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3	Avian Sarcoma/Leukosis Virus (RCAS)-mediated Over-expression of IFITM3
4	Protects Chicks from Highly Pathogenic Avian Influenza Virus Subtype H5N1
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# 1 Abstract

Broad-spectrum antiviral activities of interferon-induced transmembrane proteins (IFITMs) are primarily attributed to *in vitro* inhibition of viral entry. Here, we used an avian sarcoma-leukosis virus (RCAS)-based gene transfer system and successfully generated chicks that constitutively express chicken IFITM3 (chIFITM3). The chIFITM3-overexpressing chicks showed significant protection and disease tolerance against highly pathogenic avian influenza virus (HPAIV) H5N1 (Clade 2.2.1.2). The chicks, overexpressing chIFITM3, also showed delayed onset of clinical symptoms, reduced viral shedding, and alleviated histopathologic alterations compared to control and challenged chicks. These findings highlight that overexpression of chIFITM3 provide a substantial defense against zoonotic H5N1 in vivo. 

#### 1 **1. Introduction**

Influenza A virus (IAV) is one of the most common viral infections in human and 2 mammalian populations [1]. During IAV entry into the cellular compartments, the viral 3 and cellular cues are delivered in a stepwise manner [2]. Upon entry, host innate 4 immune responses exert the first line of defense against IAV infections [3] which are 5 mainly attributed to the induction of interferon (IFN) [4]. There are three types of IFNs 6 7 and when triggered, they induce numerous molecular changes including cell growth and inflammation [5]. The interaction of IFNs with their cognate receptors on the cell 8 9 surface results in the activation of interferon-stimulated genes (ISGs) genes through IFN-stimulated response elements (ISRE) and gamma-activated sequence (GAS) 10 promoter elements [6]. 11

Interferon-induced transmembrane protein 3 (IFITM3) is a widely expressed ISG that 12 has a known function of inhibiting the replication of pathogenic viruses including IAVs 13 (4). Human IFITM3 was first identified using two genome-wide screens of RNAi and 14 veast-two-hybrid as a host restriction factor against human IAV [7]. Mechanistically, 15 IFITM3 is believed to block the fusion of IAV virus with target cells at the stage of 16 hemifusion and/or fusion pore formation by reducing membrane fluidity or by 17 increasing the spontaneous positive curvature of the outer membrane [8]. While 18 human IFITM3 has extensively been characterized both in vitro and in vivo, chicken 19 20 IFITM3 (chIFITM3) remained poorly characterized especially when chicken and human IFITM3 only share a 42% amino acid identity (23). 21

On the other hand, IAVs represent a high level of genetic and functional diversity. The clade 2.2.1.2 of the highly pathogenic avian influenza virus (HPAIV) subtype H5N1 is globally distributed among wild and domestic birds with a higher evolution rate  $(6.9 \times 10^{-3} \text{ substitution/site/year})$  and noticeable pathogenicity (24). Due to the continued evolution of the virus, vaccines offer time-limited efficacies. Therefore, there
 is an unmet need to explore alternative options in establishing host factor-driven
 protection against viral infections.

In the current study, we aim to investigate the roles of chIFITM3 against HPAIV H5N1
clade 2.2.1.2 in developing chicks. The findings demonstrate the potential of chIFITM3
in not only reducing mortality but also alleviating clinical outcomes of the infection in
chicks, highlighting the importance of innate immunity in defence against viral
infections.

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# 10 2. Materials and Methods

The open reading frame of chIFITM3 codon-optimized and chemically synthesized 11 (GeneArt, Life Technologies, Germany) in-fusion with flag-tag and sub-cloned to an 12 improved form of RCASBP(A)- $\Delta$ F1 using *Clal* and *Mlul* restriction sites. This restriction 13 digestion excised the src gene and replaced it with chIFITM3 while maintaining the 14 splice acceptor signals. This new vector was designed as RCASBP(A)-chIFITM3. The 15 sequence integrity and orientation were confirmed by Sanger's sequencing. To rescue 16 recombinant RCASBP(A) retroviruses, we followed methods described previously [9]. 17 Briefly, DF-1 cells (ATCC ID: CRL-3586) were transfected with each of the plasmids 18 using Lipofectamine 2000 (Life Technologies, Germany) in OptiMEM with a pre-19 20 determined and optimized ratio of 1:3. DMEM Media (Life Technologies, Germany) were changed 6 h post-transfection and replaced DMEM supplemented with 5% FCS 21 and 1% Penicillin/Streptomycin for 48 h (Life Technologies, USA). 22 Expression of chIFITM3 was confirmed by staining the flag tag. Briefly, DF-1 cells 23

grown on coverslips in 24-well plates were infected with retroviruses for 48 h. Cells
were then fixed for 1 h using 4% paraformaldehyde and permeabilised using 0.1%

1 Triton-X100 (Thermo Scientific, UK) before incubation with primary antibodies raised 2 against either flag tag (Thermo Scientific, UK). Afterward, cells were incubated with 3 corresponding secondary antibodies (Fisher Scientific, UK) for 2 h at room 4 temperature. Cell nuclei were stained with 4',6-diamidino-2-phenylindole (DAPI) 5 (Abcam, USA), and the images were taken using a Zeiss confocal laser-scanning 6 microscope.

7 Speific pathogen free (SPF) eggs were acquired from a local supplier in co-operation with the Veterinary Serum and Vaccine Research Institute (VSVRI), Agriculture 8 9 Research Centre (ARC), Egypt. Transgene-expressing chick embryos were generated by inoculation of 10<sup>6</sup> RCASBP(A)-chIFITM3 or empty RCASBP(A)-WT infected DF-1 10 cells into SPF chicken eggs (n=30/group) through the intra-yolk sac using 24G needles 11 at day 2 post-embryonation (ED2). Eggs were fixed for 2 h post-inoculation before 12 incubation at 37 °C with 60–80% humidity in a rotating incubator (twice daily). Embryos 13 were allowed to hatch naturally at 21 days of incubation (ED21). Each group of 14 transgene-expressing chicks was housed separately in containment level 3 isolators. 15 Food and water were provided *ad libitum* and animal care was provided by the animal 16 house staff. 17

The virus dosage optimization (clinical and sub-lethal doses) for H5N1 was carried out 18 as outlined in our previouse study [10]. Experimentally, a total of 20 chicks expressing 19 20 RCASBP(A)-chIFITM3, 20 chickens infected with RCASBP(A)-WT, and 15 mockinoculated chicks (positive control) were challenged intranasally with 10<sup>4</sup> EID<sub>50</sub> H5N1 21 (clinical dose) 12 days post-hatching. A total of 10 chicks were kept as a naïve 22 negative control group (non-inoculated-non challenged, inoculated with PBS). All birds 23 were monitored for the following 15 days to assess the appearance of clinical signs, 24 weight gain, and mortalities. The experiment was terminated on day 35 and all 25

remaining chicks were euthanized. Quantification of influenza viruses was performed
as we reported earlier [11].

Total RNA was extracted from tracheas (n=5/group) and lungs (n=5/group) using 3 TRIzol reagents (Life Technologies, USA), which were collected from transgene-4 expressing (RCASBP(A)-chIFITM3) and mock chicks (mock-treated neg. ctrl). The 5 RNA concentrations were measured using NanoDrop® ND-1000 UV-Vis 6 Spectrophotometer and a total of 150 ng of RNA was used in the PCR reactions using 7 SuperScript III Platinum SYBR Green One-Step gRT-PCR (Thermo Fisher Scientific) 8 9 as described by the manufacturer. The abundance of specific chIFITM3 mRNA 5'-ACTGTACGCCAATGTGTG-3', (qchIFITM3-F: and qchIFITM3-R: 5'-10 TTGATCAGGTGAGCTGTG-3') was quantified and normalized with house keeping 11 gene of 28S rRNA (qch28S-F: 5'-GGCGAAGCCAGAGGAAACT-3', and qch28S-R: 5'-12 GACGACCGATTTGCACGTC-3'). The reactions were run using a CFX96 Real-Time 13 PCR machine and the data were analyzed using the  $2-\Delta\Delta Ct$  method as we reported 14 earlier (9, 10). 15

Oropharyngeal swabs were collected separately, placed in virus transport medium, 16 filtered through a 0.2 um filter, and then aliguoted and stored at -70°C until all samples 17 were collected before analysis using hemagglutination assay and egg infective dose 18 50 (EID50) as we reported earlier (11). Selections of tissues including trachea, lung 19 20 and spleen were collected and fixed at room temperature for 48 h by immersion in 10% neutral buffered formalin followed by paraffin wax embedding. The 5 µm tissue 21 sections were stained using Hematoxylin and Eosin stain (Abcam, USA) before 22 23 examination under a light microscope for microscopic lesions.

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25 **3. Results** 

In order to determine the *in vivo* antiviral activity of chIFITM3 against highly pathogenic 1 avian influenza virus (HPAIV) subtype H5N1 (clade 2.2.1.2), chicks stably expressing 2 chIFITM3 were generated. For this purpose, avian retroviruses were exploited via a 3 vector-based expression system as we have reported earlier [11]. Specifically, the full-4 length open reading frame of chIFITM3 was cloned into RCASBP(A) vector between 5 two unique restriction sites to efficiently express a caped and poly-adenylated 6 chIFITM3 transcript (referred to as RCASBP(A)-chIFITM3) (Fig. 1A). RCASBP(A)-WT 7 was used as a negative control in the over-expression experiment. The over-8 9 expression of chIFITM3 was confirmed in stably RCASBP(A)-chIFITM3 infected chicken embryo fibroblast (DF-1) cells using immunofluorescence assay (Fig. 1B). 10 The expression of chIFITM3 was observed exclusively in the cytoplasm where the 11 protein was detected in concentrated punctate (Fig. 1B). As expected, DF-1 cells 12 stably infected with RCASBP(A)-chIFITM3 showed significant antiviral activities 13 against HPAIV subtype H5N1 compared to wild type DF-1 cells (Figure 1C). These 14 results confirm that RCASBP(A)-mediated expression of chIFITM3 is functionally 15 stable and represents native antiviral function against HPAIV and these infectious cells 16 can be exploited for overexpression of transgene (i.e. IFITM3) in developing chicks. 17 For the generation of chIFITM3-expressing chicks, 2-day-old embryonated eggs (ED2) 18 were inoculated with recombinant RCAS virus (RCASBP(A)-chIFITM3 or RCASBP(A)-19 20 WT) infected DF-1 cells (Fig. 2A). The hatched chicks at ED21 were shifted to isolators

in groups until challenged with clinical dose (10<sup>4</sup> EID<sub>50</sub>) of HPAI H5N1 at 15 days posthatching (PH15). Two independent experiments were performed to confirm that the expression of chIFITM3 had no detrimental effect on the chick's embryonic development and hatchability of RCASBP(A)-chIFITM3 inoculated eggs compared to the mock group (**Fig. 2B**). Furthermore, it was also noted that all RCASBP(A)-

chIFITM3 or RCASBP(A)-WT infected chicks carried a non-significant body weight 1 reduction (Fig. 2C) albeit a subtle drop in weight gain in chIFITM3-overexpressing 2 chicks soon after hatching. However, these chicks then progressively re-gained their 3 body weight equal to the body weights of mock-inoculated group (negative control, 4 inoculated with PBS) on the 15<sup>th</sup> day post-hatch. To confirm that chIFITM3 was 5 expressed successfully in developing chicks, a transgene chIFITM3-specific 6 quantitative RT-PCR was carried out. Owing to the expression of codon-optimized 7 chIFITM3 through RCASBP(A), the PCR distinguished the transgene from 8 9 endogenously expressed chIFITM3. Using this system, a significantly higher level of chIFITM3 was detected in tracheal RNA from chickens infected with RCASBP(A)-10 chIFITM3 compared to control groups (either transgene-expressing chicks, or non-11 transgene carrying-chicks) indicating the successful expression of chIFITM3 (Fig. 2D). 12 Collectively, these findings highlight that RCASBP(A) virus causes no significant 13 observable alterations in chicks and thus can reliability be challenged with HPIAV to 14 demonstrate the antiviral actions of chIFITM3 in vivo. 15

There is a direct correlation between infectious virus dose and the severity of the clinical infections [12]. Additionally, the genetics of the H5N1 and host determine the clinical outcome of the infection. Therefore, it was critical to determine the inoculum titre of H5N1 virus that was able to induce clinical disease in chickens. Based on our previous studies [12], the pre-optimized dose of 10<sup>4</sup> EID<sub>50</sub> (hereafter called clinical dose) of H5N1 strain A/chicken/Egypt\_128s\_2012 (clade 2.2.1.2) was used as a challenge virus to demonstrate the antiviral potential of chIFITM3 in chicks.

We observed full protection of chIFITM3-expressing chicks from clinical signs against challenge with the clinical dose of H5N1 virus. In contrast, H5N1-challenged chicks showed severe clinical signs from the 3<sup>rd</sup> day post-virus inoculation compared to

chicks in mock and non-challenged (negative control) which remained healthy. In 1 addition, 60% of chicks expressing chIFITM3 were protected from clinical challenge 2 without any apparent clinical disease (Fig. 3A). These results revealed that chicks 3 over-expressing chIFITM3 show disease tolerance which resulted in delayed clinical 4 signs by at least 7 days. Overall, the results show that the over-expression of chIFITM3 5 has a substantial impact on the outcome of the H5N1 infection (disease and mortality). 6 7 Next, oropharyngeal swabs were collected from all groups (RCASBP(A)-chIFITM3, RCASBP(A)-WT, and mock-treated) before the challenge and after every alternative 8 9 day post-clinical challenge to evaluate if chIFITM3 can mediate a reduction in virus shedding through oropharyngeal routes. The virus quantification results showed that 10 chicks over-expressing chIFITM3 following clinical challenge showed a significant 11 reduction in virus shedding (Fig. 3B) indicating that chIFITM3 is a key factor in virus 12 replication that contributes to lowering influenza viral shedding. 13

Additionally, trachea, lung, and spleen organs were collected from chIFITM3-14 overexpressing challenged chicks, non-challenged, and non-inoculated (negative 15 control) chicks at PH30 followed by histopathological examination. The mock negative 16 control showed no observable histopathological lesions. Specifically, the trachea of 17 chIFITM3-expressing chicks showed focal necrosis of lamina epithelialis (black arrow), 18 congestion (red arrow) and inflammatory cells infiltration (blue arrow) (Fig. 3C). In 19 20 contrast, the trachea of mock positive control showed edema in the lamina propria/sub-mucosal layer (arrow). Additionally, lungs of chIFITM3-expressing and 21 mock positive control chicks showed reduced inflammatory cells infiltration in the air 22 23 capillaries (black arrow) associated with interlobular edema (red arrow) compared to control lungs, indicating alleviation of pathological lesions in the respiratory tract. 24 Correspondingly, spleen of chIFITM3-expressing and mock positive control showed 25

lymphocytic necrosis and depletion (arrow) compared to negative control. Collectively,
 the histopathological observations indicate that over-expression of chIFITM3 reduced
 the H5N1-induced pathology in studied organs which resulted in reduced clinical signs
 in chicks.

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## 6 4. Discussion

7 Virus-mediated innate immune responses and mechanistic observations vary between avian and mammals, and these cross-species host restriction factors may determine 8 9 the steps involved in virus pathogenesis. The first line of defense against viral infection is the activation of the innate immune response in almost all vertebrate cells [13, 14]. 10 IFITM genes are one of the highly upregulated ISGs and are found in a wide variety 11 of animals including mammals, fish, birds, and amphibians. The chIFITM1 and 12 chIFITM3 have been genetically and functionally characterized [15] and although most 13 studies provide data in in vitro only, the results provide key antiviral functions and 14 suggest avenues for further investigations. 15

The IFITMs are widely expressed IFN-inducible proteins that prevent infection by 16 several viruses such as influenza A virus (IAV), West Nile virus (WNV), dengue virus 17 (DENV), severe acute respiratory syndrome coronavirus (SARS-CoV), vesicular 18 stomatitis virus (VSV) and hepatitis C virus (HCV) [4]. According to these studies 19 20 Ifitm3<sup>-/-</sup> mice had increased vulnerability to respiratory syncytial virus [16], West Nile virus [17], arthritogenic and encephalitic alphaviruses [18], and influenza [19]. 21 Although previous research suggested a connection between IFITM3 and the control 22 of antiviral immunity, the direct effect of IFITM3 on viral replication has not been clearly 23 separated from any of IFITM3's immune-regulatory roles. Experimental data have 24

indicated that enhanced viral pathogenicity in hosts with weak or impaired IFITM3
activity is a result of impaired restriction of virus entry and replication [4].

In order to determine if chIFITM3 affects the pathogenesis of the influenza virus in 3 vivo, we applied an over-expression approach. While different approaches to generate 4 transgenic chicken have been applied for studying microbial pathogenesis and chicken 5 physiology (22), RCAS vector system offers a simple and convenient tool to study the 6 functions of cellular genes (11). We found that the checkpoint regulator chIFITM3 is a 7 crucial component of influenza-induced immunological dysfunction during in vivo 8 9 infection using the RCAS retrovirus gene transfer system. The chIFITM3 functions as a rheostat of antiviral immunity that controls the pathogenic outcome of influenza virus 10 infection since chIFITM3 doesn't entirely prevent influenza virus replication. Our 11 results showed a non-significant body weight loss in RCAS-mediated transgene-12 expressing chicks after hatching. In comparison, hatched chicks regained weight 13 efficiently and obtained comparable sizes to non-transgene expressing chicks. In this 14 study, chIFITM3-overexpressing chicks were established to further explore the in vivo 15 antiviral function of chIFITM3 against HPAIV subtype H5N1. Our results provide strong 16 evidence that chIFITM3 can significantly protect transgene-expressing chicks (~60%) 17 against the clinical dose of the H5N1 avian influenza virus that causes clinical disease. 18 Due to variable conditions that can influence poultry susceptibility to infections (20-19 20 21), further investigation was carried out to understand the impact of chIFITM3 against a pre-determined clinical dose of H5N1. The results revealed that the clinical dose of 21 H5N1 considerably reduced the clinical outcome when used in transgene-22 overexpressing chicks, which indicates the ability of innate immunity to protect against 23 HPAIV H5N1. We have previously applied a similar approach to investigate the impact 24 of chIFITM1 against the influenza virus and noticed a higher and profound inhibition 25

of influenza-induced pathology in chicken (11) compared to chIFITM3 reported in this
 study. Collectively, our findings revealed that over-expression of chIFITM3 or
 chIFITM1 reduces not only the clinical disease in H5N1-infected chicks but also virus induced pathological lesions and virus shedding.

5 To conclude, findings indicate the importance of the innate immune system in 6 establishing an antiviral state against HPAIV. The presented data provide proof of the 7 capacity to generate virus-resistant chickens which can protect food and inhibit the 8 long-term spread of zoonotic viruses to humans. Gaining a further understanding of 9 factors that influence the susceptibility of poultry to avian influenza viruses will help to 10 reduce the risks to animal and human health.

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# 12 Ethical clearance

The study was approved by the Institutional Ethical Committees of Central Laboratory
for Evaluation of Veterinary Biologics (CLEVB), Egypt.

15

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#### 21 Declaration of competing interest

22 Authors declare no competing interest.

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## **1** Figure captions:

Fig. 1. Construction and confirmation of RCASBP(A) expression system for 2 3 chIFITM3. (A) schema for the generation of recombinant RCASBP viruses in which src gene was replaced with chIFITM3. (B) Confirmation of RCASBP(A)-mediated 4 stable expression of chIFITM3 using immunofluorescence assay. Stably-infected 5 6 cells expressing chIFITM3 were fixed, permeabilized and stained using anti-flag 7 antibodies targeting the N-terminal flag fused with the chIFITM3 (C) Stable cells expressing chIFITM3 or wild type cells were infected with HPAIV for 24 hours with an 8 9 moi of 1.0. Cell supernatant was used to quantify the secreted virus using plague assay. The data represent experiments conducted in triplicate. \*\*\*\* indicates the 10 level of significance at p value < 0.0001. 11

Fig. 2. Experimental layout for transgene-overexpression and impact of chIFITM3 on 12 hatchability and weight gain of hatched chicks. (A) Experimental layout to generate 13 14 chicks expressing chFITM3. ED represents embryonation day and PH represents post hatching days. (B) Comparison of hatchability percentage for eggs post-15 chIFITM3 or mock inoculation. (C) Average body weight gain in percentage in chicks 16 post hatching compared to mock chicks. (D) Expression of chIFITM3 in RCASBP(A)-17 chIFITM3 infected and H5N1 challenged chicks compared to chicks infected with 18 (RASBP(A)-WT) and mock inoculated (neg. ctrl). Asterisks (\*\*\*\*) indicates level of 19 significant differences (p=0.0001). 20

Fig. 3. Clinical outcome of transgene-expressing chicks compared to wild type
chicks challenged with HPAIV. (A) Percentage survival rates of RCASBP(A)chIFITM3 and RCASBP(A)-WT infected and challenged chicks with clinical doses of
H5N1 compared to mock inoculated chicks (negative and positive control groups).

- (B) Evaluation of viral shedding from oropharyngeal swabs of virus challenged and
  RCASBP(A)-chIFITM3 and RCASBP(A)-WT infected chicks compared to mock
  inoculated chicks (negative and positive control groups). (C) Photomicrographs
  representing H&E-stained sections of tracheas, lungs and spleen collected from
  RCASBP(A)-chIFITM3 infected and H5N1 challenged chicks compared to mock
  inoculated chicks (negative and positive groups) at post hatching day 30. (scale bar
- 7 = 25um). \*\*\*\* indicates the level of significance at p value < 0.0001.