsic asymmetry is apparent in the foci locations, in that the most populous quadrant of the distribution is pre-dawn/pre-dusk (33%),

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consistent with a clockwise rotation of the convection pattern. This compares to only 21% in the post-dawn/post-dusk quadrant that corresponds to an anticlockwise rotation. The post-dawn/pre-dusk quadrant (dayside foci) contains just 14% of the data, whereas the pre-dawn/post-dusk quadrant (nightside foci) contains 32% of the data.

In Figure 5b-c we show similar plots for the (b) dayside and (c) nightside reconnection filtered subsets from Fig.2b and c. The nightside subset (panel c) clearly shows the expected behaviour, with 58% of the data located in the pre-dawn/post-dusk quadrant (corresponding to dMLT > 12 h) and only 5% in the post-dawn/pre-dusk quadrant (corresponding to dMLT < 12 h). The behaviour of the dayside subset (panel b) is less clear cut, with only 30% in the post-dawn/pre-dusk quadrant. A similar proportion of this subset (29%) is in the pre-dawn/pre-dusk quadrant, similar to panel (a). This is consistent with the absence of any significant dawn CCFLT > 6 h for any combination of  $B_Z$  or SML as noted in reference to Fig. 3. Nevertheless, it is very much apparent that the dayside subset is quite distinct from the nightside subset, with only 15% in the pre-dawn/post-dusk (nightside) quadrant where most of the nightside subset is located. A two-sample Kolmogorov-Smirnov test (Press et al., 2007) of the dayside and nightside subsets supports this assertion, indicating a zero likelihood that the two subsets could be drawn from the same probability distribution.

#### 4 Discussion

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The primary aim of this study was to determine whether the two-component model of ionospheric convection predicted by the ECPC model is apparent in SuperDARN ionospheric convection observations. Using the locations of the peaks in electric potential as proxies for the convection cell foci, we have presented statistics of the locations of the foci from which we can draw a number of conclusions. Firstly, as shown in Figure 2a, the distribution of foci locations is revealed by the SuperDARN data to be quite wide, despite our pre-selection criteria for the foci having reduced the data to 8% of the total available. The spread of latitudes of the foci indicate a range of polar cap sizes and the spread of local times is consistent with convection being driven from both the dayside and nightside. In order to isolate the convection patterns associated with dominant dayside and nightside reconnection we have inspected the dependence of the locations of the convection cell foci on a number of parameters. It is apparent from Figure 2d-e, however, that no single parameter can explain the observations.

Figure 2d suggests that IMF  $B_Z$  does exhibit some control, being more positive for foci locations on the nightside. This is consistent with the idea that the Dungey cycle can be maintained even during intervals of positive IMF  $B_Z$ , but that dayside low-latitude reconnection will be inactive, or at a low enough rate that nightside reconnection will be dominant (e.g. Grocott et al., 2002, 2003). When  $B_Z$  is negative, and the dayside reconnection rate is high, we might expect the foci to be located on the dayside. This seems to be the case for the dusk cell, but appears not to be the case for the dawn cell, for which moderately negative  $B_Z$  correspond to foci being located close to dawn. The lower magnitude of the  $B_Z$  averages on the dawn side also suggests that the dawn cell location is less strongly correlated with  $B_Z$ . We consider this apparent dawn - dusk asymmetry further, below.

Figure 2e shows a dependence of the foci locations on the SML index. In this case, the dependence appears to more strongly control the latitude of the foci, with SML being of lower magnitude for higher latitude foci and larger magnitude for lower latitude foci. There is little evidence that the SML index alone has any influence on the local time of the foci. This relationship is very similar to the relationship with Sym-H shown in panel (g). Sym-H is a proxy for the ring current strength (Iyemori, 1990) and as such is a more global measure of geomagnetic activity that will tend to be high when the polar cap is expanded and both dayside and nightside reconnection are active (e.g. Walach



**Figure 5.** Occurrence distributions of coincident dawn and dusk convection cell foci magnetic local times. (a) the full data set from Fig.2a, (b) the dayside and (c) the nightside reconnection filtered data sets from Fig.2b and c, respectively.

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& Grocott, 2019), although there is some evidence that nightside reconnection may be suppressed on shorter timescales when Sym-H is high (e.g. Nakai & Kamide, 2003; Milan et al., 2008). That the pattern of SML index resembles the Sym-H pattern is indicative of an inherent dependence of SML on  $B_Z$ , certainly on average and, as we discuss below, even on much shorter timescales, such that increasingly negative SML is always more likely for increasingly negative IMF  $B_Z$ . This suggests that although nightside reconnection is expected to become enhanced with increasingly negative SML, it does so in response to enhanced dayside reconnection. This coupled nature of the nightside and dayside reconnection serves to complicate efforts to disentangle the contribution of each to the ionospheric convection pattern.

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To better understand the interdependency of the SML index and IMF  $B_Z$  we presented in Figure 3a-b the distributions of the dawn and dusk convection cell foci local times (CCFLTs), respectively, with respect to SML and  $B_Z$ . These data revealed a degree of complexity in the relationships and we comment on a few key findings here. First, the differing behaviour of the dawn and dusk cell foci is readily apparent. The dusk cell focus is less often on the nightside and, contrary to expectations that enhanced (negative) SML should indicate dominant nightside reconnection, the focus is generally only on the nightside for weaker SML values above  $\sim -200 \,\mathrm{nT}$ , and only if  $B_Z$  is also positive. When SML is more strongly negative, the dusk focus tends to be on the dayside. This is therefore more consistent with enhanced dayside reconnection and suggests that more elevated levels of nightside reconnection are themselves triggered by intervals of strong dayside reconnection, such that strongly negative SML is necessarily accompanied by negative  $B_Z$  and similar or even greater levels of dayside reconnection.

This tendency for strong SML to be often associated with an apparent absence of dominant nightside reconnection is also apparent in the dMLT data in Figure 3c. For example, if we consider a fixed IMF  $B_Z$  value, e.g.  $B_Z = 0$ , we see that below  $\sim -200 \,\mathrm{nT}$ , as SML becomes increasingly negative, dMLT tends to decrease. This suggests either a weakening of nightside reconnection, or a strengthening of dayside reconnection. This appears contrary to the conclusion of Lockwood and McWilliams (2021) that an increase in  $V_{PC}$  with increasing AL magnitude at a fixed IMF  $B_Z$  was indicative of dominant nightside reconnection driving the convection. We suggest that this is a result of the intrinsic dependence of AL (or SML) on  $B_Z$ , in that strong SML requires as a prerequisite strong dayside driving as well. This is consistent with the results of Milan et al. (2021)who studied the magnetospheric flux throughput in the Dungey cycle for a variety of convection states during the year 2010. We further suggest that considering a fixed value of, e.g., IMF  $B_Z$  is insufficient to ensure a fixed level of dayside driving. Otherwise an increased magnitude of SML ought not be associated with a smaller dMLT. This is further evidenced by considering that below SML values of  $\sim -600\,\mathrm{nT}$  it appears that dMLT <12 h can occur for any value of negative  $B_Z$ . In other words, dayside driving must be high to produce such a large magnitude SML irrespective of the strength of IMF  $B_Z$ .

The upshot of this analysis is that the determination of suitable limits of IMF  $B_Z$ and SML index to be used as filters for intervals of dominant dayside and nightside reconnection is not straightforward. It seems that in general, the convection cell foci will be on the dayside for negative  $B_Z$  irrespective of SML. However, it is true that for modest SML, down to  $\sim -200 \,\mathrm{nT}$ , the average dMLT increases, presumably as a result of the contribution of nightside reconnection driven flows. The simplest way to ensure a predominance of dayside reconnection driven flows is thus to place a strict limit on the value of SML, and a such we have used  $SML > -5 \,\mathrm{nT}$ . Determining similar limits to yield a predominance of nightside reconnection is more difficult. As noted above, high magnitude SML tends to require ongoing dayside driving, such that below values of  $\sim$  $-200 \,\mathrm{nT} \, dMLT$  tends to reduce. Nevertheless, some SML enhancement is required, or else dMLT may be less than 12 h even for positive IMF  $B_Z$ . As negative  $B_Z$  will always produce a component of dayside reconnection driven flow, we thus opted for positive  $B_Z$  and modest negative SML as a filter for nightside reconnection dominated flow.

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Returning then, to the distributions of foci locations, we now discuss the data subsets for dominant dayside or nightside reconnection driven flows shown in Figure 2b-c. The latitudes of the foci seem to vary as predicted by the ECPC model, being higher when we might expect dayside reconnection to dominate (Figure 2b), and being lower when we might expect nightside reconnection to dominate (Figure 2c). The reason for our expectations is based on the assumption that nightside reconnection only becomes significant once the polar cap has expanded, due to an accumulation of open flux. Prior to such an accumulation, when the polar cap will be smaller, we thus expect dayside reconnection to dominate. The expansion and contraction of the polar cap in association with dayside and nightside reconnection is not a new finding, having been demonstrated with respect to the substorm cycle in auroral (e.g. Milan et al., 2009), field-aligned current (e.g. Coxon et al., 2014) and convection data (e.g. Grocott et al., 2009), tested using radar observations (e.g. Walach et al., 2017; Sotirelis et al., 2017), and recently studied in detail over an extended interval by Milan et al. (2021). Here we simply note that the convection cell foci latitudes seem to obey the same basic behaviour.

The main focus of our analysis has concerned the local times of the convection cell foci. According to the ECPC model, as discussed theoretically by Cowley and Lockwood (1992), and later modelled numerically (e.g. Freeman & Morley, 2004; Lockwood & Morley, 2004; Lockwood et al., 2006; Milan et al., 2013; Walach et al., 2017), dayside and nightside reconnection each drive an independent component of the ionospheric convection pattern. The foci of the convection cells are expected to lie at the ends of the ionospheric projection of the reconnection line, hence, for dayside reconnection driven flow the foci are expected to be located on the dayside and for nightside reconnection driven flow the foci are expected to be located on the nightside. We see some evidence for this in Figure 2b-c, with the peaks of the foci distributions being located (just) on the dayside in Figure 2b and further round to the nightside in Figure 2c. The effect is perhaps clearer is the distributions of dMLT shown in Figure 4b, in which the distributions of subsets filtered for dominant dayside and nightside reconnection are clearly separated. Nonetheless, significant overlap of the distributions is also apparent, suggesting that either our choice of filter values is imperfect (quite likely) or that the dMLT parameter is itself inadequate to fully capture the convection cell behaviour (also quite likely).

To investigate the latter, we further probed the local time distributions of the dawn and dusk convection cell foci in Figure 5. An offset to the distribution corresponding to a clockwise rotation was noted, that might be partly responsible for the differing behaviour of the dawn and dusk foci mentioned above. Furthermore, we should note that this also implies a discrepancy between any discussion of dayside or nightside foci, and the use of dMLT < 0 or dMLT > 0, since any rotation could move both cell foci between the dayside and nightside without any change to dMLT. One factor known to introduce a clockwise rotation to the convection pattern is IMF  $B_Y > 0$  (e.g. Grocott et al., 2012). Figure 2f revealed a  $B_Y$ -dependence of the foci locations, but an opposite one at dawn and dusk, thus corresponding to a rotation rather than a shift of both foci towards the dayside or nightside. We checked whether the inherent clockwise rotation might be due to any bias in the prevalence of IMF  $B_Y$  in the intervals studied. We found that only 47% of the intervals had  $B_Y > 0$  and 53% had  $B_Y < 0$ , suggesting that the observed average clockwise rotation not due to IMF  $B_Y$ . This is also consistent with the findings of Grocott et al. (2012) who found a similar  $B_Y$ -independent clockwise rotation. To check for any significance to the potential bias in the dawn and dusk foci locations we can turn to Figure 5b-c. Here we showed the distributions of the foci local times for the dayside and nightside reconnection driven subsets separately. Whilst there is some spread in each case, the two subsets barely overlap, suggesting that they represent distinct populations.

We finally return briefly to the transpolar voltage data presented in Figure 4a. It was shown above that  $V_{PC}$  peaks occur at dMLT values of ~ 11 h and ~ 13 h. That there is a local minimum at 12 h is consistent with a steady state of balanced dayside and nightside reconnection being less common than a dominance of either dayside or nightside driving. That the peak  $V_{PC}$  values decrease away from dMLT = 12 h, where we expect more dominant day or nightside driving is also consistent with the ECPC model. According to Lockwood (1991)  $V_{PC}$  is related to the dayside and nightside reconnection rates,  $V_D$  and  $V_N$ , by

$$V_{PC} = \frac{V_D + V_N}{2} \tag{1}$$

such that, for the case where either  $V_D$  or  $V_N$  is zero,  $V_{PC}$  is equal to half of the rate of the active reconnection line. If we assume that the most extreme dMLT values correspond to the most imbalanced reconnection, then we might expect  $V_{PC}$  to be approximately half its peak value at these extremes.

#### 5 Conclusions

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In this paper we have presented an analysis of the locations of the foci of the twinvortex ionospheric convection cells using a 20 year archive of SuperDARN radar observations. We filtered the data to include only intervals of particularly high backscatter echoes (n > 250) and also only intervals where the negative cell focus was constrained to 12-24 MLT and the positive cell focus to 0-12 MLT, consistent with Dungey cycle twin-vortex flow. We can conclude that the SuperDARN convection maps capture a wide spread of foci locations and that the locations are sensitive to both IMF  $B_Z$  and the SML index. This suggests that the cell foci locations are responding to differing rates of dayside and nightside reconnection. We further filtered the data by suitable ranges of  $B_Z$  and SML to isolate one population that is dominated by dayside reconnection and another dominated by nightside reconnection. Analysis of these data provides evidence that the response is consistent with the predictions of the expanding-contracting polar cap model. First, the foci tend to cluster at higher latitudes when dayside reconnection dominates, and lower latitudes when nightside reconnection dominates. Second, the hour angle between the dawn and dusk foci, dMLT, is reduced (foci closer to noon) when dayside reconnection dominates, and increases (foci closer to midnight) when nightside reconnection dominates.

We draw a number of further conclusions. The relationship between the foci local times and the SML index is not straightforward. We find that, at a fixed level of SML, dMLT decreases with increasingly negative IMF  $B_Z$ . We also find that, at a fixed level of IMF  $B_Z$ , dMLT increases with increasingly negative SML, but only up to modest values of SML (to  $\sim -200 \text{ nT}$ ). As SML becomes further enhanced dMLT decreases again. We attribute this to an inherent dependence of SML on IMF  $B_Z$  in that, for SMLto reach strongly negative values,  $B_Z$  must also be negative. In other words, episodes of intense nightside reconnection (and associated open flux closure) only occur if there has been, and is likely ongoing, persistent dayside reconnection (and open flux production). Only for relatively modest levels of dayside reconnection can nightside reconnection dominate. This interdependency complicates efforts to isolate the nightside component of the flow. The dayside component is easier to isolate, as it takes some time for nightside reconnection to become enhanced following the onset of dayside reconnection.

## Open Research

SuperDARN data was accessed via the British Antarctic Survey data archive (https://www.bas.ac.uk/projectorecomposition of the University of Saskatchewan (https://superdarn.ca/data-download). The radar data fitting and spherical harmonic analysis were performed using the FITACF2.5 library

and version 4.2 of the Radar Software Toolkit (RST) (SuperDARN Data Analysis Working Group et al., 2018). The Map Potential data processing is described fully in (Walach et al., 2022) and we use the equivalent of their 'D4' dataset. All solar wind data were downloaded from NASA's SPDF Coordinated Data Analysis Web (https://cdaweb.gsfc.nasa.gov/index.html/) The SML auroral electrojet index used in this paper was provided by the SuperMAG collaboration (https://supermag.jhuapl.edu) (Newell & Gjerloev, 2011).

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version arising.

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